

DECISION

Fair Work Act 2009 s.185—Enterprise agreement

Deakin University

(AG2017/2137)

DEAKIN UNIVERSITY ENTERPRISE AGREEMENT 2017

Educational services

COMMISSIONER GREGORY

MELBOURNE, 29 JUNE 2017

Application for approval of the Deakin University Enterprise Agreement 2017.

- [1] An application has been made for approval of an enterprise agreement known as the *Deakin University Enterprise Agreement 2017* (the Agreement). The application was made pursuant to s.185 of the *Fair Work Act 2009* (the Act). It has been made by Deakin University. The Agreement is a single enterprise agreement.
- [2] The Applicant has provided written undertakings. A copy of the undertakings is attached in Annexure A. I am satisfied that the undertakings will not cause financial detriment to any employee covered by the Agreement and that the undertakings will not result in substantial changes to the Agreement.
- [3] Subject to the undertakings referred to above, I am satisfied that each of the requirements of ss.186, 187, 188 and 190 as are relevant to this application for approval have been met.
- [4] The National Tertiary Education Industry Union being a bargaining representative for the Agreement, has given notice under s.183 of the Act that it wants the Agreement to cover it. In accordance with s.201(2) I note that the Agreement covers the organisation.

[5] The Agreement is approved and, in accordance with s.54 of the Act, will operate from 6 July 2017. The nominal expiry date of the Agreement is 28 June 2021.



COMMISSIONER

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ANNEXURE A



26 June 2017

Commissioner Gregory Fair Work Commission 11 Exhibition Street Melbourne VIC 3000

Dear Commissioner

AG2017/2137 - Deakin University Enterprise Agreement 2017 Undertaking given by Deakin University

I, Fiona Davies, being duly authorised on behalf of Deakin University (University), hereby provide the following undertaking on behalf of the University in accordance with s.190 of the Fair Work Act 2009 (Cth).

In relation to the Deakin University Enterprise Agreement 2017, the University undertakes that:

Clause 2 - Duration of the Agreement

the Deakin University Enterprise Agreement 2017 will have a nominal expiry date of 4 years from the date
of approval of the agreement by the Fair Work Commission, rather than 4 years from the date of its
commencement.

Schedule D, clause 1.5

 the minimum amount paid to a staff member under clause 1.5 of the Agreement (which is stated to be \$81.00) will be not less than \$82.00 per week.

Regards

Fiona Davies

General Manager, HR Operations





A message from the Vice Chancellor

I acknowledge our traditional owners - the Wurundjeri peoples, the Wadawurrung peoples, the Gunditjmara peoples and the Boon Wurrung peoples - as our First Nation people of this land. We pay our respects to elders past, present and future and value the learning and traditions our First Nation people contribute to Deakin.

Together we have achieved much at Deakin and I believe we now have an Enterprise Agreement (EA) that is what we need to shape and support Deakin's future. This EA will take us forward for the next four years to deliver LIVE the Future: education for the jobs of the future and research that makes a difference to the communities we serve.

The EA is fair, reasonable, sustainable and responsible and I am pleased that we were able to reach agreement with the NTEU on an EA that reflects our desire to reward staff for their contribution while balancing our responsibility to deliver a more sustainable and secure future for us all.

As many of you have heard me say often, education of the next generation is our business and student success is true north for Deakin. In order for us to be successful, our most valuable asset -Deakin staff - must be enabled and supported to achieve the objectives of our strategic plan.

It is the responsibility of each one of us - Vice Chancellor, Executive and Senior staff, Academic and Professional staff to ensure Deakin's collective success and thus the success of the communities we serve, locally, nationally and internationally.

My overarching responsibility is to ensure this EA enables your employment at Deakin and supports our students and the future success of the University.

How to find your way around the Agreement

Start at the Table of Contents which lists each part of the Agreement and the clauses in each part. The Agreement is divided into 10 parts and is ordered to follow the employment lifecycle.

Some matters of detailed prescription that are better suited to schedules to the Agreement or policy have been simplified, moved to schedules or will be retained in policy documents.

There is a glossary in clause 72 to help understand particular terminology and defined words are in **Bold**.



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PART 1. Who does this Agreement apply to and how long does it run for?

1 Application

This **Agreement** applies to the **University** and all staff members employed by the **University** except for the Vice-Chancellor and the **Executive**.

2 Duration of the Agreement

This **Agreement** will come into operation 7 days after it is approved by the Fair Work Commission. The nominal expiry date of this **Agreement** is 4 years after its commencement.

3 Operation of the Agreement

- 3.1 While this **Agreement** is in operation no other award or enterprise agreement provisions apply to staff covered by this **Agreement**, unless specifically stated in this **Agreement**.
- 3.2 If, prior to the commencement of this **Agreement**, one of the following processes from the previous **2013 Agreement** had already commenced, then the **University** will continue to apply the process from the previous **2013 Agreement** until the process is concluded:
 - (a) Academic probation review and appeal processes under clauses 20.8 20.10;
 - (b) Processes dealing with allegations of underperformance and/or misconduct and/or serious misconduct, including decisions and reviews, under clauses 21 and 22;
 - (c) Formal disciplinary action and consequential decision and review processes, under clauses 21 and 22;
 - (d) Review of unreasonable workloads under clause 33.5;
 - (e) Individual workload allocation review under clause 34.11;
 - (f) Appeals against classification under clauses 52.5 52.11;
 - (g) A dispute under clause 66; and/or
 - (h) A grievance under clause 67.

4 Principles of the Agreement

- 4.1 This **Agreement** outlines initiatives that will ensure the ongoing growth and viability of the **University** as well as build a positive workplace culture that provides for flexibility and responsiveness and also provides for recognition of performance at the organisational and individual level.
- 4.2 This will require productivity gains and improvements in efficiency, effectiveness, quality and flexibility while providing a meaningful and attractive work environment for staff members which recognises and rewards contribution in the workplace and provides work life balance.
- 4.3 The **University** will provide the **NTEU** with staffing data on request in order to assist with cooperative monitoring and implementation of this commitment.
- 4.4 The **University** and the **NTEU** will adhere to the freedom of association protections in the *Fair Work Act 2009*. Nothing in this **Agreement** will be construed as either encouraging or discouraging staff members to become or not to become members of a union or unions.
- 4.5 In accordance with relevant anti-discrimination legislation, the **University** will not discriminate on the basis of race, colour, sex, gender identity, intersex status, sexual preference, age, physical or mental disability, marital or relationship status, family responsibilities, pregnancy, religion, political opinion, trade union membership and activity, national extraction or social origin and will continue to work to help prevent and eliminate any such discrimination.



5 **Intellectual Freedom**

- 5.1 The Parties to this Agreement are committed to act in a manner consistent with the protection and promotion of intellectual freedom within the University.
- 5.2 The Parties to this Agreement recognise that the University has a policy that enables a staff member to make public comments on a topic within their area of expertise and also to make other comments as long as the staff member does so in their private capacity and does not claim to represent the University when making these comments. This will include the expression of unpopular or controversial views, provided that they do not harass, vilify, defame or intimidate. Further details are set out in the policy and associated documents.
- 5.3 The University undertakes to consult staff members and the NTEU on any proposed changes to the relevant policy which might otherwise affect the freedom of staff members to provide public comment on community affairs as individual members of the community or to provide public comment in their particular area of expertise.

Intellectual Property

The **University** will establish and maintain an intellectual property policy which recognises the intellectual property and moral rights provided by common law and legislation, which recognises the copyright provisions consistent with current **University** legislation and provides for an equitable sharing of proceeds (after costs) from the commercialisation of intellectual property between the creators of the intellectual property and the University.

PART 2. How can I be employed at Deakin?

Contract of Employment

- 7.1 The **University** will employ staff in the types of employment set out in clauses 10 to 20 and in accordance with the terms of this Agreement.
- 7.2 In addition to being employed in their substantive position, a staff member may also be employed as a casual staff member to perform work unrelated to, or identifiably separate from, the duties of the substantive position.

8 **Terms of Employment**

- 8.1 New staff members will be provided with a contract of employment upon commencement which will include information about the key applicable employment terms including the type of employment, Salary, classification, hours (or expected hours), period and category of **Fixed-Term Employment**, probation, duties and reporting.
- 8.2 Academic and professional staff members (other than a casual staff member) may be required to serve a period of probation. Additional provisions about probation for professional staff and academic staff are set out in clause 21 and 22 of this Agreement respectively.

Recognition of Prior Service

Long Service Leave

- 9.1 For staff members employed after the 2013 Agreement came into operation, only prior service with the following organisations will be recognised when calculating long service leave entitlements:
 - (a) Australian universities and the Australian Maritime College, only if the break in service between one of these organisations and the **University** is less than 5 years;
 - (b) Australian Technical and Further Education organisations and any state or federal departments of education, only if the break in service between one of these organisations and the **University** is less than 5 years; and



- (c) public hospitals, but only for academic staff employed by the **University** in the School of Nursing and Midwifery or the School of Medicine, provided the staff member's previous employment was directly related to the requirements of their appointment, and any break in service between the public hospital and the **University** is less than 5 years.
- 9.2 When determining a staff member's entitlement to long service leave, any period of service for which payment in lieu of long service has been made by a recognised previous employer, or for which a staff member has an entitlement to payment in lieu by the previous employer, will not count as **Service**, provided that any such period of service will be included for the purpose of satisfying the service requirement in clause 53.1.
- 9.3 Any claim for recognition of prior service with one of the organisations in clause 9.1 must be made within twelve months of the commencement of the staff member's employment. The amount of service recognised will not exceed seven years and no accrued entitlements of leave will be accepted from any prior employer.
- 9.4 Breaks of service of up to 6 months between periods of **Fixed-Term Employment** will not break continuity of service for a staff member who has been employed by the **University** for previous periods of **Fixed-Term Employment**, but the period of the break in service will not count as **Service**.
- 9.5 Where prior service is recognised under clause 9.1, the **University** may require the staff member to complete a period of service of no more than 3 years before the staff member can take long service leave.

Sick Leave

9.6 Accrued entitlement to sick leave is transferable between Australian Higher Education Institutions and is transferable from other employers approved for the purpose of determining long service leave entitlements under clause 9.1, only if the break in service between the end of employment with the approved employer and start of employment at the **University** does not exceed 12 months.

10 Full-time Employment

A staff member may be employed on a full-time basis. Full-time employment means employment other than part-time or casual employment.

11 Part-time Employment

A staff member may be employed on a part-time basis. Part-time employment means employment for less than the normal weekly **Ordinary Hours of Work** of a full-time staff member. Entitlements under this **Agreement** for a part-time staff member will be calculated on a pro-rata basis by reference to the staff member's **Ordinary Hours of Work**.

12 Part-year Employment - Professional Staff

A part-year professional staff member is employed on a continuing or fixed-term basis to work one or more periods in a year (which may be a calendar year). Staff may be appointed on a part-year basis to positions advertised as part-year, or following conversion from a casual position. The effect of this clause is not to replace continuing full-time positions with part-year positions. See Schedule F for casual conversion and for the further entitlements for part-year employment.

13 Annualised Hours Employment - Professional Staff

A professional staff member may be employed on an annualised hours basis. An annualised hours professional staff member is a staff member employed on a continuing basis or for a period of **Fixed-Term Employment** for a specific number of **Ordinary Hours of Work** in any one year (which may be a calendar year). Staff may be appointed on an annualised hours basis to positions advertised as annualised hours, and otherwise following conversion from a casual position. The effect of this clause is not to replace continuing full-time positions with annualised hours positions. See Schedule F for casual conversion and for the further entitlements for annualised hours employment.



14 Casual Employment - Professional Staff

- 14.1 A casual professional staff member means a person who is employed by the hour and paid on an hourly basis. Hours of work will be rounded-up to the nearest 15 minutes.
- 14.2 A casual professional staff member will be paid a casual loading of 25% in addition to the base ordinary hourly rate for the work performed. The casual loading is paid to the staff member in lieu of benefits provided to staff members who are not casuals, including all leave benefits, penalties and loadings. The following provisions of this **Agreement** do not apply to casual professional staff:
 - (a) other leave (except family and domestic violence leave, unpaid compassionate leave and trade union training leave) clause 54;
 - (b) personal (sick/carer's) leave (except unpaid carer's leave) clause 51;
 - (c) recreation leave clause 49;
 - (d) University Holidays clause 57;
 - (e) parental leave (except for casual staff members who qualify)- clause 52;
 - (f) redundancy clause 69;
 - (g) termination of employment clause 64;
 - (h) managing ill-health clause 70;
 - (i) termination of employment on grounds of ill-health clause 71;
 - (j) disciplinary action for unsatisfactory performance clause 65;
 - (k) procedures to deal with allegations of misconduct and serious misconduct clause 66;
 - (I) review of decision termination of employment or demotion clause 67; and
 - (m) job evaluation procedures clause 31.
- 14.3 Casual professional staff will be engaged for the following minimum periods, unless otherwise agreed to meet their personal circumstances:

| Current University students enrolled at Deakin | 1 hour |
|----------------------------------------------------------|---------|
| Staff with a primary occupation at the University | |
| or elsewhere outside the University | 1 hour |
| All others | 3 hours |

- 14.4 If the casual professional staff member is required to work on more than one occasion on the same day, the minimum period of engagement under clause 14.3 will apply to the total number of hours worked by the staff member on that day.
- 14.5 A casual professional staff member who works in excess of eight hours and 49 minutes on any one day will be entitled to overtime payments for the excess time worked, calculated as the greater of the overtime rate that would apply to overtime worked on that day by an equivalent full-time staff member or the casual loading, but not both.
- 14.6 The procedures for the conversion of a casual professional staff member to non-casual employment are outlined in Schedule F to this **Agreement**.
- 14.7 The University will from time to time establish generic casual professional staff position descriptions for common sets of casual duties, which will be evaluated by the Human Resources Division in accordance with the Higher Education Worker Descriptors (Schedule B) of this Agreement, to provide guidance as to the classification of casual professional staff positions. The generic position descriptions may be updated or varied from time to time. For the avoidance of doubt, the generic casual professional staff position descriptions are a guide and do not apply to an individual staff member's position. The University will determine the classification of a casual professional staff position.

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15 Casual Employment - Academic Staff

15.1 An academic staff member may be employed on a casual basis by the hour and paid on an hourly basis. Casual academic rates of pay are set out in Schedule G to this **Agreement**.

ENTERPRISE

The rates in Schedule G include a casual loading of 25% which is paid in lieu of certain leave entitlements, penalties and loadings. The following parts of the **Agreement** do not apply to the casual academic staff member:

- (a) other leave (except family and domestic violence leave, unpaid compassionate leave and trade union training leave) clause 54;
- (b) personal (sick/carer's) leave (except unpaid carer's leave) clause 51;
- (c) recreation leave clause 49;
- (d) University Holidays clause 57;
- (e) parental leave (except for casual staff members who qualify) clause 52;
- (f) redundancy clause 69;
- (g) termination of employment clause 64;
- (h) managing ill health clause 70;
- (i) termination on grounds of ill-health clause 71;
- (j) disciplinary action for unsatisfactory performance clause 65;
- (k) procedures to deal with allegations of misconduct and serious misconduct clause 66;
- (I) review of decision termination of employment or demotion clause 67; and (m)job evaluation procedures clause 31.
- 15.2 All casual academic staff members undertaking casual work in a **Teaching Period** will have access to the **University** library and computer facilities after the **Teaching Period** as set out in **University** policy and/or procedures.
- 15.3 A casual academic staff member will not be required to be solely responsible for the development of a unit or course of study. However, the staff member may be responsible for the coordination of a unit or course of study for short periods of time to cover an unexpected vacancy.

16 Fixed-Term Employment

16.1 A staff member may be employed on a fixed-term basis. This clause applies to fixed-term contracts entered into after the commencement date. This clause does not affect the validity of fixed-term contracts entered into prior to the date of this **Agreement** and the terms of clause 16 as set out in the **2013 Agreement** continue to apply to those contracts.

Conditions for Fixed-Term Contracts of Employment

- 16.2 A fixed-term staff member, other than an apprentice or trainee, will be entitled to all the benefits of a continuing staff member in the same classification of employment, except as otherwise provided for in this **Agreement**, including access to incremental progression based upon continuous service.
- 16.3 For the purpose of calculating a fixed-term staff member's period of continuous service:
 - (a) a break of up to six weeks in a year or up to two breaks per year between periods of **Fixed-Term Employment** will not constitute breaks in the staff member's continuous service, but will not count as **Service**;
 - (b) a break between periods of **Fixed-Term Employment** between trimester 2 and trimester 1 will not constitute a break in the staff member's continuous service, but will not count as **Service**; and
 - (c) periods of approved unpaid leave during the period of the **Fixed-Term Employment** will not constitute breaks in service for the purposes of this clause, but will not count as **Service** unless otherwise provided for in this **Agreement**.

Use of Fixed-Term Employment

16.4 The use of **Fixed-Term Employment** will be limited to the employment of a staff member under one of the following categories:



(a) Specific Task or Project

The **University** may offer **Fixed-Term Employment** to undertake a specific task or project. A specific task or project is;

- (i) a definable work activity which has a starting time and which is expected to be completed within an anticipated timeframe; and/or
- (ii) a period of employment provided for from Contingent Funding, where the Contingent Funding is at least 50% of the funding for the position.

(b) Research

The University may offer Fixed-Term Employment to undertake research only functions for a contract period not exceeding 5 years.

(c) Replacement staff member

The University may offer Fixed-Term Employment to a "replacement staff member". "Replacement staff member" means employment of a staff member:

- (i) to undertake the work of a full-time or part-time staff member absent on leave or temporary secondment or transfer, or is temporarily performing duties other than those of their substantive position, including for example, alternate duties as part of a return to work plan; or
- (ii) to perform the duties of a vacant position where recruitment action is pending;
- (iii) to perform the duties of a position where the substantive occupant of that position is performing higher duties.

This category will also include the employment of a staff member to cover the difference in hours where a staff member (the substantive staff member) who has returned from a period of parental leave or other authorised leave is employed on a part-time basis for a defined period. Such periods of Fixed-Term Employment may be contingent upon the graduated return to work of the substantive staff member on leave or returning from leave.

The period of Fixed-Term Employment may be extended if the period of absence of the substantive staff member is extended.

(d) Measures to provide security of employment

The effect of this clause will not be to replace continuing positions with fixed-term positions.

Fixed-term contracts may be offered at the discretion of the University to provide security of employment where the work has been typically performed by casual staff and would otherwise be performed by casual staff. Such contracts may be offered for a period of no more than five years and no less than 12 months (unless otherwise provided for in this Agreement), and are non-renewable.

Should the same or substantially the same position occupied by the staff member continue beyond the maximum contract period (five years) the staff member will, subject only to satisfactory performance, be offered continuing employment in that position (or in another agreed position) at the conclusion of the contract period.

(e) Recent Professional Practice Required

The University may offer Fixed-Term Employment where professional, commercial, clinical or vocational education will be undertaken by a person who has recent practical or commercial experience. For the purpose of this paragraph, practical or commercial experience will generally be considered as "recent" only when it has occurred in the



previous five year period prior to the appointment. Such staff will usually be employed on a part-time basis and hours may be annualised over the year. Staff employed under this clause are not expected to do research and will usually undertake only teaching and teaching-related duties.

(f) **Pre-retirement Contract**

The **University** may offer a fixed-term pre-retirement contract to a staff member who has declared their intention to retire. The period of employment for a pre-retirement contract will not exceed five years and will conclude no later than the date of the intended retirement.

(g) Post-retirement Contract

The University may offer post-retirement Fixed-Term Employment to a person who has formally retired from the workforce.

(h) Student Employment

The **University** may offer **Fixed-Term Employment** to a person who is enrolled in the University as a student. Fixed-Term Employment may be offered for a work activity that is generally related to a degree/course the student is undertaking and/or directly supports their graduate learning outcomes as published by the University. The Fixed-Term **Employment** will be for a period that does not extend beyond, or that expires at the end of, the Academic Year in which the person ceases to be a student, including any period that the person is not enrolled as a student, but is awaiting their results.

An offer of Fixed-Term Employment under this clause 16.4(h) should not be made on the condition that the person offered the employment undertakes a course of study.

(i) New Organisational Area

The **University** may offer **Fixed-Term Employment** of up to three years in a newly established organisational work area or teaching program, where the prospective need or demand for which is uncertain or unascertainable at the time, and is non-renewable. If at the end of the allowable period of Fixed-Term Employment under this category, the requirement for the position which has been performed continues, the University shall employ the staff member on a continuing basis without the need for the position to be advertised, but only where an ongoing vacancy exists, the staff member meets the requirements of the position, the staff member was selected after the previous fixed-term position was openly advertised and the staff member has performed satisfactorily in that position.

(j) Disestablished Organisational Area

"Disestablished Organisational Area" means an identifiable work unit performing a function or functions or teaching a program or programs the provision of which will cease within a reasonably certain time.

Where a final decision has been made to disestablish part or all of a Disestablished Organisational Area, the **University** may offer **Fixed-Term Employment** of a length equivalent to the length of the phase-out of the unit.

Should the work continue at the end of the proposed phase-out time, the incumbent will be offered further employment and:

- (i) the letter of offer of employment includes an undertaking that subject to satisfactory performance, should the decision to discontinue the work area be reversed, or should for any other reason the staff member's position or substantially the same position continue beyond the fixed-term period, the staff member will be offered that work on a continuing basis; and/or
- should a position not be available under clause 16.4(j)(i), upon request by the (ii) staff member, the University will, for three months prior to the expiry of the contract, make reasonable attempts to identify other employment opportunities within the **University**.



(k) Apprenticeship or Traineeship

The **University** may offer **Fixed-Term Employment** to an apprentice or trainee employed under an apprenticeship or traineeship approved by a training authority, or a person employed under a special Commonwealth or State Government employment or training scheme. Specific conditions for trainees are covered in Schedule E of this **Agreement**.

(I) Early Career Development Fellowships

An Early Career Development Fellowship (ECDF) is a fixed-term academic appointment, classified, subject to the qualifications and experience of a successful candidate, at Level A or B.

ECDFs will:

- (i) be for a minimum time fraction of 0.5;
- (ii) be for a minimum duration of two years and no more than five years;
- (iii) have a maximum probationary period of 12 months: and
- (iv) unless they are exempt, require persons appointed to an ECDF to undertake the Graduate Certificate in Higher Education (or successor qualification).

The **University** will make appointments to ECDFs on the basis of an open, competitive and merit based selection process. Like other academic appointments, staff employed on ECDFs will have workload allocated in accordance with clause 48.

Appointment to an ECDF will be restricted to persons who have:

- (v) been awarded a PhD and have performed casual teaching work for the **University** in at least two entire **Teaching Periods** during the past three years; or
- (vi) been an active candidate for a PhD for at least two years and have performed casual teaching work for the **University** in at least two entire **Teaching Periods** during the past three years.

An appointment in accordance with this clause does not provide entitlement to the following:

- (vii) a continuing appointment;
- (viii) conversion to a continuing appointment;
- (ix) any further fixed-term appointment; or
- (x) any severance payment at the expiry of the ECDF fixed-term appointment.
- 16.5 During the period of **Fixed-Term Employment**, the staff member's employment may not be terminated by the **University** other than:
 - (a) during the staff member's period of probation (clauses 21 and 22);
 - (b) for performance or conduct issues in accordance with the provisions of this **Agreement** (clauses 65 and 66);
 - (c) for a staff member employed under the Specific Task or Project category where the current fixed-term appointment commenced after the commencement date of this Agreement, and in respect of whose employment Contingent Funding has unexpectedly ceased prior to its term; or
 - (d) in the case of contracts of 12 months' duration or more, for reasons of redundancy during the fixed-term, in which case the staff member will be paid the balance of the contract, or a redundancy payment calculated under clause 69, whichever is the lesser.



- 16.6 No later than 4 weeks before the end of the staff member's period of Fixed-Term Employment, the University will provide to the fixed-term staff member a written notice that, at the expiry of the contract, the **University** intends to:
 - (a) continue the position on a further fixed-term contract basis;
 - (b) continue the position on a continuing basis; or
 - (c) discontinue the position.
- 16.7 Where the **University** has made a determination in accordance with clause 16.6(a) or 16.6(b) the staff member will be offered further employment in the fixed-term or continuing position provided the staff member was employed in the relevant position through a competitive and open selection process and has performed satisfactorily in that position.
- 16.8 Where, because of circumstances external to the **University** and beyond its control, which relate to the provision of **Contingent Funding** to support employment, the **University** is not reasonably able to give the notice required by clause 16.6, the **University** may:
 - (a) advise the staff member in writing about the circumstances at the latest time at which the notice would otherwise be required to be given; and
 - (b) give notice to the staff member at the earliest practicable date after that.
- 16.9 Where the University gives notice in accordance with clause 16.6(a), and the fixed-term staff member meets the circumstances described in clause 16.7, the staff member may apply for conversion to continuing employment on the same Salary and classification level.
- 16.10 The University may refuse conversion on reasonable grounds, which may include but are not limited to, the following:
 - (a) the staff member is a **University** student;
 - (b) the staff member is a genuine retiree;
 - (c) the staff member elected to change from continuing employment to a pre-retirement contract;
 - (d) the work performed by the staff member is predominantly related to discontinued programs;
 - (e) the period of **Fixed-Term Employment** is for a specific task or project, or is a position funded by **Contingent Funding**; or
 - (f) the period of **Fixed-Term Employment** is for the purpose of filling a temporary vacancy or to meet a need in vocational, clinical, commercial or professional education for recent practical or commercial experience.

Severance Pay

- 16.11 A staff member is entitled to severance pay on the expiry of the staff member's period of **Fixed-Term Employment** in accordance with the following table where:
 - (a) the staff member is employed on a specific task or project (clause 16.4(a)) and/or research (clause 16.4(b)) category; and
 - (b) has been employed for two or more consecutive periods of Fixed-Term Employment; and
 - (c) where the **University** has decided (under clause 16.6) not to offer the staff member either employment for a further period of Fixed-Term Employment or employment on a continuing basis.

This clause does not apply to staff members employed in the following categories of Fixed-**Term Employment** or staff who are offered employment with:

- Replacement Staff Member; (i)
- (ii) Recent Professional Practice Required;
- (iii) Pre-retirement Contract;
- (iv) Post-retirement Contract;
- (v) Student Employment;



- (vi) New Organisational Area;
- (vii) Disestablished Organisational Area; or
- (viii) Apprenticeship or Trainee.

| Length of Continuous Service | Severance Pay |
|---------------------------------------|---------------|
| 1 year or more and up to 2 years | 4 weeks pay |
| 2 years or more but less than 3 years | 6 weeks pay |
| 3 years or more but less than 4 years | 7 weeks pay |
| 4 years or more | 8 weeks pay |
| | |

16.12 The **University** will ensure that the severance paid to a staff member under clause 16.11 will be no less than the amount of severance that the staff member would be entitled to receive if the relevant Award provision and Award rate of pay were applied.

16.13 Severance payments under clause 16.11 do not apply if:

- (a) the staff member is offered employment with another organisation on the same or substantially similar terms, recognising continuity of service; or
- (b) the **University** advises a staff member in writing that further employment may be offered within six weeks of the expiry of a period of Fixed-Term Employment, then the University may defer payment of a severance payment under clause 16.11 for a maximum period of six weeks from the expiry of the period of **Fixed-Term Employment**.

17 Research Continuing Employment (Contingent Funded) **Eligibility**

- 17.1 A staff member engaged in contingent funded research may apply for, or be offered, a Research Continuing Employment (Contingent-Funded) contract (RCECF) where the staff member:
 - (a) is 0.5 FTE or more; and
 - (b) is an academic staff member who has been employed by the **University** for a period of three years or more, or a professional staff member who has been employed for a period of five years or more, and who is to be appointed to their second or subsequent consecutive contract; and
 - (c) was employed through a competitive and open selection process; and
 - (d) is "research active" as defined by their Budget Division or employed on a research project.

The University may, in its absolute discretion, offer a RCECF notwithstanding not all of the above criteria are satisfied.

- 17.2 Applications must be made in writing to the Executive Director, Human Resources, and the Executive Director will advise the staff member in writing of the outcome within 20 working days of receiving the application. The **University** may refuse an application on reasonable grounds. Reasonable grounds include:
 - (a) the criteria in clause 17.1 are not satisfied;
 - (b) the staff member's performance has not been assessed as being at least "successful";
 - (c) the staff member is performing work which is predominantly related to discontinued, or discontinuing programs or a disciplinary area that is not being actively pursued by the University;
 - (d) where it is unlikely that there will be sufficient revenue or funding available to provide continuing support for the staff member's employment beyond a further three year period;



- (e) the staff member is a professional staff member and they do not have sufficiently transferable skills that would reasonably enable the **University** to redeploy the staff member to another position within the **University** upon expiration of the existing research grant; or
- (f) the staff member is a student, and their status as a student was the primary reason for their appointment.

Conditions

- 17.3 Subject to this clause, staff on RCECFs will receive the same entitlements as other continuing staff members, including superannuation.
- 17.4 The following provisions do not apply to staff members on RCECFs:
 - (a) where funding for the continuation of a RCECF position ceases, the consultation provisions of clause 58 (Consultation on Major Workplace Change) in respect of the position that the staff member occupies;
 - (b) clause 69 (Redundancy); and
 - (c) provisions applicable to staff members employed on **Fixed-Term Employment** as specified elsewhere in this Agreement.

Measures to Avoid Termination

- 17.5 Where the funding that supports a staff member's RCECF ceases:
 - (a) the **University** may transfer the staff member to another equivalent position;
 - (b) at the discretion of the relevant Head of Organisational Unit, a RCECF staff member may be employed using other available funding, where:
 - (i) the use of such funding is for a limited period;
 - (ii) the Budget Division has a reasonable expectation that alternative research funding or a continuing appointment will become available;
 - (c) if, during the notice period specified in clause 17.7, the funding for the position is renewed, the notice period ceases to apply and employment continues;
 - (d) if an application for renewal of the funding for the position is still pending, then by mutual agreement:
 - (i) the period of employment may continue for any period of:
 - A. paid recreation leave and/or long service leave; and thereafter
 - B. unpaid leave, provided that unpaid leave will not be available under this clause to bring the aggregate period of leave above 12 weeks; or
 - (ii) if the employment has ceased, payment of severance pay and/or payment in lieu of notice may be delayed for up to 12 weeks to facilitate continuation of service and if the funding for the position is renewed, the staff member's employment will recommence, there will be no entitlement to severance pay or payment in lieu of notice and the period from cessation of employment to recommencement of employment will not break continuity of service, but will not count as Service.

Termination of RCECF

- 17.6 A RCECF may be terminated when:
 - (a) termination is under the probation or disciplinary provisions of this Agreement; or
 - (b) the inherent nature of the work required has changed significantly and the skills and experience of the staff member will not enable them to complete the requirements of the position; or
 - (c) the funding that supports the position ceases or is insufficient.

If a staff member's employment is terminated under 17.6(b) or 17.6(c), and alternatives to termination under clause 17.5 above have been unsuccessful, the staff member will be provided notice and severance payments under clauses 17.7 and 17.8.



Notice Periods and Severance

- 17.7 Where a staff member's employment is terminated in accordance with clause 17.6(b) or 17.6(c), the staff member will be provided with a minimum of four weeks' notice of termination, or five weeks if the staff member is over 45 years of age, which the **University** may pay out in lieu of notice.
- 17.8 Severance pay for staff members on RCECFs will be in accordance with the following, subject to any greater applicable legislative entitlement:

| Length of Continuous Service | Severance Pay |
|-----------------------------------------|---------------|
| 1 year or more and up to 2 years | 4 weeks pay |
| 2 years or more but less than 3 years | 6 weeks pay |
| 3 years or more but less than 4 years | 7 weeks pay |
| At least 4 years but less than 5 years | 8 weeks pay |
| At least 5 years but less than 6 years | 10 weeks pay |
| At least 6 years but less than 7 years | 11 weeks pay |
| At least 7 years but less than 8 years | 13 weeks pay |
| At least 8 years but less than 9 years | 14 weeks pay |
| At least 9 years but less than 10 years | 16 weeks pay |
| 10 years and over | 12 weeks pay |

- 17.9 Severance pay will not apply where the staff member has:
 - (a) resigned or declined an offer for further employment;
 - (b) has obtained further employment within the **University** without the loss of accrued entitlements; or
 - (c) the **University** has assisted the staff member in securing the same or similar employment with another employer, with a transfer of all entitlements.

18 Appointment of Teaching Scholars

- 18.1 An academic staff member may be employed on a continuing basis as a Teaching Scholar, subject to the terms of this clause.
- 18.2 Teaching Scholars will normally undertake teaching and teaching related work that would otherwise have been performed in the **University** by casual academic staff and may also perform full unit co-ordination duties.
- 18.3 Casual academic staff can discuss potential opportunities with the Head of School and/or lodge an expression of interest in Teaching Scholar positions.
- 18.4 Each year:
 - (a) the **University** will look for reasonable opportunities to create Teaching Scholar positions;
 - (b) in doing so will give reasonable consideration to any expressions of interest and discussions under 18.3; and
 - (c) will ensure that some appointments to Teaching Scholars are offered, subject to the process described in clauses 18.5 and 18.6.
- 18.5 Where the **University** creates a Teaching Scholar position, or a Teaching Scholar position becomes vacant, the **University** will advertise the position internally to casual academic staff in the first instance.



- 18.6 If the position cannot be filled through the process set out in clause 18.5, the University may advertise and appoint through an external merit selection process.
- 18.7 Applicants for a Teaching Scholar appointment must have at least one year's academic employment experience in an Australian university (excluding a person who has held a continuing academic appointment).
- 18.8 Teaching Scholars may be allocated up to 100% of their workload in the combined categories of teaching, teaching-related service and scholarship, in accordance with clause 48. Clause 48.13 does not apply to a Teaching Scholar.
- 18.9 Teaching Scholars will be paid in a **Salary** range commencing at Level A, step 3, with annual incremental progression where performance is assessed as satisfactory by the University up to a maximum of Level B step 3, provided that any Level A Teaching Scholar required to carry out full unit co-ordination duties as part of normal duties, and/or who holds a relevant doctoral qualification will be paid a **Salary** no lower than Level A Step 6. Clause 28 (Incremental Progression) does not apply to Teaching Scholars.
- 18.10 A Teaching Scholar may apply for academic promotion (to Level B or Level C teaching and research academic) in accordance with the **University** promotion process following successful completion of a probationary period. If promoted, they are no longer a Teaching Scholar.

19 Senior Staff Performance Contracts

- 19.1 The following staff members may be offered employment on a senior staff performance contract:
 - (a) an academic staff member paid (or is to be paid) 20% or more above the Salary applicable to Level E;
 - (b) a professional staff member paid (or is to be paid) more than \$100 above the Salary applicable to HEW level 10.
- 19.2 Any staff member on a senior staff performance contract will not receive the Salary increases in clause 27. Any Salary increase will be based on the staff member's performance, which will be assessed in accordance with the Performance Planning and Review process in clause 61.
- 19.3 The following clauses of this **Agreement** do not apply to staff on senior staff performance contracts; 16 (Fixed-Term Employment), 28 (Incremental Progression), 29 (Superannuation), 33 (Overtime Meal Allowance – Professional Staff), 35 (Vehicle Allowance), 36 (First Aid Allowance), 37 (Travelling Allowance), 38 (Reimbursement of Fares), 40 (Workplace Flexibility), 41 (Workloads – Professional Staff), 42 (Flexible Work Hours – Professional Staff), 43 (Hours of Work – Professional Staff), 44 (Overtime – Professional Staff), 45 (Shift Work - Professional Staff), 47 (Travel Between Campuses), 48 (Academic Work Allocation), 60 (Staff Grievances Procedures), 64 (Termination of Employment), 65 (Disciplinary Action for Unsatisfactory Performance), 66 (Misconduct and Serious Misconduct), 67 (Review of Decision - Termination of Employment or Demotion), 68 (Breaches of the Research Code), 69 (Redundancy), 70 (Managing Ill-Health) and 71 (Termination on Grounds of Ill-Health).
- 19.4 Staff on senior staff performance contracts will be given the option of joining or remaining a member of UniSuper for their superannuation contributions.

20 Appointment to Heads of Schools, Departments and Institutes

- 20.1 Subject to the Deakin University Act 2009 (Vic) and its regulations as are in force from time to time, Heads of Schools, Departments and Institutes may be appointed to their roles for a fixed-term or on a continuing basis. Such appointments:
 - (a) will operate concurrently with any substantive underlying appointment;
 - (b) where the staff member has an underlying substantive appointment, the appointment to Head of School, Department or Institute may be terminated by the University or the staff member on three months' written notice (or, in the case of the **University**, by payment in lieu of notice) after discussion between the staff member and their Faculty Executive Dean and, if required, the Vice-Chancellor.



20.2 The termination of an appointment under clause 20.1(b) is not a termination of employment, or a demotion or disciplinary action under this Agreement.

21 Probation - Professional Staff

- 21.1 Professional staff members (other than a casual staff member) may be required to serve a period of probation.
- 21.2 Any period of probation will be a reasonable probationary period that is directly related to the nature of the work to be performed by the professional staff member. A professional staff member will be advised of and given an opportunity to make a response to, any adverse material that the University intends to take into account in a decision to terminate the staff member's employment upon or before the expiry of the period of probation.
- 21.3 Clauses 65 (Disciplinary Action for Unsatisfactory Performance), 66 (Misconduct and Serious Misconduct) and 67 (Review of Decision - Termination of Employment or Demotion) do not apply to professional staff during their probationary period.

22 Probation - Academic Staff

- 22.1 Academic staff members (other than a casual staff member) may be required to serve an initial period of probation of 3 years which may be extended for a further period of up to 2 years as determined by the University in accordance with this clause. Any period of probation will be a reasonable probation period that is directly related to the nature of the work to be performed by the academic staff member. In determining the length of the probation period or whether to waive such a period, the University will have regard to the staff member's prior service and performance at other universities and the staff member's qualifications and experience. A probation period of 3 years would be appropriate for a staff member with no prior full-time or part-time service at a university.
- 22.2 During the probation period, the academic staff member's performance will be periodically assessed and the staff member will be provided with constructive feedback, along with periodic counselling to confirm progress or develop strategies to resolve identified difficulties. Where appropriate, a probationary academic staff member will be given the opportunity to participate in staff development programs to develop and refine their research skills.
- 22.3 A formal review or reviews will be conducted during the academic staff member's probation period and feedback on performance will be given to the staff member. If, at the end of the initial probation period, the **University** considers that a further period is appropriate to assess that the staff member is suitable for confirmation then the Executive Director, Human Resources, may extend a staff member's probation by a period of up to 2 years.
- 22.4 If the University decides to terminate a staff member's employment upon or before the expiry of the period of probation, the staff member will be advised of, and given an opportunity to make a response to, any adverse material the **University** intends to take into account in making that decision.
- 22.5 Where a decision is made to terminate the academic staff member's employment, the staff member will be provided with four months' notice of the termination.
- 22.6 The **University** may decide to make payment in lieu of part or all of the notice period that exceeds 10 workings days.
- 22.7 Clauses 65 (Disciplinary Action for Unsatisfactory Performance), 66 (Misconduct and Serious Misconduct) and 67 (Review of Decision - Termination of Employment or Demotion) do not apply to academic staff during their probationary period.
- 22.8 Where a decision is made to terminate an academic staff member's employment upon or before the expiry of the period of probation, the staff member may elect, within 10 working days of receiving written notice of the termination decision, to request an independent review of the decision in accordance with clauses 22.9 to 22.15. For the avoidance of doubt, an independent review runs concurrently with the notice provided under clause 22.5.



Independent Review

- 22.9 In order to request a review, the staff member must provide the Executive Director, Human Resources, with the following materials at the time that the request is made:
 - (a) the basis for requesting the review;
 - (b) any written submissions supporting the request for review; and
 - (c) any documentary evidence that the staff member relies on in respect of (a) and (b).
- 22.10 If the staff member seeks a review in accordance with clause 22.8 and 22.9, the Executive Director, Human Resources, will engage an Independent Reviewer within 10 working days and provide the Independent Reviewer with the request for review and materials. The Independent Reviewer is to be agreed between the **University** and the **NTEU**.
- 22.11 The **University** will provide the Independent Reviewer with its submissions and any supporting materials within 10 days of the request for review.
- 22.12 The Independent Reviewer will consider material provided in accordance with clauses 22.9 and 22.11. The Independent Reviewer may seek additional information if the Independent Reviewer considers that this is necessary.
- 22.13 The Independent Reviewer will report to the Vice-Chancellor outlining whether the decision was affected by a significant or substantial procedural irregularity, and where this occurs, may make recommendations to address the irregularity.
- 22.14 The Independent Reviewer will provide the report within 10 working days of receiving the **University**'s submissions. The Executive Director, Human Resources, will not unreasonably refuse a request from the Independent Reviewer for an extension of time of up to 10 working days.
- 22.15 Where, prior to a termination decision taking effect and during the course of the review there is new material that becomes available that was not available at the time the decision was made, the **University** may consider that material and, if the **University** changes its decision, the Independent Review will immediately cease.

Finalisation of termination decision

- 22.16 The Vice-Chancellor, having considered the Independent Reviewer's report, may confirm the original decision or may reconsider the original decision and take steps to correct any significant or substantial procedural irregularity identified. The Vice-Chancellor may seek additional information if the Vice-Chancellor considers that this is necessary.
- 22.17 The Vice-Chancellor will then advise the **Head of Organisational Unit** and the staff member in writing of the decision.
- 22.18 Where the original decision is confirmed, any remaining notice period (or payment in lieu) will then apply from the written notification in clause 22.5.
- 22.19 The Vice-Chancellor's decision will be final, subject to the jurisdiction of any court or tribunal which, but for this clause, would have jurisdiction to deal with the matter.

23 Academic Staff Supervision

- 23.1 Each academic staff member will have a nominated **Supervisor** and will be advised in writing of the position of the nominated **Supervisor**. The staff member may request the nomination of an alternative supervisor identifying the grounds for the request and the Executive Dean will reasonably consider that request and where approved, the staff member's nominee will become the **Supervisor**.
- 23.2 Supervisors will provide academic and administrative leadership and, in conjunction with the Human Resources Division, are responsible for monitoring the performance of the academic staff member and for providing assistance to staff members whose performance is assessed as requiring improvement. Wherever possible, supervisors will be competent in the areas of expertise of the staff members for whom they are responsible.

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- 23.3 The Supervisor will normally be the head of the academic unit in which the academic staff member is employed, provided that the **University** may advise in writing that another academic staff member classified at Level C or above is to be **Supervisor** of one or more staff member(s) or group of staff members.
- 23.4 Nothing in this **Agreement** prevents an academic staff member who is head of an academic organisational unit which is not a sub-component of any larger academic organisational unit (such a unit may be called a "Faculty", "Division" or similar title) being made directly responsible to the Vice-Chancellor, in which case the terms of clauses 23.1 to 23.3 will have no application to the staff member.
- 23.5 The **University** will provide supervisor training which will incorporate:
 - (a) induction programs for supervisors upon appointment;
 - (b) the development of an ongoing program of supervisor training;
 - (c) training directed towards assessment of job performance rather than individual characteristics;
 - (d) training which includes equal opportunity and affirmative action policies and guidelines;
 - (e) training on academic staff assessment techniques and relevant provisions in this Agreement.

Upon request, the University will consult with the Deakin University NTEU Branch regarding the content of the supervisor training program.

24 Aboriginal and Torres Strait Islander Education and Employment Recognising good practice

- 24.1 The Parties to this Agreement acknowledge and recognise Aboriginal and Torres Strait Islander peoples as the Original Peoples of Australia.
- 24.2 The Parties acknowledge the University's contribution to community capacity building and to the national workforce in a range of professions through its community-based education model.

Aboriginal & Torres Strait Islander inclusive human resource management

- 24.3 The **University** recognises the unique cultural competencies required to be an Aboriginal and Torres Strait Islander educator for both Aboriginal and non-Aboriginal academic and professional staff members and where relevant will take these into account in staff selection and promotion.
- 24.4 The **University** will maintain Aboriginal and Torres Strait Islander representatives on interview panels for all positions within the Institute of Koorie Education.
- 24.5 Subject to clause 24.4, the **University** will include Aboriginal and Torres Strait Islander representation on interview panels for positions that require Aboriginal and Torres Strait Islander expertise and knowledge.
- 24.6 The **University** will make best endeavours to increase the number of equivalent full time Aboriginal and Torres Strait Islander positions at the University to a total of 50, as described in the table below. The NTEU commits to assisting the University to meet these targets.

| Aboriginal & Torres Strait Islander Staff FTE: | Baseline 2017 | Target 2018 | Target 2019 | Target 2020 | Target 2021 |
|--------------------------------------------------|------------------|----------------|----------------|----------------|----------------|
| Professional Staff | 13 | 17 | 20 | 23 | 27 |
| Academic Staff | 15 | 16 | 18 | 20 | 23 |
| TOTAL | 28 | 33 | 38 | 43 | 50 |
| Senior Staff Levels (Level E, HEW10 or above) | 3 | 3 | 4 | 4 | 5 |



- 24.7 The **University** will develop and maintain the Deakin University Aboriginal and Torres Strait Islander Employment Strategy to support the provisions of this clause 24, including its best endeavours commitment in clause 24.6 to increase the number of equivalent full-time Aboriginal and Torres Strait Islander positions at the **University.**
- 24.8 The parties will maintain a committee made up of equal numbers of **University** and **NTEU** representatives to review recruitment progress against the targets in clause 24.6, and determine what actions may assist to achieve the targets. The committee will meet at least annually. For the avoidance of doubt, this does not preclude additional meetings.

Respecting cultural, social and spiritual systems and protocols

24.9 The **University** will continue to include in its induction program for **University** staff an introduction to cultural difference highlighting respectful relationships and summarising approaches to Aboriginal and Torres Strait Islander education at the **University**.

24.10 The University:

- (a) will develop and maintain an Aboriginal and Torres Strait Islander Cultural Competency Training Program; and
- (b) encourages staff to undertake that training where they are:
 - (i) a member of an interview panel for positions that require Aboriginal or Torres Strait Islander expertise and knowledge and/or a candidate has identified as Aboriginal or Torres Strait Islander;
 - (ii) **Supervisor**(s) and staff members in a work area to which an Aboriginal or Torres Strait Islander person is appointed.
- 24.11 The **University** will make provision for appropriate cultural leave to enable Aboriginal and Torres Strait Islander staff to fulfil ceremonial, community and family obligations consistent with clause 54 of this **Agreement**.

Academic Workloads

- 24.12 Academic staff in the Institute of Koorie Education are covered by clause 48 of this **Agreement**.
- 24.13 The Academic Work Allocation Model in the Institute of Koorie Education will take into account the particular features of community-based and intensive teaching.

PART 3. What is my salary and how is my position classified?

25 Salaries

- 25.1 Salaries are paid on a fortnightly basis. Salaries will be paid by direct credit to a financial institution of the staff member's choice (subject to any systems limitations).
- 25.2 The **University** will provide electronic access to salary payment information on each payday setting out the particulars of the payment, leave balances and salary deductions if applicable.
- 25.3 The **University** may provide such information in writing where the staff member can demonstrate genuine difficulty in accessing the electronic salary information system.
- 25.4 Where the normal day of payment of salaries falls on a day prescribed as a **University Holiday** in this **Agreement**, salaries will be paid no later than the day on which the **University** is open for business immediately preceding the **University Holiday**.
- 25.5 If authorised in writing by a staff member, the **University** will facilitate the payment of membership fees to the **NTEU** from the staff member's net salary.



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Advanced Payment

- 25.6 A staff member may be paid in advance, up to a maximum of 12 weeks' pay, where:
 - (a) the staff member is due to commence a period of recreation leave of between five working days and 12 weeks or a period of parental leave, long service leave or professional experience program leave; and
 - (b) the staff member requests advance payment for this period of leave; and
 - (c) at least four weeks' notice is given in writing to the Human Resources Division (unless a shorter period is agreed to by the **University**).

Apprentice and Trainee Salaries

25.7 Apprentices will be paid at the following percentages of the rate in Schedule A:

| First Year | 42% of HEW 3, step 1 |
|-------------|----------------------|
| Second Year | 55% of HEW 3, step 1 |
| Third Year | 75% of HEW 3, step 1 |
| Fourth Year | 88% of HEW 3, step 1 |

- 25.8 Apprentices employed prior to this **Agreement** coming into operation currently receiving percentages greater than those specified above will continue to receive payment at that rate.
- 25.9 A training level equivalent to 90% of the base level for Higher Education Worker levels 1 to 4 will be paid to a staff member at these levels who are undergoing specific training. Payment at this level will apply for a maximum period of 12 months.
- 25.10 The conditions for Trainees are set out in Schedule E.

26 Underpayments and Overpayments

- 26.1 Any underpayment to a staff member will be corrected and full payment made to the staff member normally within two working days, and otherwise as soon as is practicable, of notification of the underpayment to the Human Resources Division unless, by Agreement with the staff member, the underpayment is to be rectified on the next pay day.
- 26.2 The University will advise and consult with a staff member when an overpayment has been identified. The University will inform the staff member in writing the amount of the overpayment and options available for repayment. The timeline for repayment shall be of reasonable length, having regard to the extent of the overpayment. The staff member and the **University** will negotiate an agreed repayment arrangement in good faith, after which the **University** may deduct the overpayment from the staff member's salary or termination payments in accordance with the repayment arrangement. If such an agreement cannot be reached, clause 59 (Dispute Settling Procedures) will be applied to achieve an outcome that allows the **University** to recover the overpayment.
- 26.3 Where, as at the date of cessation of their employment, a staff member has incurred a debt owing to the University in respect of the staff member voluntarily having received or used the University's services and facilities in the ordinary course of the University's business (e.g. parking fees, fines), the University may make deductions of the amount from a staff member's salary or final entitlements that would otherwise be payable upon termination. The University will notify the staff member of its intention to deduct the amounts prior to doing so.



27 Salary Increase

27.1 On the first full pay period after the dates set out below, staff members to whom this **Agreement** applies will receive the following pay increases:

| Date | Salary Increase | Salary Increase | |
|----------------------------------------------------------|-----------------|-----------------|--|
| Commencement of the A (increase effective date of | | plift and 2% | |
| 1 March 2018 | 2% | - | |
| 1 March 2019 | 2% | - | |
| 1 March 2020 | 2% | - | |

28 Incremental Progression

- 28.1 A staff member will proceed through the incremental scale of their classification level if the staff member's performance is assessed by the **University** as at least 'satisfactory'.
- 28.2 Staff will not progress to the next increment where the staff member's performance is not assessed by the **University** as at least satisfactory.

29 Superannuation

- 29.1 On commencement of employment, the **University** will provide new staff members information regarding UniSuper and subject to clause 29.3 and 29.4:
 - (a) Employer contributions for staff members will be made to UniSuper in accordance with the superannuation guarantee legislation and relevant superannuation arrangements between the **University** and the superannuation fund;
 - (b) The **University** will make employer contributions to UniSuper as follows: Prior to the first full pay period on or after 1 March 2018:
 - (i) for staff appointed on a continuing basis a fraction of 0.5 or more, 17% employer contributions:
 - (ii) for staff appointed on a fixed-term contract of more than 12 months at a fraction of 0.5 or more, 17% employer contributions; and
 - (iii) for all other staff, compulsory employer contributions as required by applicable superannuation guarantee legislation.

From the first full pay period on or after 1 March 2018:

- (iv) all fixed term and continuing staff, 17% employer contributions; and
- (v) for all casual staff, compulsory employer contributions as required by the applicable superannuation guarantee legislation.
- 29.2 This clause satisfies the choice of fund requirements for all eligible staff members employed at the University.
- 29.3 A staff member may request the **University** to reduce their employer superannuation contribution, and increase their Salary by the same amount, provided that their superannuation contribution is not less than the Superannuation Guarantee Charge rate. For staff members who are members of UniSuper, this will only be approved where the UniSuper Trust Deed allows for the staff member to reduce their contributions.
- 29.4 Nothing in this clause limits the **University** from exercising flexibilities in the superannuation arrangements and contribution rates where those flexibilities are provided for in the Superannuation Trust Deed as amended from time to time and/or the 5% flexibility in coverage and contribution level under which the University may permit up to 5% of its staff to not join UniSuper or to be enrolled in Division D only of UniSuper and receive Superannuation Guarantee contributions, notwithstanding they are eligible to enrol in Division C of UniSuper.



29.5 A staff member in a defined benefit division of UniSuper may apply to the **University** for employer and employee contributions to continue to be made to the staff member's superannuation account during periods of authorised leave without pay and the **University** may approve that application. The employer and employee contributions during the period of leave without pay will be funded by the staff member.

30 Voluntary Salary Packaging

- 30.1 Staff employed on a continuing basis, or for a fixed-term period greater than 12 months, may request that part of their **Salary** be used to provide benefits on a salary sacrifice basis. Any Fringe Benefits Tax incurred as a result of salary packaging will be paid by the staff member.
- 30.2 The **Salary** to be used for the purposes of calculating the following entitlements will be the **Salary** rates specified in this **Agreement**:
 - (a) superannuation benefits and contributions;
 - (b) termination payments;
 - (c) redundancy benefits;
 - (d) early retirement benefits;
 - (e) recreation leave loading; and
 - (f) overtime and shift payments.
- 30.3 The **University** may recover any **Salary** or benefits paid in advance to which the staff member was not entitled.
- 30.4 The salary packaging arrangement will end on cessation of employment.
- 30.5 If legislative changes make salary packaging unlawful or financially unviable for the **University**, the **University** reserves the right to withdraw the arrangements and will not be responsible for any loss or damage suffered by a staff member as a result of such changes.

31 Job Evaluation Procedures

- 31.1 This clause does not apply to casual professional staff positions.
- 31.2 The **University** will ensure that professional staff positions, including contingent-funded professional staff positions, are classified in accordance with the classification descriptors in Schedule B of this **Agreement**.
- 31.3 The **University** will develop a position description for each professional staff position, in consultation with any staff members currently holding the position. This clause does not require a new position description where one exists at the commencement of this **Agreement**.
- 31.4 A staff member, or the staff member's **Supervisor**, may seek a review of the classification of an existing position where:
 - (a) the duties and responsibilities of the position have changed; and/or
 - (b) it is considered that the position is no longer accurately classified.
- 31.5 All classification reviews will be undertaken by designated staff in the Human Resources Division who are trained in job evaluation. A classification decision will be communicated to the staff member and their **Supervisor** in writing, including a written explanation of the reasons why the decision was reached if the classification review does not result in a change to the classification.
- 31.6 Unsuccessful classification reviews determined under clause 31.5 will be reviewed by a Professional Staff Classification Review Committee (CRC).
- 31.7 The CRC will be comprised of two nominated representatives of the **University** and two nominated representatives of **NTEU** who are appropriately trained in job evaluation methodology. The CRC will provide a written recommendation to the Executive Director, Human Resources.



- recommendation of the CRC and decide on the appropriate classification for the position. The Executive Director, Human Resources, will provide reasons in writing to the **Supervisor**, the staff member and the NTEU where the recommendation of the CRC is not accepted.
- 31.9 A staff member may appeal the outcome of a CRC review under clause 31.8 by providing a written appeal to the Vice-Chancellor, within 10 working days of the date of the advice of the outcome of the classification review, on the grounds that:
 - (a) there has been a breach of process that would have influenced the final classification; and/or
 - (b) the principles of natural justice (i.e. fairness and propriety) were not adhered to.
- 31.10 The Vice-Chancellor will refer an appeal made under clause 31.9 to a Classification Appeal Committee (CAC). The CAC will consist of a member nominated by the University and a member nominated by the **NTEU** who are both appropriately trained in job evaluation methodology.
- 31.11 The Vice-Chancellor will consider and give due regard to the recommendations of the CAC. The decision of the Vice-Chancellor is final and will be communicated to the staff member, **Supervisor** and **NTEU** as soon as practicable. The Vice-Chancellor will provide reasons in writing to the **Supervisor**, the staff member and the **NTEU** where the Vice-Chancellor does not accept the recommendations of the CAC, or where there is a split recommendation of the CAC. A recommendation to change the classification of the staff member, if endorsed by the Vice-Chancellor, will apply from the date of lodgement of the review referred to in clause 31.4.

Professional Staff Personal Classification

- 31.12 A professional staff member who occupies a position which is classified within the HEW levels 1 – 7 may seek salary advancement through the **University** Personal Classification Program. The University Personal Classification Program operates to link two classification levels together to form an extended pay structure in recognition of outstanding performance and skill development achieved by a staff member while in their current position.
- 31.13 It is the joint responsibility of the professional staff member and their **Supervisor** to identify the development and work experience required to enable the staff member to take advantage of the University Personal Classification Program. This should form part of the normal staff performance planning and review discussions.
- 31.14 A professional staff member may apply to their **Head of Organisational Unit** for a personal classification. A formal interview is to be undertaken to confirm that the staff member meets the requirements of the higher role.
- 31.15 The Executive Director, Human Resources, may approve an application where the following conditions are met:
 - (a) work at the higher level is available and required as assessed by the Head of Organisational Unit; and
 - (b) the staff member must be consistently rated in the highest performance band in their role and current classification level; and
 - (c) the staff member meets the training level or qualifications and experience requirements in the position classification standards for the higher level; and
 - (d) the staff member must have been on the top increment of their present classification for at least 12 months.
- 31.16 Where a position is subject to a personal classification:
 - (a) the personal classification ceases when the position is vacated for any reason. However, the Head of Organisational Unit may review the requirements of the position and seek an evaluation of the position;
 - (b) if another professional staff member acts in a position with a personal classification, they will qualify for higher duties allowance based only on the lower classification level and not the personal classification, except where the **Head of Organisational Unit** considers the staff member is undertaking higher level responsibilities recognised in the personal classification.



PART 4. When do I get allowances?

32 Higher Duties Allowance - Professional Staff

- 32.1 A professional staff member who is required to act in a higher classification position than the classification that the staff member occupies will be paid a higher duties allowance. To be entitled to the higher duties allowance, the minimum period of acting in a higher classification position with a classification of up to HEW level 7 will be two weeks. The minimum period of acting in a higher level classification with a classification above HEW level 7 will be four weeks.
- 32.2 For the purposes of determining the minimum periods as outlined above, **University**Holidays will count if the staff member is acting at the higher level both before and after the University Holiday.
- 32.3 The higher duties allowance will be the difference between the staff member's substantive **Salary** and the minimum **Salary** point of the higher classification position.
- 32.4 If the staff member is not performing the full range of the duties of the higher classification position, the staff member will be paid a pro-rata amount of the difference between their substantive **Salary** and the minimum **Salary** point of the higher classification position.
- 32.5 A staff member will be eligible to receive an increment at the higher classification position if they have worked for a continuous period of 12 months in the higher classification position, or an aggregate period of 12 months over a 24 month period.
- 32.6 If a staff member is promoted to the higher classification position, the staff member will not suffer any reduction in **Salary** and will be promoted on the same incremental level at which they were acting.
- 32.7 Any periods of acting at the higher classification position will be taken into account in determining future increments should the staff member be promoted to the higher classification position.
- 32.8 A staff member who is acting in a higher classification position will be entitled to continue to receive a higher duties allowance when the staff member is absent from work on paid leave or on a rostered day off, other than long service leave, provided the acting in the higher classification position would have continued but for the taking of leave.

33 Overtime Meal Allowance – Professional Staff

- 33.1 A professional staff member will be paid a meal allowance of \$19.40 if the staff member is required:
 - (a) after the completion of their **Ordinary Hours of Work** for the day, to perform work after a break for a meal which occurs after the completion and is not entitled to payment for that break;
 - (b) after completion of their **Ordinary Hours of Work** for the day, to work overtime which involves their attendance without a break up to the completion of, or after, the meal period which occurs next after that completion;
 - (c) to perform work on a day before the time at which their **Ordinary Hours of Work** for the day start, is granted a break for a meal before that time and is not entitled to payment for that break; or
 - (d) to perform work extending beyond a meal break on a day on which, apart for the requirement, they would not perform work and is not entitled to payment for that meal break.
- 33.2 The overtime meal allowance rate will be adjusted each year in accordance with the annual "All Groups CPI" figure.
- 33.3 A professional staff member who is required to perform any of the duties specified in clause 33.1 will be paid a meal allowance if the staff member cannot reasonably be expected to return to their home or lodgings for a meal between the time the staff member stops work before the meal and the time the staff member is required to start work after the meal.



- 33.4 For the purposes of clause 33.1(b) "meal period" means the period between:
 - (a) 7.00am and 9.00am;
 - (b) 12 noon and 2.00pm;
 - (c) 6.00pm and 7.00pm; or
 - (d) 12 midnight and 1.00am.
- 33.5 Where a professional staff member is entitled to be paid a meal allowance in accordance with this clause, the University may provide a meal to the professional staff member in lieu of paying the professional staff member a meal allowance.

34 On-Call Allowance - Professional Staff

- 34.1 A professional staff member required to be on-call to either respond to queries or to return to work after the staff member's **Ordinary Hours of Work** will be paid an on-call allowance. The on-call allowance will be an amount equal to
 - (a) 30% of the staff member's **Ordinary Rate of Pay** if required to be on-call during a University Holiday; or
 - (b) 20% of the staff member's **Ordinary Rate of Pay** for any other period during the time when the staff member is required to be on-call.
- 34.2 All requests for a professional staff member to be on-call and any subsequent payment of the on-call allowance must be authorised by the staff member's **Supervisor**.
- 34.3 This clause will operate in conjunction with the University's overtime and call back provisions in clause 44 of this Agreement. The following minimum periods apply, subject to clause 44.14 of this Agreement, in respect to overtime payments for a professional staff member who is required to be on-call:
 - (a) Where the professional staff member is called into their office, another part of a University campus or an off-campus work site (for instance the residence of another staff member), three hours; or
 - (b) Where the professional staff member responds remotely (i.e. without travelling to a University work site), 15 minutes.
- 34.4 The staff member will not be paid the on-call allowance for the period of the staff member's overtime payment.
- 34.5 The main locations of the **University** are within the areas of metropolitan Melbourne, Geelong and Warrnambool. Accordingly, a professional staff member will not be required to return to work outside the geographic boundary of their substantive work location, unless it is necessary to respond to the query.
- 34.6 A professional staff member in receipt of an on-call allowance must remain fit for work and is required to either remain at home or to make themselves available by means of telephone (mobile or fixed) and/or electronic communication if required to carry out work.
- 34.7 Where practicable, the University will supply a professional staff member who is required to be on-call with access to a mobile phone and/or other mode of electronic communication.

35 Vehicle Allowance

Where the **University** authorises a staff member to use their privately owned, hired or leased vehicle for official purposes, they will be paid an allowance of 65 cents per kilometre travelled for the official purpose.

36 First Aid Allowance

A first aid allowance will be paid to staff members who:

(a) were covered by a previous state based trade's award that specifically provided for a first aid allowance and who continue in that role or a similar type trades based role, or



(b) staff members who currently receive a first aid allowance and who remain in their current work area.

37 Travelling Allowance

A staff member who, at the direction of the University, is absent from work on University business will be reimbursed for reasonable expenses to cover the cost of travel, meals, incidental expenses and/or accommodation, in accordance with University policy. To be reimbursed, the staff member must provide the **University** tax invoices, or similar documents accepted by the Australian Taxation Office.

38 Reimbursement of Fares

- 38.1 Unless otherwise authorised, a staff member required by the **University** to travel on **University** business will travel by public transport or by a **University** supplied vehicle.
- 38.2 If costs are incurred by a staff member in travelling under clause 38.1 the University will reimburse the staff member for the cost of the travel upon production to the **University** of tax invoices, or similar documents accepted by the Australian Taxation Office.
- 38.3 Where the **University** authorises the use of a hired car by a staff member and the staff member pays for the hire car, the **University** will reimburse the staff member upon production to the **University** of receipts for the cost of the hire car.

39 Staff Relocations

- 39.1 If a staff member is required to change their place of residence due to being transferred between a metropolitan campus and a non-metropolitan campus or between campuses in Geelong and Warrnambool for work-related reasons, they are entitled to reimbursement of reasonable relocation expenses in accordance with current **University** policy. They are also entitled to up to two days' paid leave to enable them to relocate to the new residence.
- 39.2 If a staff member is required to perform all or the major part of their duties at a campus or other premises of the University other than at their previous place of work with the University and they elect, for whatever reason, to relocate to a residence closer to the campus or other premises, they are entitled to up to two days' paid leave to enable them to relocate to the new residence.

PART 5. What hours do I work?

40 Workplace Flexibility

- 40.1 The **University** and a staff member may agree to make an individual flexibility arrangement to vary the effect of terms of this **Agreement** if:
 - (a) the arrangement deals with:
 - (i) structuring a pattern of working hours (within the span of hours in this **Agreement**) to enable professional staff members employed on a continuing or fixed-term basis to work on an annualised basis for a portion(s) of a year receiving Salary averaged on a fortnightly basis across the whole year;
 - (ii) leave loading:
 - (b) the arrangement meets the genuine needs of the **University** and the staff member in relation to one or more of the matters mentioned in clause 40.1(a);
 - (c) the arrangement is genuinely agreed to by the **University** and the staff member, and
 - (d) the staff member has had at least three working days to consider the proposal.
- 40.2 Arrangements made in accordance with this clause are subject to the approval of the Executive Director, Human Resources.



- 40.3 Where the **University** proposes an individual flexibility arrangement the **University** will inform the staff member that they may seek advice from an independent Representative, including from the **NTEU**.
- 40.4 The **University** must ensure that the terms of the individual flexibility arrangement:
 - (a) are about permitted matters under section 172 of the Fair Work Act 2009; and
 - (b) are not unlawful terms under section 194 of the Fair Work Act 2009; and
 - (c) result in the staff member being better off overall than the staff member would be if no arrangement was made.
- 40.5 The **University** must ensure that the individual flexibility arrangement:
 - (a) is in writing; and
 - (b) includes the name of the **University** and the staff member; and
 - (c) is signed by the **University** and the staff member and if the staff member is under 18 years of age, signed by a parent or guardian of the staff member; and
 - (d) includes details of:
 - (i) the terms of this **Agreement** that will be varied by the arrangement; and
 - (ii) how the arrangement will vary the effect of the terms; and
 - (iii) how the staff member will be better off overall in relation to the terms and conditions of their employment as a result of the arrangement; and
 - (e) states the day on which the arrangement commences.
- 40.6 The **University** must give the staff member a copy of the individual flexibility arrangement within 14 days after it is agreed.
- 40.7 The **University** or staff member may terminate the individual flexibility arrangement:
 - (a) by giving no more than 28 days' written notice to the other party; or
 - (b) if the **University** and staff member agree in writing at any time.
- 40.8 This clause does not and is not intended to limit the operation of other clauses in this **Agreement** that provide flexibilities including, for example, clause 42.

41 Workloads - Professional Staff

- 41.1 The **University** is committed to ensuring that a professional staff member's workload is fair and reasonable. Workloads will be determined on a fair, transparent and consultative basis taking into consideration the level of appointment and total responsibilities.
- 41.2 The **University** will take reasonable steps to ensure that a professional staff member does not work excessive or unreasonable hours and is not working in excess of any prescribed hours of work.
- 41.3 The allocation and management of a professional staff member's workload is the responsibility of the staff member's **Supervisor**, in consultation with the staff member.
- 41.4 Where significant changes in academic work or work practices are proposed, the impact on a professional staff member's workload will be discussed with a view to resolving any issues with the affected staff member.
- 41.5 A professional staff member who considers that their workload is unreasonable may seek a review with their **Supervisor**. If the matter remains unresolved, it will be referred to the **Supervisor**'s manager who will attempt to resolve the matter. If the matter is still unresolved the matter may be dealt with in accordance with the dispute settling procedure in clause 59.
- 41.6 Where professional staff members in a work area have ongoing concerns regarding alleged excessive workloads in the work area, the staff members may request their manager to seek to prevent or resolve the issue.



42 Flexible Work Hours - Professional Staff

- 42.1 The **University** has in operation a variety of different working patterns for professional staff members which include work across different numbers of days per week, fortnight or month and flexitime, which may be approved, subject to operational need.
- 42.2 With the exception of flexitime, each of these working patterns will have a fixed start and finish time as well as a fixed lunch break and operate within a span of hours in clause 43.
- 42.3 The specified start and finish times may be amended by the **Supervisor** after consultation with the professional staff member, to ensure that appropriate levels of flexibility are provided and operational needs are met, while still maintaining the relevant span of hours.

43 Hours of Work - Professional Staff

- 43.1 The **Ordinary Hours of Work** for a professional staff member will be determined by the **University** in accordance with this clause.
- 43.2 The **Ordinary Hours of Work** for a professional staff member will not exceed an average of 36 hours and 45 minutes per week to be worked on one of the following bases:
 - (a) 36 hours and 45 minutes within a work cycle not exceeding 7 consecutive days;
 - (b) 73 hours and 30 minutes within a work cycle not exceeding 14 consecutive days;
 - (c) 110 hours and 15 minutes within a work cycle not exceeding 21 consecutive days;
 - (d) 147 hours within a work cycle not exceeding 28 consecutive days.
- 43.3 The spread of **Ordinary Hours of Work** for a professional staff member (unless otherwise specified in this **Agreement**) will be worked on any or all of the days of the week, Monday to Friday and continuously, except for meal breaks, between 7.00am and 7.00pm. The span of hours for maintenance and maintenance support staff will be 6.00am and 7.00pm.

Flexible Ordinary Hours Span

- 43.4 Notwithstanding clause 43.3, a staff member may work ordinary hours which are rostered outside the spread of ordinary hours specified in clause 43.3 on a temporary or ongoing basis where:
 - (a) necessary to meet the **University**'s operational requirements and agreed in writing between the staff member and the **University**; or
 - (b) requested at the staff member's initiative and approved by the **University** solely to accommodate flexible working requests of the staff member, including to better meet their personal circumstances.

Examples

Sam is a full-time staff member working 9.00am to 5.00pm who would like to work from 3.00pm to 11.00pm on Wednesdays – Fridays. Sam's work area has an operational need for staff to work from 7.00am to 11.00pm and a staff member who worked 5.00pm to 11.00pm recently left. Sam wants to undertake family responsibilities in the morning and work later and asks the area supervisor about changing hours. The supervisor agrees to Sam working 3.00pm to 11.00pm on Wednesdays to Fridays to accommodate Sam's request and because there is an operational need. Although Sam proposed the change to hours, Sam will be eligible for the 20% flexibility loading because there was an existing operational need. This is covered by clause 43.4(a).

Alex is a full-time staff member working from 9am to 5pm who would like to work from 3.00pm to 10.00pm on Wednesdays. Alex's work area only currently operates from 9.00am to 5.00pm on weekdays. Alex approaches the supervisor who agrees to Alex working 3.00pm to 10.00pm on Wednesday to accommodate Alex's personal circumstances. Alex will not receive the 20% flexibility loading because Alex made the request and the work area does not need anyone to work during the hours 5.00pm to 10.00pm. This is covered by clause 43.4(b).



- 43.5 A professional staff member who works ordinary hours in accordance with clause 43.4(a) will be paid:
 - (a) a 20% flexibility loading for every hour worked outside the spread of ordinary hours specified in clause 43.3, except for:
 - (i) ordinary hours worked on a Saturday, where the professional staff member will be paid a 50% flexibility loading per hour;
 - (ii) ordinary hours worked on a Sunday, where the professional staff members will be paid a 100% flexibility loading per hour; and
 - (iii) ordinary hours worked on a **University Holiday**, where the professional staff member will be paid a 150% flexibility loading per hour.
- 43.6 This clause 43 will be used, amongst other things, as a measure to facilitate ongoing employment rather than casual employment.
- 43.7 Where a staff member is working a flexible hours span under 43.4(b) on a temporary or ongoing basis, the staff member may revert to their previous hours of work, by giving 28 days' written notice to the **University**, or as otherwise agreed.
- 43.8 Where the **University** introduces a flexible ordinary hours span position, prior to advertising that position, the **University** will invite professional casual staff in the immediate work area to express interest in appointment to that position. The **University** will appoint a casual staff member who has expressed interest and meets the selection criteria. If there is more than one casual staff member who expresses interest in appointment and meets the selection criteria the **University** will appoint using merit based selection.

44 Overtime - Professional Staff

- 44.1 All authorised time worked in excess of or outside of the **Ordinary Hours of Work** prescribed by clause 43 will be overtime and will be paid for in accordance with this clause. Any hours worked in accordance with an arrangement or agreement under clause 43.4 will not amount to authorised time worked in excess of or outside the **Ordinary Hours of Work** within the meaning of this clause 44.
- 44.2 Where the professional staff member's **Ordinary Hours of Work** are fixed, each day's work will stand alone in computing overtime and overtime rates will apply to all time worked in excess of or outside of the fixed hours.
- 44.3 Where the professional staff member's daily **Ordinary Hours of Work** are flexible, the total hours worked in a work cycle as defined in clause 43 will be calculated and overtime rates will apply to all time worked in excess of the **Ordinary Hours of Work** prescribed for the work cycle.
- 44.4 A part-time professional staff member is entitled to overtime for all hours in excess of or outside of the **Ordinary Hours of Work** prescribed by clause 43.
- 44.5 Any period of overtime which is continuous with ordinary duty and which extends beyond midnight will be deemed to have been performed on the day the overtime commenced.
- 44.6 Where overtime is not continuous with ordinary duty and involves duty before and after midnight, the overtime will be deemed to have been worked on the day for which the higher rate is payable.
- 44.7 Overtime will be calculated to the nearest quarter of an hour of the total amount of overtime worked in a work cycle.
- 44.8 Professional staff in receipt of a **Salary** above HEW level 7 will not receive payment for overtime but will be allowed time off work equivalent to the period of overtime worked, where the overtime to be worked has been agreed to by the staff member's **Supervisor** prior to the overtime being worked.
- 44.9 The **Salary** of a professional staff member, for the purpose of calculating overtime, will not include shift work allowances, flexibility loading or casual loading but will include higher duties allowance and any other allowance in the nature of **Salary**.



- 44.10 Payment for overtime calculated for any period will not be subject to any limitation in amount within a work cycle as defined in clause 43.
- 44.11 Subject to clause 44.12 the following overtime rates will apply:
 - (a) for overtime worked Monday to Saturday inclusive ordinary rate plus 50% for the first three hours and ordinary rate plus 100% thereafter;
 - (b) for overtime worked on a Sunday ordinary rate plus 100%;
 - (c) for overtime worked on a **University Holiday** ordinary rate plus 150%.
- 44.12 A professional staff member in receipt of a **Salary** not exceeding that prescribed for the top increment of HEW level 7 may agree, prior to the overtime being worked, to take time off in lieu of overtime payment. The time off will be calculated in the same manner as is prescribed in clause 44.11.

Professional staff member recalled to duty

- 44.13 A professional staff member required to work overtime which is not continuous with ordinary duty will receive a minimum three hours payment in respect of that overtime, even if the period of duty is less than three hours.
- 44.14 Where more than one overtime attendance is involved the minimum payment provision will not operate to increase the overtime payment beyond that which would have been payable had the professional staff member remained on duty from the time of commencing one attendance to the time of ceasing a subsequent attendance.

Rest relief after overtime

- 44.15 A professional staff member required to work overtime, such that there is not a break of at least 10 consecutive hours plus reasonable travelling time between the cessation of one period of duty and the commencement of the next ordinary period of duty, will be released after completion of the overtime duty for a period of not less than 10 consecutive hours plus reasonable travelling time and such release will be without any loss of pay for scheduled ordinary duty occurring during such absence.
- 44.16 A professional staff member required by the **University** to resume or continue work without having at least 10 consecutive hours plus reasonable travelling time off duty will be paid at ordinary rate plus 100% until released from duty for not less than 10 consecutive hours plus reasonable travelling time off duty and release will be without loss of pay for any scheduled ordinary duty occurring during such absence.
- 44.17 For the purpose of this clause, reasonable travelling time will mean the period of time normally required to travel from the place of residence of the professional staff member to the **University** and back.

45 Shift Work - Professional Staff

- 45.1 Provisions relating to shift work are found in Schedule I. Schedule I only applies to:
 - (a) professional staff classified as shift workers as at the commencement date of this **Agreement**; or
 - (b) professional staff employed as carbon fibre manufacturing staff.
- 45.2 The **University** will not involuntarily retrench an existing shift worker because of the introduction of a new flexible ordinary hours span position to replace that shift worker, for the life of the **Agreement**.

46 Meal Breaks - Professional Staff

A professional staff member will not be required to work more than five consecutive hours without a meal break. The meal break will be between 30 minutes and 1 hour. It is unpaid and will not count as time worked.



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47 Travel Between Campuses

- 47.1 A staff member attached to a designated campus will not be assigned unreasonable responsibilities at another campus but may be required to travel from time to time to and from campuses to meet work requirements.
- 47.2 Where travel referred to in clause 47.1 is necessary, a **University** vehicle will be provided whenever possible or the **University** may authorise the use of the staff member's own vehicle. If the staff member uses their own vehicle, the rate of payment per kilometre will be calculated in accordance with clause 37.
- 47.3 For a professional staff member, time spent travelling between campuses on **University** business will only count as time worked if the travel is completed within 24 hours before or after the start or completion of the relevant work. Travel time within work hours will be calculated on the basis of the period of travel by the staff member in excess of the normal time spent travelling to and from the staff member's place of work and home.

48 Academic Work Allocation

- 48.1 Continuing and those fixed-term academic staff members employed under the categories in clauses 16.4(f), 16.4(g), 16.4(i), 16.4(j) and 16.4(l) are entitled to participate in an appropriate mix of teaching, research or scholarship and service as determined in accordance with this clause. This clause does not apply to research-only academic staff.
- 48.2 The **University** will ensure that the total work allocated to individual academic staff members is fair and the process of allocation is transparent and that stage of career and academic level is taken into account. It will take reasonably practicable steps to ensure that staff members:
 - (a) have sufficient and reasonable time to perform their allocated research or scholarship, teaching, and service activities;
 - (b) are not required to work excessive or unreasonable hours;
 - (c) are able to raise, and have properly considered, legitimate issues relating to their work allocation; and
 - (d) are reasonably able to take recreation leave.
- 48.3 The **University** will ensure that Heads of School and Academic Supervisors are aware of the above requirements in relation to managing and allocating workloads and that academic staff are advised of the work allocation provisions of this **Agreement**.

Hours of Work

- 48.4 Work will be allocated within a maximum of 1690 hours per year (over 46 weeks of the year). This assumes that the staff member will take four weeks recreation leave during the year and may vary subject to any leave that is taken by the staff member and takes into account **University Holidays** and Christmas close down. The references to percentage allocations in this clause are percentages of the maximum number of hours.
- 48.5 A part-time staff member's work will be allocated on a proportionate basis, and all measures referred to in this clause shall be adjusted appropriately for part-time staff members.

Work Planning and Allocation

- 48.6 Work allocation for a staff member will be determined by the Head of School or equivalent in consultation with the staff member in accordance with the provisions of this clause.
- 48.7 The Head of School or equivalent, in consultation with the staff member, will as soon as practicable plan for the forthcoming **Academic Year** the anticipated:
 - (a) allocation of research or scholarship, teaching (particularly unit chairing responsibilities), and service duties; and
 - (b) recreation leave and other leave.



- 48.8 Following the planning referred to in clause 48.7, wherever reasonably possible, an academic staff member will be advised of their work allocation no later than 4 weeks before the start of the **Academic Year** to which the work allocation relates.
- 48.9 An academic staff member is normally expected to take recreation leave in periods where the staff member is not allocated teaching delivery activities and must obtain the approval of the Head of School or equivalent before commencing recreation leave during a period of allocated teaching delivery activities. A Head of School will not unreasonably withhold approval for recreation leave sought during the staff member's non-**Teaching Periods**.
- 48.10 The **University** will maintain an Academic Work Allocation Model (WAM) for each Faculty, School or academic unit covering each academic staff member which provides for a reasonable and sufficient estimate of hours for teaching and service activities. Each WAM will address the elements of research or scholarship, teaching and service that are set out in Schedule J. A WAM may be altered, following consultation with affected staff and the **NTEU**, with the changes taking effect from the following **Academic Year.**
- 48.11 The process of work allocation for an individual academic staff member will be consistent with the applicable WAM and involve the following:

Teaching

- (a) Teaching work will be allocated to fall within the ranges set out in the table below for the **Academic Year**. Teaching range allocations will be no less than 20%.
- (b) As teaching requirements of all courses need to be met within the resources available to the **University**, the **University** may by consultation and agreement with the staff member allocate additional teaching and make reasonable adjustments to the service and research or scholarship allocation, where required, during the **Academic Year**. An affected staff member's future research allocation will not be negatively impacted on by a variation of teaching allocation under this clause where a greater teaching allocation is necessary.
- (c) Staff and their Head of School or equivalent will discuss the best allocation for the staff member and the School for teaching across the **Academic Year,** in a manner that meets clause 48.2.
- (d) A staff member with a research allocation of 20% or more will teach in no more than two trimesters in an **Academic Year**, or up to an equivalent number of teaching weeks spread over the **Academic Year**, unless otherwise agreed.
- (e) The **University** will develop and maintain an academic study program that provides for industry placement and the opportunity for development of teaching expertise for academic staff who have no research allocation. The scope and application of the program will be reasonably determined by the **University**.

Research or Scholarship

- (f) A research work allocation will be determined on the basis of the previous three years research outcomes and reasonably taking into account institutional research priorities. Research work allocations for an individual staff member will be set pursuant to this clause (f), (g) and (h) with reference to an approved discipline-specific table or formula.
- (g) Other factors will be taken into account which may result in an increased research allocation, for example to provide an opportunity to build a research profile, for early career researchers, to assist a return to research after extended leave or where the research performance of an academic area or individual needs to be increased. Expected research outcomes will be reasonable and appropriate for the level of appointment of the staff member.
- (h) A staff member with no research allocation will have a time allocation and an approved work plan for the use of that time of no less than 10% to undertake scholarship aimed at consolidating and extending systematised knowledge of their discipline area(s).

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Service allocation

- (i) For all staff, a service allocation will reflect the agreed service activities and core service activities.
- (j) The total service allocation will be subject to a minimum of 10%.
- (k) In the relevant WAM, the following core service activities will be allocated a total 5% allocation and include the following:
 - (i) Attendance at School/Department and Faculty meetings;
 - (ii) Activities and attendance for Open Days and other promotions activities;
 - (iii) Attendance at at least one graduation ceremony;
 - (iv) Participation in Awards ceremonies;
 - (v) Professional development up to the first 7 hours;
 - (vi) Faculty planning days/retreat; and
 - (vii) Preparation for and attendance at School/Department, Faculty or **University** based committees, up to the first 14 hours.
- (I) Schedule J includes examples of service activities and additional agreed activities will be reflected in the total service allocation.
- (m) A staff member may receive an increased service allocation where they are required to undertake a formal management or leadership responsibility, such as an Associate Head of School, with an equivalent reduction in other allocations, provided that the minimum teaching allocation of 20% will continue to be met.

Flexibility

- (n) The balance of work beyond the initial teaching, research/scholarship and minimum 10% service allocation, will be allocated to teaching, research or scholarship or service in consultation with the staff member in the allocation process, including discussion of the planned activities that would be undertaken. In discussing the allocation the staff member will not unreasonably withhold their agreement and the allocation will be confirmed by the Head of School or equivalent, having genuinely considered the views of the staff member.
- (o) A staff member and Head of School or equivalent may agree in the allocation process or at any time to an adjustment to their mix of workload allocation percentages. For example, this could include an increase in their service percentage taking into account appointment to a position of approved formal management or leadership responsibility.

Summary Table

48.12 The table summarises allocation of work activities, which will total 100% including the flexible load allocation:

| Allocated Teaching (approximate %) | 20 | 30 | 40 | 50 | 60 | 70 |
|--------------------------------------------------------------------------------------|---------------|------------------------------|--------|--------|--------------|--------------|
| Research/Scholarship (approximate % allocation), based on previous outcomes | 60 or more | 50 | 40 | 30 | 20 | 10 |
| Service (%): | min 10 | min 10 | min 10 | min 10 | min 10 | min 10 |
| | | categories m ion provided | | | according to | the flexible |



Opportunity to develop research profile

48.13 Any academic staff member may apply to the Executive Dean of their Faculty (or equivalent if the staff member is not employed in a Faculty), to be provided with a two year opportunity to develop a research profile on the basis of a detailed plan for research outcomes which align with the discipline and **University** goals. If approved, they will have their work allocation adjusted to allow sufficient time for the staff member to increase their research output by an agreed amount.

Work Allocation Review

- 48.14 If an individual academic staff member has a concern about a failure to apply the process in accordance with this clause in relation to their work allocation, or that their work allocation is excessive or unreasonable, in the first instance, this should be discussed with their Supervisor. Where it is not possible to resolve the concern by this means the academic staff member may write to the Executive Dean of their Faculty (or equivalent if the staff member is not employed in a Faculty), seeking a work allocation review. A work allocation review will be completed and a response provided to the staff member in a timely manner and wherever reasonably possible within two weeks of their application for a review.
- 48.15 If the matter is not resolved by the Executive Dean of their Faculty the staff member may then take their concerns to the Deputy Vice-Chancellor (Education).
- 48.16 If these discussions do not resolve these concerns then the Deputy Vice-Chancellor (Education) will make a decision in a timely manner and wherever possible within two weeks of the request under clause 48.14. Pending the finalisation of any work allocation review under this clause a dispute under clause 59 cannot be brought in respect of an individual's workload allocation.

Review of academic work allocation models

- 48.17 Where at least 50% of academic staff covered by a WAM (and associated research expectations model) request a review of the WAM or part(s) of the WAM, a review will be conducted in accordance with clauses 48.18-48.25.
- 48.18 The **University** is required to conduct the review where the WAM has not been the subject of a previous request/review pursuant to clause 48.17 during the life of this Agreement. Otherwise, if there are further subsequent requests, these will be considered by the Faculty and the Faculty may, but is not compelled to, undertake the further review.
- 48.19 When seeking a review, the request must identify at least one or more significant or substantial issues with the WAM and the scope of the review will include consideration of those issues. The review will focus on issues that are substantive and significant in relation to the content and/or structure of the WAM. The review does not require consideration or revision in respect of minor issues or matters of fine judgements and distinctions.
- 48.20 The Executive Dean (or delegate) will, within 4 weeks of receiving a valid request pursuant to clause 48.17, identify issues being considered in the review and invite directly affected staff members and the NTEU to provide written submissions. All submissions must be submitted to the Executive Dean within 4 weeks. The Executive Dean may reasonably seek input from others and will be supported in the conduct of the review by the Human Resources Division.
- 48.21 Taking into account any submissions submitted in accordance with clauses 48.19 and 48.20 and the requirements of this clause 48, the Executive Dean will develop and release within a reasonable period of time a draft revised WAM for any further comment and feedback, to be provided within a reasonable period of time of up to 2 weeks. The draft updated WAM will be accompanied by an explanation and purpose of any change to the WAM and outline responses to any significant issues raised in submissions.
- 48.22 The Executive Dean will release a finalised WAM within a reasonable time after considering the further feedback in 48.21. The finalised WAM will be released with an explanation of the further changes, if any, in the finalised WAM.
- 48.23 The finalised WAM is not required to be applied until the next Academic Year, but can be implemented earlier in consultation with directly affected academic staff.



- 48.24 This clause does not restrict University-initiated changes to the WAM through a process of consultation with directly affected staff. The University will not unreasonably change a WAM that has been subject to the review process.
- 48.25 If a review includes consideration of research expectations, this will include consideration of Excellence in Research for Australia position in respect of all Australian universities who research in the relevant discipline area.

PART 6. What leave may I be entitled to?

49 Recreation Leave

Entitlement

49.1 The entitlements to recreation leave and leave loading are set out in this table:

| Leave Type | Entitlement | Eligibility |
|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|
| Recreation Leave | Full time staff are entitled to 20 working days on Full Pay per completed year of Service. Leave accrues on a fortnightly basis. Leave for part-time staff is calculated on a pro-rata basis. | All staff except for casual staff |
| Leave Loading | A staff member who, as at 31 October in any year, has qualified for four weeks' recreation leave since 1 November of the previous year, is entitled in respect of that leave to a payment of leave loading equal to 17.5% of four weeks' Salary, subject to a maximum payment equal to the most recent report of the Commonwealth Statistician's average weekly total earnings of all Australian males. Where the leave accrual in respect of the period from 1 November in the previous year to 31 October is less than 4 weeks (for example the staff member commenced or ceased employment part way through that period), a pro-rata payment will be made for a staff member based on completed days of Service in that year ending 31 October. A staff member who ends employment prior to payment in December in any year will be entitled to a pro-rata leave loading payment on termination based on the number of completed months of Service. Payment of the recreation leave loading will be made in a lump sum and occur in December in respect of the preceding period. | All staff except for casual staff |

Conditions relating to leave loading and taking, and cashing out recreation leave

- 49.2 The dates for taking leave will be agreed between the staff member and the University, taking into account the staff member's needs and the operational needs of the University. Where an academic staff member seeks to take leave within a **Teaching Period**, their Supervisor may approve the leave where adequate arrangements can be made to cover the academic's teaching responsibilities.
- 49.3 The **University** may direct a staff member in writing to take leave at an agreed time within 6 months from the date of the written direction, or 18 months in special circumstances, where the staff member has accumulated recreation leave in excess of 20 days (or pro-rata for part-time staff), unless the staff member has reached prior agreement with their **Supervisor**. If no agreement is reached, the **University** may specify the dates when the leave is to be taken.
- 49.4 Where a staff member has accrued in excess of 30 days recreation leave (or pro-rata for part-time staff), they may elect to cash out recreation leave. The request must be in writing and approved by their **Supervisor** and the Executive Director, Human Resources, and the following conditions must be satisfied:
 - (a) The minimum number of days to be cashed out is 5 (or pro-rata for part-time staff);



- (b) The remaining recreation leave credits (including booked future leave) after cashing out must be at least 20 days (or pro-rata for part-time staff). This can include leave the staff must take on cashing out; and
- (c) The staff member must be paid at least the full amount that would have been payable had they taken the leave that they cashed out.
- 49.5 Any untaken recreation leave will be paid on termination of employment.
- 49.6 No deduction will be made to a staff member's recreation leave credits for **University Holidays** occurring during a period of recreation leave.
- 49.7 If a staff member with accrued personal leave is ill or injured for one or more working days during a period of recreation leave, and a relevant **Medical Certificate** or statutory declaration is submitted, those days may be treated as personal leave and appropriate adjustments will be made to their leave balance. If the staff member has no personal leave entitlement, they will be placed on unpaid leave for the period of illness or injury.

50 Purchased Leave

- 50.1 All continuing staff and staff on fixed-term contracts greater than 12 months may request to purchase additional leave in a 12 month period of one, two, three or four weeks. The **University** will make reasonable endeavours to accommodate the request, subject to operational requirements.
- 50.2 Purchased leave must be taken within a specified 12 month period, and will not attract leave loading. Staff must apply to take purchased leave in the same way as recreation leave and will be subject to the operational needs of the work unit.
- 50.3 Purchased leave will count as **Service** for all purposes, and will be funded by a reduction in the staff member's **Ordinary Rate of Pay**.
- 50.4 Purchased leave is subject to the following:
 - (a) purchased leave cannot be accrued and will be refunded where it is not taken in the specified 12 month period;
 - (b) other paid leave taken during the specified 12 month period will accrue and be paid at the **Purchased Leave Rate of Pay**;
 - (c) the **University** and staff members will maintain superannuation contributions for the staff member based on their **Salary**;
 - (d) leave accrued before the specified 12 month period will be retained at the rate already accrued;
 - (e) Purchased Leave Rate of Pay will be the Salary applicable for all other purposes including allowances;
 - (f) personal leave cannot be taken during a period of purchased leave;
 - (g) Higher Duty Allowance will not be paid during a period of purchased leave; and
 - (h) at the conclusion of the specified 12 month period the staff member will revert to their **Salary** unless a further application under this clause is made.



51 Personal Leave

51.1 This clause sets out entitlements to personal leave, which includes sick leave and carer's leave.

| Leave Type | Entitlement | Eligibility | Notice & Evidence |
|------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Sick Leave | 15 working days on Full Pay for each completed year of | All staff except casual staff. | Notice to be given to Supervisor prior to absence. Notice to include estimated length of the absence. |
| | Service , accruing on a pro-rata basis according to ordinary hours worked. | | If prior notice not given, notice must be given within an hour of normal starting time on the day of the absence, if it is practicable to do so. |
| | | | If a staff member's sick leave forms part or all of a period of absence in excess of 3 days (including weekends and University Holidays), or a period of absence in excess of six aggregate working days in any year of Service , the staff member must provide proof of illness or injury to the University by way of a valid Medical Certificate . A statutory declaration may also be provided, but not for projected leave periods. If no proof is given, the period of absence will be unpaid, unless the staff member requests that the leave be deducted from their recreation leave entitlements. |
| Carer's Leave | Staff can: • utilise untaken sick leave credits; or • agree with the University to take unpaid leave; or • take up to 2 days' unpaid carer's leave for each occasion, if the staff member, including a casual staff member, has exhausted their sick leave. | Staff who are required to provide care or support to a member of their Immediate Family or household because of an illness, injury or unexpected emergency. | Notice to be given to Supervisor prior to absence. Notice to include estimated length of the absence. If prior notice is not given, notice must be given within an hour of normal starting time on the day of the absence if it is practicable to do so. A Medical Certificate is to be provided for absences in excess of 3 consecutive days or when absences of less than three days exceed 5 days in any year of Service . |

- 51.2 No deduction will be made to a staff member's personal leave entitlements for **University Holidays** occurring during a period of personal leave.
- 51.3 In the first pay period after commencement of this **Agreement**, existing full-time continuing and fixed-term staff will be credited with a once-off additional 15 days of personal leave. This once-off additional personal leave will be credited on a pro-rata basis for existing part-time and continuing staff. Thereafter, personal leave accrues progressively as set out above.
- 51.4 In their first year of employment, a continuing or fixed-term staff member who has insufficient accrued personal leave in respect of an illness or injury may request to be granted personal leave of up to 12 months' entitlement in advance. Such requests are subject to the approval of the Executive Director, Human Resources, and proof of illness or injury is required. Any personal leave used but not accrued at the date of termination will be deducted from any payment due to the staff member on termination of employment.

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52 Parental Leave

52.1 **Entitlement**

| Eligibility | Entitlement | Conditions | Notice & Evidence |
|--------------------------------------------------------|-----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Unpaid Leav | e | | |
| Staff with at least 12 months' Service | 52 weeks unpaid parental leave (less any period of paid leave provided in this clause). | If the staff member has, or will have, responsibility for the care of the child during the parental leave (either by way of birth of a child by the staff member or their Spouse or De Facto Partner , or adoption of a child). | Birth or placement certificate, whichever is applicable; and Statutory declaration from staff member providing that staff member will be the only primary care giver for the period of the leave (save up to 6 weeks during the leave period during which there can be two primary carers of the child). |

| Eligibility | Entitlement | Conditions | Notice & Evidence |
|----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|
| Paid Leave | | | |
| Birth-Related | d Leave | | |
| Less than 12 months Service | A pregnant staff member is entitled to paid birth-related leave of one week per completed month of Service . | A pregnant staff member must commence leave within 20 weeks before the expected date of birth to 52 weeks after | Certificate from a medical practitioner stating that the staff member is pregnant and the expected date of birth. |
| More than 12 months and less than 2 years Service | A pregnant staff member is entitled to paid birth-related leave of 14 weeks on Full Pay or 28 weeks at Half Pay. | the staff member starts the period of leave, and must start leave no later | |
| More than 2 years Service | A pregnant staff member is entitled to paid birth-related leave of 26 weeks on Full Pay or 52 weeks at Half Pay . | expected date of birth by giving 10 working days' written notice, unless the staff member provides a Medical Certificate within that time stating they are fit for work. The staff member will have primary care of the child for the duration of the period of leave. | |



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| Eligibility | Entitlement | Conditions | Notice & Evidence |
|----------------------------------------------------------------------|-------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|
| <i>Primary Car</i> Less than 12 | | ement to paid Primary Carer I | eave |
| More than 12 months and less than 2 years Service | 10 weeks on Full Pay or 20 weeks at Half Pay. | A staff member's Spouse or De Facto Partner gives birth; or A staff member or their | Birth or placement certificate, whichever is applicable; and Statutory declaration from |
| More than 2 years Service | 18 weeks on Full Pay or 36 weeks at Half Pay | Spouse or De Facto Partner is the parent of a newborn child (but not be be a constant) | staff member providing that the staff member wil be the only primary care giver for the period of the |
| | | A staff member becomes an adoptive parent of a child who is less than 5 years of age at the date of placement and has not lived with the staff member prior to the date of placement; and | leave.* |
| | | The staff member will have primary care of the child for the duration of the leave and the staff member's Spouse or De Facto Partner (if applicable) is not the primary carer for the child for the duration of the leave.* | |
| | | Leave must commence no earlier than the date of birth or placement of the child for adoptions and no later than 3 months after the date of birth or placement. | |

^{*}Where a staff member is claiming the full entitlement to Primary Carer Leave (10/18 weeks or 20/36 weeks), the fact that their **Spouse** or **De Facto Partner** also has primary care for the child for up to six weeks during the same period of leave will not disentitle the staff member from Primary Carer Leave.

Other conditions relating to parental leave

- 52.2 Any period of paid parental leave under this clause is included and not in addition to the 52 week period in clause 52.1. Staff must use any entitlement to paid parental leave before they take any entitlement to other paid leave under this Agreement.
- 52.3 Staff may request to use 4 weeks of their paid birth-related or primary carer leave (whichever is applicable) as return to work leave to be used to facilitate return to work after a period of parental leave, which may include:
 - (a) a graduated return to work (agreed between the staff member and their **Supervisor**); and/or
 - (b) agreed study leave, conference leave or some other identified staff development initiative identified in the staff member's Performance, Planning and Review plan, providing that the **University** does not incur a Fringe Benefits Tax liability.

Except that the University has the right to refuse a request from a staff member under clause 52.3 if:

(i) the staff member has an accrued recreation leave balance of 20 days (pro-rata for part-time staff) or more; or



- (ii) the staff member has elected to return (or plans to elect to return) on a reduced time fraction; or
- (iii) the request cannot be accommodated for operational reasons.
- 52.4 The entitlement to paid parental leave is subject to the staff member taking all accrued recreation leave in excess of 20 days and accrued long service leave in excess of 12 weeks before they return to work (pro-rata for part-time staff).
- 52.5 Casual staff are not entitled to paid parental leave and will only be entitled to unpaid parental leave if they:
 - (a) have been employed by the **University** on a regular and systematic basis for a sequence of periods of employment of at least 12 months; and
 - (b) but for the birth or placement of the child, the staff member would have a reasonable expectation of ongoing employment by the **University** on a regular and systematic basis.

Paid Partner Leave

- 52.6 A staff member:
 - (a) who has a Spouse or De Facto Partner who is pregnant; or
 - (b) who adopts a child under the age of 5 years; or
 - (c) whose **Spouse** or **De Facto Partner** is the parent of a newborn child who is not entitled to paid primary carer leave in accordance with clause 52.1 because they are not the primary carer, will be entitled to 10 days paid partner leave.
- 52.7 This leave must be taken within 3 months after the date of the birth or placement of the child.
- 52.8 Any staff member who is entitled to and accesses paid partner leave in clause 52.6 above is not entitled to paid primary carer leave in clause 52.1.

Extending the period of birth-related and primary carer eave

- 52.9 Staff may request a further period of unpaid birth-related or primary carer parental leave of up to 52 weeks. This period can be taken to care for the child at any time up to when the child reaches 5 years of age.
- 52.10 The request must be made at least 3 months prior to the planned commencement of the leave. The **University** will consider the request, taking into consideration the operational needs of the staff member's work area before making a decision.
- 52.11 The **University** will provide a written response to the request under clause 52.9 within 21 days after the request is made, stating whether the request is refused or granted. If the request is refused, the **University** will include details of the reasons for the refusal.
- 52.12 Staff are only entitled to the leave in clause 52.9 if they have, or will have, primary responsibility for the care of the child.

General conditions for parental leave

- 52.13 The periods of parental leave under clauses 52.1 and 52.9 must be taken in a single, continuous period.
- 52.14 Staff must provide at least 4 weeks' written notice to their **Supervisor** of the date on which they propose to start parental leave and the period of leave to be taken. The Vice-Chancellor may waive the notice requirement in special circumstances.
- 52.15 Staff must confirm their intention to return to work from parental leave by providing at least 4 weeks' written notice to their **Supervisor** prior to the expiration of their absence.
- 52.16 Entitlement to parental leave, including paid leave, will be reduced by any period of parental leave taken by the staff member's **Spouse** or **De Facto Partner** if they are employed by the **University**. The entitlement to parental leave will not be reduced by paid partner leave under clause 52.6.



- 52.17 Superannuation contributions made by the University and the staff member will continue during any period of paid parental leave.
- 52.18 Normal incremental advancement within Salary classifications will continue during any period of parental leave.
- 52.19 Staff employed for a fixed-term, which expires during a period of parental leave, will not be eligible for further parental leave after the date the fixed-term expires, unless they are reemployed on a continuing basis or on a further fixed-term.
- 52.20 Part-time staff will be entitled to paid parental leave on a pro-rata basis.
- 52.21 Periods of unpaid parental leave will not constitute a break in continuity of Service.
- 52.22 On the ending of parental leave, staff are entitled to resume work at the substantive classification and Salary, with duties commensurate with their qualifications and experience, and, as far as practicable, similar to those performed by the staff member prior to the leave. The staff member may negotiate with their **Supervisor** a return to work from parental leave at an earlier time than originally approved.
- 52.23 A staff member may request to return to work from parental leave on a part-time basis until the child reaches school age. The **University** will consider the request having regard to the staff member's circumstances, and provided the request is genuinely based on the staff member's parental responsibilities, may only refuse the request on reasonable business grounds related to the effect on the workplace and the University's operational requirements. Such grounds might include cost, lack of adequate replacement staff, loss of efficiency and the impact on the provision of services.

53 Long Service Leave

- 53.1 Staff members are entitled to 9.1 weeks of long service leave on Full Pay after 7 years of Service, accruing at 1.3 weeks for every additional year of Service after that.
- 53.2 Staff can double the period by taking all or part of the leave on **Half Pay**.
- 53.3 Subject to clauses 53.4 and 53.5, a staff member may take all or part of their accrued long service leave entitlement for a continuous period, provided that a minimum continuous period of 1 week on **Full Pay** or 2 weeks on **Half Pay** will be taken at any one time.

Taking long service leave

- 53.4 Where a staff member has an accrued entitlement to take long service leave, the staff member and their Supervisor will consult about agreeing to mutually acceptable dates when the leave must be taken, which will be within a 5 year period from when the entitlement accrued. The dates will be agreed having regard to the staff member's preferred dates and the operational needs of the University. Where a staff member gives the notice set out below of their intention to take leave the request will, under normal circumstances, be accommodated:
 - (a) Academic staff and professional staff classified at HEW level 8 or above at least 12 months' notice; and
 - (b) Professional staff (other than staff classified at HEW level 8 and above) - at least 6 months' notice.
- 53.5 Where a staff member has an accrued entitlement in excess of 15 weeks, they may be directed by the Executive Director, Human Resources, to take at least 9 weeks' leave. The leave dates will have regard to the staff member's preferred dates, but if no agreement is reached, their Supervisor may specify the dates the leave will be taken. The staff member will be regarded as being on leave for such period and their accrued entitlement to long service leave will be reduced accordingly.
- 53.6 If a staff member is ill for 2 or more consecutive days while on long service leave, and they have an accrued but untaken entitlement to personal leave, the staff member will be entitled to take paid personal leave, and their entitlement to long service leave will be re-credited accordingly. The staff member must provide a Medical Certificate or statutory declaration for the period of the illness.



- 53.7 Where a **University Holiday** occurs during the period that a staff member is on long service leave, no deduction will be made for that day from their long service leave entitlement.
- 53.8 Staff are entitled to payment in lieu of untaken accrued long service leave as at the date of termination of employment where:
 - (a) the staff member's employment at the **University** terminates after 7 years or more **Service**, including recognised service with another employer; or
 - (b) if after four years of **Service** at the **University**, the staff member retires on the grounds of age or ill-health or the staff member dies; or
 - (c) if after one year of **Service** at the **University**, the staff member's employment terminates on the basis of redundancy, as per clause 69.
- 53.9 The amount of the payment in lieu will be calculated on a daily basis equivalent to 1.3 weeks' leave per year of **Service**.
- 53.10 If a staff member uses all or part of their long service leave in accordance with 54.1(l), no notice of intention to take long service leave is required and there will be no minimum period that must be taken.

Casual staff

53.11 Casual staff who have had 7 or more years of **Service** (including during this period any other type of employment in this **Agreement**) are entitled to long service leave calculated on their average service fraction. Prior service with other employers will not be recognised. For long service leave purposes, casual employment with the **University** is regarded as continuous if there is no more than an absence of 20 weeks between each instance of employment in the period.

Previous casual service

53.12 Staff, who are not casual staff, are only entitled to recognition of prior casual service if that service is continuous (as defined by clause 9) and the casual service occurred after 8 March 2003. Casual service prior to that date will not be recognised for staff members who are not casual staff members. Casual service prior to that date will be recognised for staff members who are casual staff members.

54 Other Leave

54.1 Staff members are entitled to the leave entitlements in the table below. Leave for part-time staff is calculated on a pro-rata basis. The evidence and notice requirements are set out below and in **University** policy. The entitlements do not apply to casual staff, save for Domestic and Family Violence leave, unpaid Compassionate Leave and Trade Union Training Leave.



| Leave Type | Entitlement | When can leave be taken | Notice & Evidence |
|-----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|
| (a) Blood Donor Leave | Full Pay for 1 visit per calendar month. | When donating blood at a time mutually agreed with the Supervisor . | Refer University procedure. |
| b) Court and Tribunal Leave | Entitled to be absent from work on Full Pay for the Ordinary Hours of Work the staff member would have otherwise worked for the duration of the attendance. Any fee paid to the staff member for their attendance will not be deducted from the payment made to the staff member by the University. | When the staff member is required to attend a court or tribunal for jury service (including attendance for jury selection), subpoenaed to attend as a crown witness, subpoenaed to give evidence on a matter that directly relates to their employment or summonsed to appear in proceedings under the <i>Fair Work Act 2009</i> or regulations made under that Act. A staff member required to attend a court or tribunal while on long service or recreation leave is entitled to Court or Tribunal Leave and adjustments will be made to their recreation and long service leave balances for the period they attend the court or tribunal. | Refer University procedure. |
| | Entitled to unpaid leave to appear in court or tribunal for other reasons than set out in this clause (b). | For the period of the required appearance. | Refer University procedure. |
| (c) Defence Force Leave | Entitled to Full Pay for 14 days, or up to 18 days where the commanding officer of the relevant service certifies that the camp exceeds 14 days, less any pay received by the staff member, including marriage and separation allowances, by reason of attendance at any such school, class or course. | A staff member who is a member of the Australian Defence Reserve Forces (ADRF) is entitled to leave to attend an annual training camp. Leave will be for the purpose of attending in any 1 calendar year not more than two schools, classes or courses of instruction conducted by or on behalf of the ADRF. | Refer University procedure. |
| (d) Compassionate Leave | 3 days for each occasion on Full Pay , except for casual staff, who are entitled to 3 days' unpaid Compassionate Leave for each occasion. In special circumstances, the Vice-Chancellor may grant further paid leave (or unpaid leave for casual staff). | On the death, serious illness or serious injury of a member of the staff member's Immediate Family or household, including a person who has taken the place of a parent of the staff member. | Medical Certificate, funeral notice or statutory declaration as evidence. |



| Leave Type | Entitlement | When can leave be taken | Notice & Evidence |
|---------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| (e) Emergency Services Leave | A staff member who engages in a Voluntary Emergency Management Activity is entitled to Full Pay for the duration of the activity. | When taking part in a Voluntary Emergency Management Activity. | Refer University procedure. |
| (f) Sporting Leave | Full Pay for participation in Olympic and Commonwealth Games. For all other cases, unless the Vice-Chancellor determines otherwise, leave will be unpaid. The period of leave will be for the period of actual competition together with reasonable travel time to and from the competition venue, and will not exceed 4 weeks in the aggregate in any year of Service unless the Vice-Chancellor determines otherwise. | When participating as a competitor or accredited official in an interstate, national or international sporting competition, or in a Country Weeks sports (only when the Vice-Chancellor is satisfied the University can function satisfactorily in the staff member's absence). | 10 days' written notice, and documentary evidence of selection as a competitor or accreditation as an official. |
| (g) Special Leave | Paid or unpaid leave, at the Vice-Chancellor's discretion. | Where the staff member is experiencing difficult personal circumstances, if granted by the Vice-Chancellor. | Refer University procedure. |
| (h) Domestic or Family Violence Leave | The staff member is entitled to paid special leave at the Vice-Chancellor's discretion and may also exercise existing leave entitlements. | Where a staff member is experiencing domestic or family violence. The University has policies and procedures to support staff who are experiencing domestic or family violence. | The University may require proof such as documentation from the police, court, community or government agencies, counselling services or a statutory declaration. |
| (i) Ceremonial Leave | 5 days paid leave per year. Leave does not accumulate from year to year and cannot be paid out on termination of employment. | A staff member who identifies as Aboriginal or Torres Strait Islander is entitled to a reasonable period of ceremonial leave as determined by their Supervisor . | Refer University procedure. |
| (j) Religious Purposes Leave | Up to 3 days' unpaid leave per calendar year. | For the observance of religious occasions. | Satisfactory evidence. |
| (k) Spouse/De Facto Partner Travel Leave | Unpaid leave for the whole period with the ability to use accrued recreation or long service leave entitlements. | When a professional staff member's Spouse or De Facto Partner is employed by the University and the travel is required for work purposes. | Refer University procedure. |



| Leave Type | Entitlement | When can leave be taken | Notice & Evidence |
|-----------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| (I) Contesting Elections Leave | Unpaid leave for the whole period with the ability to use accrued recreation or long service leave entitlements. | For the period between close of nominations and declaration of the ballot at parliamentary elections. | Refer University procedure. |
| (m) Arbitration Leave | For conduct of a case, leave will be on Full Pay . For preparation of a case, leave will be unpaid and will not exceed 3 months in any 12 month period. | For a staff member affected by any relevant proceedings under the Fair Work Act 2009 or regulations under the Act. Leave will not be granted to more than two affected staff members at any one time in respect of the same proceeding. | Refer University procedure. |
| (n) Trade Union Training Leave | Full Pay of up to 5 working days in any 1 calendar year. Paid leave in excess of 5 days and up 10 days working days may be granted in any one calendar years subject to the total leave granted in that year and the subsequent year not exceeding 10 days. | For a staff member to attend trade union training courses or seminars, subject to the operational requirements of the University. The scope, content and level of the courses must contribute to a better understanding of industrial relations. Any short course conducted by or with the support of the Trade Union Education Foundation or any body approved by the University will be considered as contributing to a better understanding of industrial relations. | Refer University procedure. |
| (o) Infectious Disease Leave | Full Pay for the period they are required to be absent from work. Leave will not be deducted from sick leave credits. | Staff who contract an infectious disease classified as notifiable to the Victorian Department of Health and Human Services, or are required by a medical practitioner to remain in isolation due to contact with a person who has a notifiable infectious disease. | Notice to be given to Supervisor prior to absence or as soon as reasonably practicable. Notice to include estimated length of the absence. A Medical Certificate is to be provided stating that the staff member is to remain in isolation due to contact with a person who has a notifiable infectious disease. |
| (p) Repatriation Leave | 15 days on Full Pay for each completed year of Service , accumulating year to year provided that the total accumulated leave will not exceed 100 days at any time. Leave will not be deducted from sick leave credits. | Staff with illnesses arising from disabilities certified by the Department of Veterans' Affairs as having directly resulted from their war service. | Notice to be given to Supervisor prior to absence. Notice to include estimated length of the absence. If prior notice is not given, notice must be given within an hour of normal starting time on the day of the absence. A Medical Certificate certified by the Department of Veterans' Affairs. |



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55 Leave to count as service

- 55.1 The following periods will count as **Service** in determining the entitlements of a staff member to leave and for all other service-related entitlements:
 - (a) any period of paid leave, with long service leave taken on half-pay counting as normal service;
 - (b) any period of personal leave without pay not exceeding, in the aggregate, 20 working days in any year of service;
 - (c) any period of parental leave taken by a staff member who is pregnant not exceeding 26 weeks (with the exception of any period of unpaid parental leave in excess of 26 weeks);
 - (d) any period of primary carer's leave not exceeding 18 weeks;
 - (e) any period of unpaid leave for the purpose of contesting elections to Federal or State Parliament;
 - (f) any other period of unpaid leave, whether continuous or in the aggregate, not exceeding 20 days in any years of service; and
 - (g) any period of workers compensation leave not exceeding 52 weeks.
- 55.2 Where the period of **Service** of a staff member is not continuous, the aggregate period of service of the staff member, including service recognised from previous employers subject to clause 9.1, will count as **Service** for the purpose of determining the staff member's entitlement to long service leave.

56 Workers Compensation Leave and Make-Up Pay

- 56.1 Staff who receive compensation under the *Workplace Injury Rehabilitation and Compensation Act 2013 (Vic)*, or other state equivalent legislation, will be granted leave in accordance with this clause. This leave will be paid at the rate of pay payable to the staff member prior to the injury, less any overtime, travelling allowance, incidental expenses, payment of a temporary nature, any amount payable as compensation, and any amount the staff member is earning during employment by reason of partial incapacity, whether the employment is with the **University** or any other employer.
- 56.2 The **University** will make a return to work offer of duties as part of the return to work process. Failure to respond to the offer within 5 working days and/or failure to accept the offer other than on medical grounds will lead to the cessation of the make-up leave payments under clause 56.1 until a return to work occurs. The period of cessation will be included in the calculation of the entitlement under clause 56.3. The return to work offer of duties is an offer of temporary, alternative or modified duties as part of a return to work place. It does not constitute an offer of suitable employment.
- 56.3 Leave granted under this clause will be from the date of first absence to the 12 month anniversary of that absence in respect of any one injury.
- 56.4 Where a second claim for make-up pay originates within the term of the first claim, the 12 month period will start again from the date of the second injury.
- 56.5 Staff returning to work on a return to work arrangement should, wherever possible, minimise requests for planned leave whilst they transition into this arrangement.

57 University Holidays and Christmas Close Down Period

- 57.1 The **University** will recognise the following public holidays as **University Holidays** New Year's Day, Australia Day, Good Friday, Easter Saturday, Easter Monday, Easter Tuesday, Anzac Day, Christmas Day, Boxing Day and other gazetted public holidays in Melbourne other than Labour Day, Queen's Birthday and Melbourne Cup Day.
- 57.2 Where the **University Holiday** falls on a day when the staff member would be required to work, the staff member is entitled to be absent from work on that day without loss of pay.
- 57.3 The **University** will close for five working days in addition to the **University Holidays** which fall during the Christmas and New Year period. All continuing staff members and staff members employed for a period of **Fixed-Term Employment** will receive five paid days in



- substitution for working on Labour Day, Queen's Birthday and Melbourne Cup Day, which will be taken during the specified **University** closedown. In addition, professional staff members will receive one additional day's recreation leave.
- 57.4 If Anzac Day, Christmas Day, Boxing Day or New Year's Day fall on a weekend, the next available working day will be taken as a substitute **University Holiday**.
- 57.5 A staff member who works on Labour Day, Queen's Birthday or Melbourne Cup Day will, if the staff member's employment ends before 24 December in any year, be paid in lieu for the day or days worked.
- 57.6 If a staff member (except casual staff) is required by their **Supervisor** or manager to work on a day(s) that falls within the specified **University** close down period, the staff member will be paid:
 - (a) on any day that is not a **University Holiday** their **Ordinary Rate of Pay** for the hours worked. For the avoidance of doubt, that is in addition to the paid leave entitlement for the day set out in clause 57.3; or
 - (b) on any day that is a **University Holiday** prescribed in clause 57.1 overtime rates in accordance with clause 44.11.

PART 7. What happens when there are significant changes that affect me?

58 Consultation on Major Workplace Change

- 58.1 Management of major workplace change is enhanced by genuine consultation between the **University**, the **NTEU** and staff members affected by the change prior to the **University** implementing major workplace change.
- 58.2 Major workplace change means changes in organisation, structure or technology that are likely to have significant effects on staff members.
- 58.3 Significant effects include, but are not limited to:
 - (a) terminating the employment of staff members as a result of redundancy;
 - (b) major changes in the composition of the **University's** workforce;
 - (c) major reduction in the size of the **University's** workforce;
 - (d) eliminating job opportunities, promotion opportunities or job tenure;
 - (e) major changes to average hours of work required to be performed by professional staff members outside the span of hours set out in clause 43 of this **Agreement**;
 - (f) transferring staff members to another work location which is more than 15kms from a staff member's existing work location;
 - (g) restructuring jobs in situations involving more than two staff members within the same organisational unit; or
 - (h) proposals to outsource key services or contract out key services currently provided by staff members.
- 58.4 For the avoidance of doubt, major workplace change does not include:
 - (a) the creation of or appointment to a new senior position within the **University** or change of reporting lines, unless the change results in significant effects on staff members within the meaning of clause 58.3; and
 - (b) termination of employment of a single staff member.
- 58.5 Where the **University** has developed a formal proposal to introduce major workplace change, the **University** will consult affected staff members and the **NTEU** prior to finalising a decision to proceed with the major workplace change.
- 58.6 The affected staff members and the **NTEU** will be provided with relevant material and documentation to inform them of the purpose and substance of the change. Consultation will provide sufficient opportunity for the parties to discuss the proposed major workplace



- change and will include an outline of the likely timeframe for change, implementation arrangements and details of the likely impacts on staff members. Unless otherwise agreed, the period of consultation with the affected staff members and the NTEU at this stage of consultation will normally not exceed two weeks.
- 58.7 The parties will endeavour to respond in a timely manner to matters raised during the consultation. The University will respond to any written feedback provided by affected staff members or the NTEU.
- 58.8 If any formal feedback is provided by staff members or the NTEU suggesting options to mitigate adverse effects on staff members or suggesting alternative proposals to that originally proposed by the University, the University will take a further period of up to two weeks to consider the alternative proposal before finalising its position. Implementation of any changes under this clause will be undertaken as expeditiously as possible.
- 58.9 Staff members directly affected by the change proposal referred to in clause 58.5 may, in their feedback, nominate for redeployment within the University, for retraining, options for taking leave, early retirement, conversion to fixed-term pre-retirement contract and/or voluntary conversion to part-time or other arrangements, to minimise potential retrenchments. The **University** will make reasonable endeavours to redeploy staff members who nominate for redeployment and give reasonable consideration to any nominations from the staff members before implementing any termination for involuntary redundancy. This process will not delay the consultation or any other **University** process.

Changes to regular rosters

58.10 Where the **University** proposes to make changes to the staff members' regular roster or Ordinary Hours of Work, the University will consult with affected staff members prior to finalising a decision to proceed with the change.

58.11 The **University** will:

- (a) provide information to affected staff members about the change;
- (b) invite affected staff members to give their views about the impact of the change (including any impact in relation to their family or caring responsibilities); and
- (c) give genuine consideration to those views about the impact of the change.
- 58.12 The staff member may be assisted by a representative in consultation, including the **NTEU**.
- 58.13 This clause does not apply to changes to regular rosters or **Ordinary Hours of Work** when those changes are part of an agreement with a staff member in accordance with clauses 40 or 43 of this Agreement or which are part of the process of allocation of academic workload in accordance with clause 48.
- 58.14 Where the **NTEU** requests relevant material and documentation about change under clause 58, and it includes confidential or commercially sensitive information, the University and the NTEU will discuss and agree on appropriate confidentiality undertakings in relation to the disclosure of any confidential or commercially sensitive information, prior to the provision of such information.

59 Dispute Settling Procedures

- 59.1 The **Parties** have an interest in the proper application of this **Agreement** and in resolving disputes about its proper application in a timely manner. This clause sets out the procedures for preventing and settling disputes between the **Parties** about:
 - (a) matters arising under this **Agreement**; or
 - (b) matters arising under the National Employment Standards; or
 - (c) whether the **University** had reasonable business grounds to refuse:
 - (i) a request for flexible work arrangements; or
 - (ii) a request to extend unpaid parental leave for a further period of up to 12 months.
- 59.2 Where the **NTEU** or a staff member wishes to initiate a dispute, they must do so in writing to the Executive Director, Human Resources, specifying the matter in dispute, why it is disputed



- and any options for resolution. Where the **University** wishes to initiate a dispute they will notify the **NTEU** in writing, specifying the matter in dispute, why it is disputed and any options for resolution.
- 59.3 The parties to the dispute will endeavour to resolve the matter within 10 working days. Any resolution will be in the form of a written agreement between the parties to the dispute.
- 59.4 The Executive Director, Human Resources, will notify the Deakin **University NTEU** Branch President of the dispute should the **NTEU** not be involved in the processes under clauses 59.2 or 59.3.
- 59.5 Until five working days after the procedures described in clause 59.3 have been exhausted:
 - (a) work will continue in the normal manner;
 - (b) no industrial action will be taken by any party to the dispute;
 - (c) the parties to the dispute will not change the work, staffing or the organisation of the work if this is the subject of dispute, nor take any action likely to exacerbate the dispute;
 - (d) the subject matter of the dispute will not be taken to the Fair Work Commission.
- 59.6 If the dispute remains unresolved following the steps set out in clauses 59.2 to 59.4, the matter may be referred to the Fair Work Commission by any party to the dispute for conciliation and/or arbitration. If within 40 working days no action is taken by either party to:
 - (a) refer the dispute to the Fair Work Commission; or
 - (b) notify the other party in writing that the dispute has not been resolved (and the dispute is then referred to the Fair Work Commission within a further 40 working days), the dispute will be deemed to be automatically concluded unless the parties otherwise agree.

60 Staff Grievances Procedures

- 60.1 A staff member, other than a Head of School, Department or Institute of the University, may lodge a grievance in accordance with this clause, seeking a review of action or decision (including inaction or indecision) that directly affects the staff member which they consider is unfair or unreasonable.
- 60.2 The Executive Director, Human Resources, may dismiss a grievance where:
 - (a) alternative procedures exist;
 - (b) the action or decision (including inaction or indecision) occurred more than 12 months ago;
 - (c) the grievance is frivolous and/or vexatious and/or an abuse of process;
 - (d) the grievance is not directly relevant to rights and obligations in the employment relationship;
 - (e) the Vice-Chancellor or the Executive Director, Human Resources, has made a decision in relation to the matter under another clause in this Agreement or under a University procedure;
 - (f) the same matter is being or has been dealt with under the dispute settling procedures in clause 59; and/or
 - (g) the matter relates to appointments and/or decisions of the **University** Council.
- 60.3 In the first instance, staff are encouraged to resolve work-related problems or issues through informal means and at the local work area prior to following this formal grievance procedure:
 - (a) A staff member's **Supervisor** or a staff member from the Human Resources Division may recommend and support the staff member in informal means to resolve a matter prior to lodging a formal grievance under this clause.
 - (b) Informal measures may include further or assisted/facilitated discussions between the staff member and the other party or parties.
- 60.4 If the grievance cannot be resolved informally, the staff member or the Supervisor may, within five working days, refer the grievance in writing to the Executive Director, Human



- Resources. The grievance will outline the nature of the staff member's concerns and the outcomes sought.
- 60.5 The Executive Director, Human Resources, will refer the matter to another staff member in the Human Resources Division who will attempt to resolve the grievance as soon as practicable. The staff member in Human Resources Division will determine the best course of action, which may include the following: relevant information gathering, arranging for conciliation or mutually agreed mediation, or arranging for a formal investigation of the matter.
- 60.6 Where the grievance is resolved, an appropriate record of the resolution should be made, provided to the staff member and other relevant parties and retained by the Human Resources Division.
- 60.7 If the grievance remains unresolved, the staff member may within five working days request that the grievance is referred to an impartial investigator appropriate to the particular matter. The Executive Director, Human Resources, will consider the request and will reasonably determine whether it is appropriate to refer the grievance to an investigator, and if so, the grievance will be referred to an investigator who will:
 - (a) investigate the grievance as expeditiously as possible;
 - (b) conduct the investigation fairly and impartially; and
 - (c) have access to all relevant documents and material.
- 60.8 The investigator will provide a confidential report on their findings and any recommendations to resolve the grievance to the Executive Director, Human Resources. The report will be provided within 15 working days of receipt of the grievance by the investigator unless delayed on reasonable grounds, in which case the report will be provided as soon as is practicable. The staff member will be kept informed of progress of the matter.
- 60.9 The Executive Director, Human Resources, will consider the report's findings and any recommendations before determining whether to accept the report, either wholly or partly. A confidential summary of the investigation findings and the Executive Director, Human Resources' decision regarding any action(s) will be communicated to the staff member(s) and other relevant parties to the grievance in writing.

60.10 A grievance will end if:

- (a) it is withdrawn in writing by the staff member at any stage in this process; or
- (b) a resolution is reached, including in accordance with clause 60.3 or 60.5; or
- (c) it is dismissed by the Executive Director, Human Resources, in accordance with clause 60.2; or
- (d) it is not progressed within the timeframes specified in clauses 60.4 and 60.7 and there is no reasonable explanation for the delay; or
- (e) the investigator determines at any time that the grievance is frivolous, vexatious, an abuse of process or not relevant to the employment relationship; or
- (f) the Executive Director, Human Resources, has made a determination under clause 60.2 or 60.9.

PART 8. How does performance development and review apply to me?

61 Performance Planning and Review

- 61.1 The Performance Planning and Review (**PPR**) process forms the basis of the **University's** performance management process. It provides a systematic and impartial means of ensuring that individual performance is aligned with the **University's** strategic goals, and assists staff members to achieve individual career goals. Further information about the **PPR process** is set out in **University** policy.
- 61.2 All staff, except casual staff engaged for less than 12 months, must participate in the **PPR process.**
- 61.3 Staff are required to satisfactorily meet the requirements of their position description and individual performance objectives and standards. Individual performance objectives



and standards will be agreed with the staff member annually. The staff member will not unreasonably withhold their agreement. Broadly speaking, the **PPR process** will include:

- (a) performance objectives and standards that are consistent with the substantive classification and individual career goals of the staff member;
- (b) performance objectives and standards that are aligned with achievement of the strategic directions and goals of the **University**;
- (c) identification of staff member development and career objectives;
- (d) review and feedback based upon the objectives and standards;
- (e) recognition and reward of high performing staff members; and
- (f) identification of actions to address areas of concern with performance.
- 61.4 As part of the annual review, the performance of the staff member will be rated.
- 61.5 Where a staff member has serious and/or persistent unsatisfactory performance, they may be subject to the disciplinary process in clause 65 at any stage of the **PPR process**.

62 Performance Recognition

The **University's** Reward and Recognition policy or Performance Planning and Review procedure provide mechanisms to recognise consistently high performance by staff members. In the case of professional staff, this may include the payment of a performance bonus of up to 5% of their base **Salary**.

63 Staff Development

- 63.1 The **University** is committed to developing its staff through a range of relevant learning and development activities, including practical experiences, informal feedback and instruction, team or unit development activities, conference attendance and study for formal qualifications.
- 63.2 The **PPR process** provides the opportunity for staff members, in collaboration with their **Supervisor**, to identify development needs and activities in relation to their immediate role and also their future career with the **University**.
- 63.3 The **University** will operate a staff development program. Staff development programs will offer staff the opportunity to develop their skills and effectiveness within the **University** and to promote improved performance and efficiency.
- 63.4 Academic staff are eligible to apply for Academic Study Program (ASP) in accordance with **University** policy. The **University** will recognise prior, continuous, paid full-time **Service** with other Australian higher education institutions as qualifying service when considering applications for ASP. The period of **Service** will not be recognised if the break in **Service** from when the staff member ceased employment with the other Australian higher education institution and commenced employment with the **University** is more than two months. If a staff member has previously undertaken an ASP (or similar, however named), that period will be taken into account when the **University** is considering the application.
- 63.5 Professional staff may apply for study support, secondment/exchange, mentoring and other development opportunities in accordance with the **University's** professional development policies and procedures.

PART 9. When can employment be terminated or other disciplinary action imposed?

64 Termination of Employment

64.1 All decisions to terminate the employment of a staff member must be in accordance with the applicable provisions of this **Agreement**.



- 64.2 The only circumstances under which a decision to terminate employment can be taken are:
 - (a) probation (clauses 16.5, 21 and 22);
 - (b) research continuing employment (contingent funded) (clause 17);
 - (c) redundancy (clause 16.11, 69);
 - (d) termination of fixed-term employment (clause 16.5);
 - (e) discipline (clauses 65, 66 and 67);
 - (f) termination on the grounds of ill-health (clause 71);
 - (g) traineeships (Schedule E).
- 64.3 For the avoidance of doubt, this clause does not preclude cessation/termination in circumstances of abandonment of employment or frustration of the employment contract.
- 64.4 Prior to the termination of employment, the **University** will ensure that any procedural step related to the termination that is required by this Agreement to be undertaken by the University is fulfilled and any payment required to be made by the University is made.

65 Disciplinary Action for Unsatisfactory Performance

- 65.1 Where a staff member's performance is considered unsatisfactory (as defined in clause 65.10), the **University** will:
 - (a) counsel the staff member about their unsatisfactory performance;
 - (b) give the staff member clear and reasonable expectations about the required standards of performance in a performance improvement plan; and
 - (c) give the staff member a reasonable period of time to demonstrate performance against those expectations.
- 65.2 The performance improvement plan:
 - (a) must specify the required performance standard (which must be reasonable having regard to the level and duties of the position);
 - (b) must specify the nature of the improvement required and time within which reasonable improvement is expected; and
 - (c) may include a requirement to undertake professional development or training to assist in performance improvement.
- 65.3 Where the above process has not led to improvement in performance to the required standard, disciplinary action may be taken against the staff member. If disciplinary action is to be taken, the staff member will be advised in writing of the unsatisfactory performance, including details of any relevant facts and documentation.
- 65.4 The staff member will be provided with a reasonable opportunity to be heard and to make a written submission, within 10 working days, in relation to the unsatisfactory performance.
- 65.5 The staff member's response and relevant evidence will be considered by the **Supervisor**/ Head of Organisational Unit, in conjunction with the Human Resources Division, and may be referred to the Vice-Chancellor (in the case of academic staff), or the Executive Director, Human Resources (in the case of professional staff). The report will include details of the unsatisfactory performance and staff member's response.
- 65.6 The Vice-Chancellor or the Executive Director, Human Resources, will consider the report and make a decision about what, if any, disciplinary action should be taken against the staff member. The Vice-Chancellor or the Executive Director, Human Resources, may seek additional information if they consider it necessary.
- 65.7 The Vice-Chancellor or the Executive Director, Human Resources, will satisfy themselves that appropriate steps have been taken to bring the unsatisfactory performance of the staff



- member to the staff member's attention, that an adequate opportunity to respond was given and that any response was taken into account.
- 65.8 The staff member will be notified in writing of any decision to take disciplinary action against them.
- 65.9 Except where the staff member seeks a review in accordance with clause 67 of a decision to terminate or demote, the decision of the Vice-Chancellor or Executive Director, Human Resources, under this clause is final, subject to the jurisdiction of any court or tribunal which, but for this clause, would have jurisdiction to deal with the matter.

Definitions, principles and general provisions

- 65.10 Unsatisfactory performance means a serious and/or persistent failure of the staff member to perform the work of the position or appointment at a level which would be reasonably required having regard to:
 - (a) the nature and purpose of the position;
 - (b) its classification; and
 - (c) any representations made by the staff member at the time of selection for employment or selection/promotion to the staff member's current position or level.

65.11 Disciplinary action means:

- (a) formal censure, warning or counselling;
- (b) demotion to a lower classification or increment and/or transfer to another position;
- (c) suspension with or without pay; or
- (d) termination of employment.
- 65.12 Disciplinary action for unsatisfactory performance must take place in accordance with this clause, except when the staff member is within their probationary period/qualifying period of employment.
- 65.13 All issues will be dealt with applying principles of procedural fairness and natural justice.
- 65.14 If at any stage during the procedures under this clause the **University** finds that the details of the unsatisfactory performance should be amended or new details added, the staff member must be advised of this in writing and be given a further reasonable opportunity to provide a response prior to any further steps being taken by the **University**.

66 Misconduct and Serious Misconduct

- 66.1 If an allegation of misconduct or serious misconduct (as defined in clauses 66.15 and 66.16) is made, the staff member will be:
 - (a) advised in writing of the alleged misconduct or serious misconduct, including details of the allegations and whether they are considered to be serious misconduct; and
 - (b) given a reasonable opportunity to be heard and/or to make written submissions, within 10 working days, in relation to the allegations.
- 66.2 If the staff member admits to the allegations, and if appropriate in the **University's** view, the University may give the staff member a reasonable opportunity to improve their conduct, and otherwise the matter will be considered by the Vice-Chancellor under clause 66.6.

Suspension and additional allegations

- 66.3 Where an allegation of serious misconduct has been made against a staff member, the Executive Director, Human Resources, may, at any stage during the procedures under this clause, suspend the staff member, either with or without pay, until the conclusion of the matter provided that:
 - (a) with the approval of the Executive Director, Human Resources, the staff member may draw on any accrued entitlement to recreation leave or long service leave for the duration of the suspension without pay;
 - (b) the Executive Director, Human Resources, may at any time direct that Salary be paid,



- in part or in full, on the grounds of hardship for the period of the suspension or a part
- (c) the Executive Director, Human Resources, may at any time reconsider the issue of the suspension of the staff member.
- 66.4 While suspended, the staff member will be excluded from the **University** or any identified parts of the **University**, but will be permitted reasonable access to the **University** to prepare their case and to collect personal property.
- 66.5 If at any stage during the procedures under this clause, it is found that the allegation(s) should be amended or new allegations added, or the allegation(s) contains additional elements which amount to serious misconduct, the staff member must be advised of this in writing and be given a further reasonable opportunity to be heard and to make written submissions prior to any further steps being taken by the **University**.

Decision

- 66.6 The Vice-Chancellor will consider the allegation(s) and the staff member's response.
- 66.7 The Vice-Chancellor may seek additional information prior to making their decision if it is considered necessary.
- 66.8 The Vice-Chancellor may determine that allegation(s) of serious misconduct amount only to misconduct.
- 66.9 The Vice-Chancellor will advise the staff member in writing of their decision as to whether there was misconduct and/or serious misconduct. If there was misconduct and/or serious misconduct, the Vice-Chancellor will notify the staff member of their decision, any disciplinary action and of the operative date of that disciplinary action.
- 66.10 The staff member's employment may be terminated without notice (or payment in lieu) if they have engaged in serious misconduct. If the Vice-Chancellor's decision is to terminate for serious misconduct without notice, that decision will take effect at the end of 10 working days after notification under clause 66.9, or if the staff member seeks a review in accordance with clause 67 and the original decision is confirmed under clause 67.9 and 67.10, will take effect at that time.
- 66.11 If the Vice-Chancellor determines that no disciplinary action will be taken and the staff member has been suspended under clause 66.3, the staff member will be reinstated at no loss of **Salary** or conditions.
- 66.12 Except where the staff member seeks a review in accordance with clause 67 of a decision to terminate or demote, the Vice-Chancellor's decision under clause 66.9 is final, subject to the jurisdiction of any court or tribunal which, but for this clause, would have jurisdiction to deal with the matter.

Definitions, principles and general provisions

- 66.13 All issues will be dealt with, applying principles of procedural fairness and natural justice.
- 66.14 In the case of misconduct and/or serious misconduct matters concerning professional staff members, a reference to the Vice-Chancellor in this clause should be read as a reference to the Executive Director, Human Resources.
- 66.15 Misconduct means:
 - (a) conduct which is not serious misconduct; and
 - (b) is unsatisfactory conduct (including, but not limited to, breaches of the University's Code of Conduct); or
 - (c) research code breach (clause 68).

66.16 Serious misconduct means:

- (a) serious misbehaviour which constitutes a serious impediment to the carrying out of a staff member's duties or to a staff member's colleagues carrying out their duties (including, but not limited to, breaches of the **University's** Code of Conduct);
- (b) serious dereliction of the duties required of the position;



- (c) theft or fraud;
- (d) serious research code breach (clause 68); or
- (e) conviction by a court of an offence where that offence constitutes a serious impediment to the carrying out of a staff member's duties or functions or to a staff member's colleagues carrying out their duties or functions.

Examples of conduct which may constitute serious misconduct are:

- (f) assault; or
- (g) repeated incidents of misconduct; or
- (h) serious or repeated bullying or harassment, including sexual harassment; or
- (i) wilful and/or gross breach of the staff member's contract of employment, the University's policies, regulations or the University's Code of Conduct, such that it would be unreasonable to continue the staff member's employment.

66.17 Disciplinary action means:

- (a) formal censure, warning or counselling;
- (b) demotion to a lower classification or increment and/or transfer to another position;
- (c) physical relocation at the staff member's campus location or suspension of access to certain **University** locations or equipment having regard to the nature of the misconduct or serious misconduct;
- (d) temporary suspension with or without pay; or
- (e) termination of employment.
- 66.18 In cases of misconduct only, disciplinary action is limited to the matters set out in clauses 66.17(a) to 66.17(d).
- 66.19 Disciplinary action for misconduct or serious misconduct must take place in accordance with this Agreement.

Review of Decision - Termination of Employment or Demotion

- 67.1 If a decision made under clauses 65 or 66 is a decision to terminate the employment of the staff member or to demote, a staff member may seek review of the decision of the Vice-Chancellor or Executive Director, Human Resources, within 10 working days of the staff member receiving notice of the decision.
- 67.2 In order to request a review, a staff member must provide the Executive Director, Human Resources, with the following materials at the time the request is lodged:
 - (a) the basis for requesting the review;
 - (b) any written submissions supporting the request for review;
 - (c) any documentary evidence that the staff member relies on in respect of (a) and (b).

Independent Review

- 67.3 If the staff member seeks a review in accordance with clauses 67.1 and 67.2, the Executive Director, Human Resources, will engage an Independent Reviewer within 10 working days and provide the reviewer with the request for review and materials. The Independent Reviewer is to be agreed between the **University** and the **NTEU**.
- 67.4 The **University** will provide the Independent Reviewer with its submissions and any supporting materials within 10 days of the request for review.
- 67.5 The Independent Reviewer will report their findings and recommendations to the staff member and the Vice-Chancellor or Executive Director, Human Resources, outlining:
 - (a) whether there is, overall, sufficient evidence to support a finding of unsatisfactory performance (in the case of reviews of decisions under clause 65) or misconduct/serious misconduct (in the case of reviews of decisions under clause 66);
 - (b) whether there has been a substantial flaw in following the procedures of this clause; and
 - (c) make a recommendation (if any) about disciplinary action in light of the matters outlined in (a) and (b).



- 67.6 The Independent Reviewer will consider material provided in accordance with clauses 67.2 and 67.4. The Independent Reviewer may seek additional information if the Independent Reviewer considers that this is necessary.
- 67.7 The Independent Reviewer will provide their report within 10 working days of receiving the **University**'s submissions to the Vice-Chancellor or the Executive Director, Human Resources, of the matters in clause 67.5. The Executive Director, Human Resources, will not unreasonably refuse a request from the Independent Reviewer for an extension of time of up to 10 working days.

Further consideration of termination or demotion decision

- 67.8 The Vice-Chancellor (or in the case of decisions concerning professional staff under clause 65 or 66, the Executive Director, Human Resources), having considered the Independent Reviewer's report, may confirm the original decision or may reconsider the preliminary decision and determine what, if any, disciplinary action should be taken. The Vice-Chancellor or Executive Director, Human Resources, may seek additional information if the Vice-Chancellor or Executive Director, Human Resources, considers that this is necessary.
- 67.9 The Vice-Chancellor (or in the case of decisions concerning professional staff under clause 65 or 66, the Executive Director, Human Resources) will then advise the **Head of Organisational Unit** and the staff member in writing of the decision.
- 67.10 Where the original decision was to terminate the staff member's employment and this is confirmed, the notice period (if any) (or payment in lieu) will then apply from the written notification in clause 67.9.
- 67.11 The decision of the Vice-Chancellor or Executive Director, Human Resources, will be final, subject to the jurisdiction of any court or tribunal which, but for this clause, would have jurisdiction to deal with the matter.

68 Breaches of the Research Code

68.1 The **University** and academic staff have specific responsibilities under the *Australian Code* for the *Responsible Conduct of Research* (Research Code). Nothing in this clause requires the **University** to act in a manner that would breach the Research Code as in force and as varied or replaced from time to time.

For the purposes of this clause, a "Research Code Breach" is conduct that breaches the Research Code. The guide to the Research Code sets out examples of behaviour that may constitute a breach of the Research Code.

A "Serious Research Code Breach" refers to more serious or deliberate conduct that involves:

- (a) intent or deliberation, recklessness or gross and persistent negligence; and/or
- (b) serious consequences, such as false information on the public record, or adverse effects on research participants, animals or the environment.

Serious Research Code Breach includes fabrication, falsification, plagiarism or deception in proposing, carrying out or reporting the results of research, and failure to declare or manage a serious conflict of interest. It includes avoidable failure to follow research proposals as approved by a research ethics committee, particularly where this failure may result in unreasonable risk or harm to humans, animals or the environment. It also includes the wilful concealment or facilitation of research misconduct by others.

Repeated or continuing instances of Research Code Breaches may also constitute a Serious Research Code Breach, and do so where these have been the subject of previous counselling or specific direction. A Serious Research Code Breach does not include honest differences in judgment in management of the research project, and may not include honest errors that are minor or unintentional.

68.2 Where a complaint is received that involves issues relating to potential breach of the Research Code, the Deputy Vice-Chancellor (Research) will initially deal with the matter in accordance with the **University**'s Staff Research Misconduct Procedure, consistent with the Research Code.



- 68.3 Where the Vice-Chancellor has had regard to any relevant procedures, including the University's Staff Research Misconduct Procedure, and determines that an allegation of Research Code Breach, but no allegation of Serious Research Code Breach arises, then action will be taken under clause 65 or 66 in respect of unsatisfactory performance and/or misconduct.
- 68.4 Where the Vice-Chancellor has had regard to any relevant procedures, including the University's Staff Research Misconduct Procedure, and determines that one or more allegations of Serious Research Code Breach arise(s), then the procedures in clauses 66.1 to 66.11 will be applied as follows:
 - (a) all references to serious misconduct include a Serious Research Code Breach;
 - (b) the staff member will be advised in writing of the alleged breach or breaches, including details of the allegations and that they are considered to be a Serious Research Code Breach (see clause 66.1(a));
 - (c) the staff member will be given a reasonable opportunity to be heard and/or to make written submissions, within 10 working days, in relation to the allegations (see clause
 - (d) the staff member may be suspended (see clause 66.3 and 66.4 for further details);
 - (e) the allegations may be amended and/or new allegations added (see clause 66.5 for further detail);
 - (f) the Vice-Chancellor will consider the allegation(s) and the staff member's response (see clause 66.6);
 - (g) if the Vice-Chancellor:
 - (i) is satisfied with the staff member's response, the Vice-Chancellor will inform the staff member and the matter will be concluded or otherwise the staff member will be advised of any issues that need to be addressed; or
 - (ii) remains unsatisfied with the staff member's response to the allegation(s), if an allegation of Serious Research Code Breach is to be pursued, the Vice-Chancellor will ensure that an investigation by an investigation panel (Panel), subject to this **Agreement**, that meets the requirements of the Code is conducted prior to a decision of the Vice-Chancellor in accordance with clause 66.10 (see clause 68.5 below for detail regarding the composition and conduct of the Panel); and
 - (h) subject to clause 68.4(g)(ii), the person(s) conducting the Panel will determine the procedure to apply to the investigation;
 - (i) the Panel will provide a report to the Vice-Chancellor setting out its findings of fact in relation to the allegations;
 - (j) the Vice-Chancellor may seek additional information prior to making their decision if necessary (see clause 66.7);
 - (k) the Vice-Chancellor will consider the report and advise the staff member in writing of their decision as to whether there was a Research Code Breach and/or Serious Research Code Breach, and the operative date of any disciplinary action (clause 66.9 and 66.17);
 - (I) the Vice-Chancellor may determine that allegation(s) of Serious Research Code Breach amount only to a Research Code Breach (see clause 66.8);
 - (m) the staff member's employment may be terminated without notice if they have been found under these provisions to have engaged in a Serious Research Code Breach (see clause 66.10);
 - (n) if the Vice-Chancellor determines that no disciplinary action will be taken and the staff member has been suspended, the staff member will be reinstated at no loss of Salary or conditions (see clause 66.11);
 - (o) the Vice-Chancellor's decision is final, subject to the jurisdiction of any court or tribunal which, but for this clause, would have jurisdiction to determine the matter (see clause 66.12).
- 68.5 In relation to a Panel under clause 68.4(g)(ii), the Vice-Chancellor will confer with the Deakin University **NTEU** Branch President to ensure:
 - (a) that the chair of the Panel is experienced in the conduct of tribunals of fact; and



- (b) the Panel includes:
 - (i) at least one member with sufficient expertise and standing in a discipline relevant to the allegation of Research Code Breach and/or Serious Research Code Breach such that that member will be capable of understanding and assisting the other members of the Panel to understand any technical, research or scientific questions which may be in dispute, but who will be seen as clearly independent of any other participants (the parties recognise that this may require that a nominee who may ordinarily be required to be a staff member of the **University** may in the circumstances not be a staff member); and
 - (ii) at least one staff member with expertise in investigating research misconduct issues, either through their academic study or through the administration of research. The parties agree that this may require a nominee who is not a **University** staff member.
- 68.6 For the purposes of an investigation in relation to allegations of a Serious Research Code Breach, a staff member may with the consent of the person(s) conducting the investigation be assisted by a representative.
- 68.7 Notwithstanding these procedures, where the Vice-Chancellor considers that the allegations of a Serious Research Code Breach may involve action in concert between employees of more than one employer, the relevant Chief Executive Officers (or equivalents) of the employers may agree in writing that a joint investigation be held. The procedures for such a joint investigation shall be agreed in writing between the relevant CEOs and with the Deakin University **NTEU** Branch President, provided that the **NTEU** will not withhold its agreement unnecessarily. Where this occurs, those agreed procedures shall apply in substitution for the procedures otherwise set out in this **Agreement**.

69 Redundancy

- 69.1 Where the **University** has genuinely decided that a staff member's employment is likely to be terminated because the position of one or more staff members is no longer required to be performed for reasons of an economic, technological, structural or similar nature, including:
 - (a) a decrease in student demand or enrolments in any academic course or unit or combination or mix of courses or subjects;
 - (b) a decision to cease offering or to vary the academic content of any course or subject or combination or mix of courses or subjects;
 - (c) for a professional staff member, re-organisation;
 - (d) financial exigency; or
 - (e) changes in technology or work methods,

the **University** will, at the earliest reasonably practicable time, provide advice to the staff member or members concerned and the **NTEU** that the staff member's position is redundant. The advice will contain notice of the date on which the employment will end, unless the staff member is redeployed. The **University** must either provide at least 26 weeks' notice of the date of termination or make a payment in lieu of all or part of the notice, and advise the staff member of their options under clause 69.2.

Steps after notification of redundancy

- 69.2 When a staff member receives the advice provided in clause 69.1, the staff member and/or **NTFII**:
 - (a) may, within 2 weeks, raise any suggestions to avoid redundancy or to mitigate against termination, such as redeployment, in which case the **University** will provide a written response within 2 weeks; and
 - (b) must within 2 weeks of receiving the advice under clause 69.1 (or within 2 weeks of receiving the **University's** response where clause 69.2(a) applies):
 - (i) elect in writing to depart early;
 - (ii) elect in writing to seek formal redeployment;



- (iii) seek a review of the decision to declare their position redundant, by making written application to the Executive Director, Human Resources.
- 69.3 Where the staff member elects to depart early, the benefits will be calculated under the table in clause 69.4.

Calculation of Redundancy Payment

69.4 A redundancy payment (inclusive of any notice required under the NES) under this clause shall be calculated on the staff member's Salary at the date of cessation of employment as follows:

| Entitlement | Maximum entitlement in number of weeks |
|------------------------------------------------------------------------------|----------------------------------------|
| Severance pay of 2 weeks' Salary per completed year of Service | 52 weeks |
| Payment in lieu of the unexpired part of the notice given in 69.1. | 26 weeks |

- 69.5 Where a staff member elects under clause 69.2 to seek redeployment, there will be a transitional period of eight weeks, unless the staff member elects a shorter period. During this time the University will offer support (including counselling) and, taking into account the relevant skills, experience and work preferences of the staff member:
 - (a) discuss reasonable retraining options; and
 - (b) offer the staff member redeployment into a suitable vacant position where such a position exists.
- 69.6 If at the expiry of the transition period redeployment to a suitable vacant position has not occurred, the **University** may:
 - (a) terminate the staff member's employment in which case the benefits calculated under the table in clause 69.4 will apply, provided that any staff member over the age of 45 years will receive a minimum of 13 weeks' pay;
 - (b) transfer the staff member to a position of equivalent grade and Salary for which the staff member is suitable having regard to matters including their qualifications, experience, and, in the case of academic staff their area(s) of academic specialisation in which case this clause no longer applies and a redundancy payment will not be made.

Where such a transfer is rejected by the staff member the University may terminate the staff member's employment by giving the staff member the notice that they are entitled to under the Fair Work Act 2009 (or its successor).

If the staff member's employment is so terminated, the staff member will be entitled to a redundancy payment according to the following formula:

| Length of Continuous Service | Severance Pay |
|-----------------------------------------|---------------|
| At least 1 year but less than 2 years | 4 weeks pay |
| At least 2 years but less than 3 years | 6 weeks pay |
| At least 3 years but less than 4 years | 7 weeks pay |
| At least 4 years but less than 5 years | 8 weeks pay |
| At least 5 years but less than 6 years | 10 weeks pay |
| At least 6 years but less than 7 years | 11 weeks pay |
| At least 7 years but less than 8 years | 13 weeks pay |
| At least 8 years but less than 9 years | 14 weeks pay |
| At least 9 years but less than 10 years | 16 weeks pay |
| At least 10 years | 18 weeks pay |



- (c) transfer the staff member to a suitable position of lower grading and maintain that staff member's former salary level and incremental progression, in which case this clause no longer applies and a redundancy payment will not be made.
- 69.7 A staff member whose position is redundant will not be subject to discrimination in respect of any application for promotion or transfer to another position.

Savings Provision

69.8 Any staff member employed on a continuing basis by the **University** on the date the **2013** Agreement commenced and who continues to be employed on a continuing basis by the University until the date of their termination under this clause will receive a payment not less than the payment they would have received had their employment been terminated under clause 21 or 22 of the 2009 Agreement.

Letter of Certification and Expenses

- 69.9 A staff member who has been informed that their position is redundant will be entitled to reasonable leave with pay to attend up to three employment interviews and where expenses to attend such interviews are not met by the prospective employer, the staff member will be entitled to reimbursement of reasonable travel and incidental expenses incurred in attending such interviews provided that not more than one day's paid leave or expenses will be granted in respect of each interview.
- 69.10 An academic staff member whose position is redundant may request a letter signed by the Vice-Chancellor certifying that they are the occupant of a position deemed to be surplus to the requirements of the University. A staff member who applies for a position in a university may notify that university that they are a holder of such a letter of certification. In such a case the University will ensure that the selection committee concerned is aware of the letter of certification.
- 69.11 If a staff member is redeployed to a continuing position elsewhere in the **University** (i.e. involving a geographic relocation) as an agreed measure to mitigate the effects of their position being surplus to requirements and it is not reasonably practicable for the staff member to remain in their existing residence, the staff member will be entitled to all reasonable expenses as determined by the Vice-Chancellor associated with moving household to a new locality.
- 69.12 Any reasonable costs and charges as determined by the Vice-Chancellor associated with a program of retraining as an agreed measure to mitigate the effects of their position being surplus will be reimbursed to the staff member.

69.13 In this clause:

- (a) service with the University may be affected by clause 9 and a determination as to whether service is continuous service shall be made by reference to section 22(3) of the Fair Work Act 2009; however, clause 9 will be determinative in relation to discounting any period of service and not the Fair Work Act 2009; and
- (b) prior service with the **University** provided that any period of employment prior to a break in employment in excess of six months will not count as **Service**;
- (c) "suitable vacant position" means a vacant position:
 - (i) for which a staff member meets the essential requirements; and
 - (ii) which the staff member could perform satisfactorily within what is in all circumstances, a reasonable time; and
 - (iii) which is to be filled at the same fraction of full-time as the staff member was employed in the redundant position (or an alternate fraction if the staff member agrees);
- (d) "position of lower grading" is a position for which the maximum salary prescribed by this Agreement is lower than the maximum salary of the position held by the staff member at the time the staff member becomes redundant.



Review

- 69.14 Where a staff member has received advice under clause 69.1 that their position is redundant, they may seek review of the decision by making written application to the Executive Director, Human Resources, in accordance with clause 69.2 on one or more of the following grounds and setting out the material relied upon:
 - (a) the position was not genuinely redundant;
 - (b) the criteria used to select a staff member or members to be identified as excess to requirements were not fair and objective; and
 - (c) the consultation under clause 69.2 was not genuine and/or adequate.
- 69.15 The Executive Director, Human Resources, will genuinely consider the review request and supporting material and determine if there is any failing in the process outlined in clause 69.14 and if so, any steps reasonably necessary to address that failing, including considering measures to avert the termination or mitigate the adverse effects of the termination. If the Executive Director, Human Resources, determines that the redundancy stands and advises the staff member, the staff member will then have a further 2 weeks to elect to take early departure, in which case the payments in clause 69.6 will be made, or apply or seek redeployment in which case clause 69.5 applies.
- 69.16 The Executive Director, Human Resources' decision will be final, but does not prevent any court or tribunal which, but for this clause, would be competent to deal with the matter, from dealing with the matter.
- 69.17 References in clauses 69.14 69.16 to the Executive Director, Human Resources, will be taken to be references to the Vice-Chancellor where the original decision-maker under clause 69.1 was the Executive Director, Human Resources.

70 Managing Ill-Health

- 70.1 Where there is a reasonable basis for doubt as to a staff member's capacity to perform their duties due to illness or injury, the Vice-Chancellor may direct the staff member in writing to undergo a medical examination by a medical practitioner, chosen by the **University**, at the **University's** expense.
- 70.2 The staff member will co-operate in respect of the direction, including any requests made by the medical practitioner to enable examination and provision of any medical report.
- 70.3 A refusal or failure to attend a medical examination directed in accordance with clause 70.1, will constitute a failure to comply with a reasonable and lawful direction and may be subject to disciplinary action in accordance with clause 66.
- 70.4 A copy of the medical practitioner's report in respect of the examination referred to in clause 70.1 will be made available to the Vice-Chancellor and to the staff member.

71 Termination on Grounds of Ill-Health

- 71.1 If a medical report reveals that a staff member is unable to perform their duties and is unlikely to be able to resume them within a reasonable period, being not less than 12 months, the Vice-Chancellor may seek to terminate the staff member's employment by providing the period of notice required by the staff member's contract of employment or the period of six months, whichever is the greater.
- 71.2 The Vice-Chancellor will advise the staff member in writing of the intention to terminate the staff member's employment under clause 71.1 including the period of notice of termination of employment.
- 71.3 If within one month of the notification in writing under clause 71.2:
 - (a) the staff member or a person acting on their behalf so requests, the Vice-Chancellor will not proceed to finalise the termination of employment of the staff member unless and until the findings of the report are confirmed by a panel consisting of three medical practitioners, one of whom will be appointed by the **University**, one by the staff member or by a person acting on his or her behalf, and one by the President of the State Branch of the Australian Medical Association. The Panel will not include the practitioner who made the initial report. If the report is confirmed the remaining period of notice (or



- payment in lieu) will then apply;
- (b) the staff member elects to apply to their superannuation fund to access temporary incapacity under their superannuation scheme, the termination will not take effect prior to the outcome of the application. If the application is declined or withdrawn, the remaining period of notice (or payment in lieu) will apply. Any entitlements to temporary incapacity benefits are determined by the superannuation scheme and are not benefits provided by the **University**; or
- (c) no request or application is made in accordance with clause 71.3(a) or (b), within one month of the notice under clause 71.1, the **University** may make payment in lieu of part or all of the remaining notice period.
- 71.4 Clauses 70 and 71 will not displace or override any existing State or Federal workers compensation schemes contained in any applicable workers compensation legislation.

PART 10. What do terms mean in this Agreement?

72 Glossary

The meaning of following defined terms in the **Agreement** are:

| Term | Meaning |
|--------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2009 Agreement | the Deakin University Enterprise Agreement 2009 –2012 |
| 2013 Agreement | the Deakin University Enterprise Agreement 2013 |
| Academic Year | the period of time from the first day of Trimester 1 in any year to the day preceding the first day of Trimester 1 in the following year, both inclusive. |
| Agreement | Deakin University Enterprise Agreement 2017 |
| Base Hourly Rate of Pay | for a casual professional staff member means the rate of pay calculated by dividing the annual rate of pay for the staff member's classification by 52 and then dividing that amount by 36 ¾. |
| Contingent Funding | limited term funding provided from external sources, but not funded through an operating grant from Government or funding comprised of payment of fees made by or on behalf of students. |
| De facto Patner | a person who, although not legally married to the staff member, lives with the staff member in a relationship as a couple on a genuine domestic basis (whether the staff member and the person are of the same or different sexes or gender identities). The definition also includes a former De Facto Partner of the staff member. |
| Executive | those staff members who report directly to the Vice-Chancellor, other than staff whose functions support administrative and related functions of the Vice-Chancellor's office. |
| Fixed-Term Employment | employment for the fixed period of time specified in the staff member's contract of employment. |
| Full Pay | see the definition of "salary". |
| Half Pay | see the definition of "salary". |
| Head of Organisational Unit | the Vice-Chancellor, a member of the Executive, a Pro Vice-Chancellor, an Executive Dean, an Executive Director, a Director (including a Director of an Institute), University Librarian and University Solicitor. |
| Immediate Family | of a staff member means a Spouse , De Facto Partner , child (including stepchild), parent (including step-parent), grandparent, grandchild, aunt, uncle or sibling (including step-sibling) of the staff member or of a Spouse or De Facto Partner of the staff member and also includes a person for whom the person has caring responsibilities arising from an Aboriginal and Torres Strait Islander kinship relationship of equivalent status to those listed above. |



| Term | Meaning | | | |
|-----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
| Institute | (a) a centre established and maintained by a faculty; or(b) the Institute of Koorie Education; or(c) a research centre or research institute; or(d) a strategic research centre. | | | |
| Medical Certificate | A certificate from a registered medical practitioner, dentist, physiotherapist, chiropractor, osteopath, optometrist, naturopath or clinical or counselling psychologist. | | | |
| NTEU | the National Tertiary Education Industry Union | | | |
| Ordinary Hours of Work | the staff member's ordinary hours of work set out in clause 43. | | | |
| Ordinary Rate of Pay | the staff member's salary plus any higher duties allowance or first aid allowance if the staff member is entitled to these allowances under this Agreement . | | | |
| Party and Parties | unless stated otherwise, the University, NTEU and staff members to whom this Agreement applies. | | | |
| PPR Process | the Performance Planning and Review process. | | | |
| Purchased Leave Rate of Pay | the rate of pay a staff member receives when their Salary plus any allowances has been reduced to cover the cost of purchased leave. To calculate the Purchased Leave Rate of Pay, the Staff Member's Salary plus any allowances will be reduced by the number of days of purchased leave and then annualised at a pro rata rate over a 12 month period. | | | |
| Recognised Emergency Management Body | A body, or part of a body, that: - has a role or function under a plan that is for coping with emergencies or disasters and the plan is prepared by the Commonwealth or a State or Territory; or - any other body with the substantial purpose of securing the safety of persons or animals in an emergency or natural disaster or otherwise responding to an emergency or natural disaster. | | | |
| Representative | a person who is not an Australian legal practitioner or a person who is undergoing practical training for the purposes of being admitted to the legal profession, including a person undertaking practical legal training under the Legal Profession (Admission) Rules 2008 (other than a union official who is an Australian legal practitioner or a person who is undergoing practical training for the purposes of being admitted to the legal profession, including a person undertaking practical legal training under the Legal Profession (Admission) Rules 2008). | | | |
| Salary | the rate of pay applicable to a staff member's classification as specified in Schedules A, C or H of this Agreement . The salary for a staff member employed under a senior staff performance contract will be the salary referred to in the staff member's contract. A reference to payment on "full pay" is a reference to the staff member's salary and a reference to payment on "half pay" is a reference to payment at half of the staff member's salary. | | | |
| Service | continuous service and does not include periods of casual service unless specified in the relevant clause or to the extent required to meet the National Employment Standards. | | | |
| Spouse | includes a former Spouse of the staff member. | | | |
| Supervisor | includes a manager, supervisor and team leader. | | | |
| Teaching Period | a period during the Academic Year that the University nominates as a period within which teaching and assessment occurs. | | | |
| University | Deakin University. | | | |
| University Holiday | New Year's Day, Australia Day, Good Friday, Easter Saturday, Easter Monday, Easter Tuesday, Anzac Day, Christmas Day and Boxing Day. | | | |



73 Representation and General Matters

- 73.1 At any stage during the processes referred to in this **Agreement** that involve a staff member, the staff member may request assistance or representation by a **Representative** of their choice.
- 73.2 Where a staff member who is a member of the **NTEU** seeks assistance or representation from the **NTEU** under this clause, the **NTEU** agrees to use best endeavours to make an official available at the required time(s) so as to avoid unreasonable delay to the process.
- 73.3 If reference is made in this **Agreement** to a task, duty, role or responsibility to be fulfilled or undertaken by a person in a particular position, the task, duty, role or responsibility may be fulfilled or undertaken by that person's nominee or person acting in that position or a successor position.

74 Policies and Procedures

All staff are subject to, and the **University** will apply, the **University**'s policies and procedures as amended from time to time. However, the **University**'s policies and procedures are not incorporated into and do not form part of this **Agreement**. To the extent of any inconsistency between a policy or procedure and a term of this **Agreement**, the term of this **Agreement** will prevail.

75 Staff Notices

- 75.1 A duly authorised representative of the **NTEU** will have the right:
 - (a) where noticeboards exist, to post any official notice of the **NTEU** about employment matters in each staff room of a **University**; and
 - (b) to distribute any official notice of the **NTEU** about employment matters to members in each section or department of a **University**.
- 75.2 In providing the facility referred to in clause 75.1 the **University** supports the freedom of association protections in the *Fair Work Act 2009*, by neither encouraging nor discouraging staff members employed by the **University** to be members of a union.



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SCHEDULE A.

Salaries

Professional Staff Salaries

All salary increases are effective from the first full pay period commencing on or after the date included in the tables below

| | 30-Apr-16 | Commencement of Agreement (frm date of vote) - \$1000 salary uplift | Commencement of Agreement (from date of vote) - 2% | 1 March 2018 (2%) | 1 March 2019 (2%) | 1 March 2020 (2%) |
|-----------------------------------|--------------|---------------------------------------------------------------------------------|-------------------------------------------------------------|-------------------------|-------------------------|-------------------------|
| Professional Staff Hew 1 to 10 | Per Annum | | | | | |
| HEW 1 (Class No: 1) | | | | | | |
| Step 1 | 45480 | 46480 | 47410 | 48359 | 49327 | 50314 |
| Step 2 | 46361 | 47361 | 48309 | 49276 | 50262 | 51268 |
| Step 3 | 47268 | 48268 | 49234 | 50219 | 51224 | 52249 |
| Step 4 | 48183 | 49183 | 50167 | 51171 | 52195 | 53239 |
| HEW 2 (Class No: 2) | | | | | | |
| Step 1 | 48989 | 49989 | 50989 | 52009 | 53050 | 54111 |
| Step 2 | 49942 | 50942 | 51961 | 53001 | 54062 | 55144 |
| Step 3 | 50913 | 51913 | 52952 | 54012 | 55093 | 56195 |
| HEW 3 (Class No: 3) | | | | | | |
| Step 1 | 51499 | 52499 | 53549 | 54620 | 55713 | 56828 |
| Step 2 | 52504 | 53504 | 54575 | 55667 | 56781 | 57917 |
| Step 3 | 53529 | 54529 | 55620 | 56733 | 57868 | 59026 |
| Step 4 | 54564 | 55564 | 56676 | 57810 | 58967 | 60147 |
| Step 5 | 55634 | 56634 | 57767 | 58923 | 60102 | 61305 |
| Step 6 | 56715 | 57715 | 58870 | 60048 | 61249 | 62474 |
| Step 7 | 57829 | 58829 | 60006 | 61207 | 62432 | 63681 |
| HEW 4 (Class No: 4) | | | | | | |
| Step 1 | 59024 | 60024 | 61225 | 62450 | 63699 | 64973 |
| Step 2 | 60185 | 61185 | 62409 | 63658 | 64932 | 66231 |
| Step 3 | 61362 | 62362 | 63610 | 64883 | 66181 | 67505 |
| Step 4 | 62625 | 63625 | 64898 | 66196 | 67520 | 68871 |
| HEW 5 (Class No: 5) | | | | | | |
| Step 1 | 64047 | 65047 | 66348 | 67675 | 69029 | 70410 |
| Step 2 | 65303 | 66303 | 67630 | 68983 | 70363 | 71771 |
| Step 3 | 66578 | 67578 | 68930 | 70309 | 71716 | 73151 |
| Step 4 | 67888 | 68888 | 70266 | 71672 | 73106 | 74569 |
| Step 5 | 69216 | 70216 | 71621 | 73054 | 74516 | 76007 |
| Step 6 | 70570 | 71570 | 73002 | 74463 | 75953 | 77473 |
| Step 7 | 71955 | 72955 | 74415 | 75904 | 77423 | 78972 |
| HEW 6 (Class No: 6) | | | | | | |
| Step 1 | 74083 | 75083 | 76585 | 78117 | 79680 | 81274 |
| Step 2 | 75532 | 76532 | 78063 | 79625 | 81218 | 82843 |
| Step 3 | 77016 | 78016 | 79577 | 81169 | 82793 | 84449 |
| Step 4 | 78530 | 79530 | 81121 | 82744 | 84399 | 86087 |
| Step 5 | 80084 | 81084 | 82706 | 84361 | 86049 | 87770 |

Professional Staff Salaries

All salary increases are effective from the first full pay period commencing on or after the date included in the tables below

| | 30-Apr-16 | Commencement of Agreement (from date of vote) - \$1000 salary uplift | Commencement of Agreement (from date of vote) - 2% | 1 March 2018 (2%) | 1 March 2019 (2%) | 1 March 2020 (2%) |
|-----------------------------------|--------------|----------------------------------------------------------------------------------|-------------------------------------------------------------|-------------------------|-------------------------|-------------------------|
| Professional Staff Hew 1 to 10 | Per Annum | | | | | |
| HEW 7 (Class No: 7) | | | | | | |
| Step 1 | 81614 | 82614 | 84267 | 85953 | 87673 | 89427 |
| Step 2 | 83216 | 84216 | 85901 | 87620 | 89373 | 91161 |
| Step 3 | 84850 | 85850 | 87567 | 89319 | 91106 | 92929 |
| Step 4 | 86525 | 87525 | 89276 | 91062 | 92884 | 94742 |
| Step 5 | 88227 | 89227 | 91012 | 92833 | 94690 | 96584 |
| Step 6 | 89968 | 90968 | 92788 | 94644 | 96537 | 98468 |
| HEW 8 (Class No: 8) | | | | | | |
| Step 1 | 91650 | 92650 | 94503 | 96394 | 98322 | 100289 |
| Step 2 | 93459 | 94459 | 96349 | 98276 | 100242 | 102247 |
| Step 3 | 95296 | 96296 | 98222 | 100187 | 102191 | 104235 |
| Step 4 | 97169 | 98169 | 100133 | 102136 | 104179 | 106263 |
| Step 5 | 99087 | 100087 | 102089 | 104131 | 106214 | 108339 |
| Step 6 | 101045 | 102045 | 104086 | 106168 | 108292 | 110458 |
| Step 7 | 103041 | 104041 | 106122 | 108245 | 110410 | 112619 |
| Step 8 | 105078 | 106078 | 108200 | 110364 | 112572 | 114824 |
| HEW 9 (Class No: 9) | | | | | | |
| Step 1 | 106703 | 107703 | 109858 | 112056 | 114298 | 116584 |
| Step 2 | 108813 | 109813 | 112010 | 114251 | 116537 | 118868 |
| Step 3 | 110960 | 111960 | 114200 | 116484 | 118814 | 121191 |
| Step 4 | 113155 | 114155 | 116439 | 118768 | 121144 | 123567 |
| HEW 10 (Class No: 10) | | | | | | |
| Step 1 | 114234 | 115234 | 117539 | 119890 | 122288 | 124734 |
| Research Assistant | | | | | | |
| Step 1 | 58492 | 59492 | 60682 | 61896 | 63134 | 64397 |
| Step 2 | 60360 | 61360 | 62588 | 63840 | 65117 | 66420 |
| Step 3 | 63938 | 64938 | 66237 | 67562 | 68914 | 70293 |



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Casual Professional Rates - per hour

All salary increases are effective from the first full pay period commencing on or after the date included in the tables below

| | 30-Apr-16 | Commencement of Agreement (from date of vote) - \$1000 salary uplift p.a. | Commencement of Agreement (from date of vote) - 2% | 1 March 2018 (2%) | 1 March 2019 (2%) | 1 March 2020 (2%) |
|------------------------------------|-------------|---------------------------------------------------------------------------------------|-------------------------------------------------------------|-------------------------|-------------------------|-------------------------|
| Casual HEW 1 to 10 | Per Hour | | | | | |
| HEW 1 (Class No. 11) | 29.75 | 30.40 | 31.01 | 31.64 | 32.26 | 32.91 |
| HEW 2 (Class No. 12) | 32.05 | 32.70 | 33.35 | 34.02 | 34.70 | 35.40 |
| HEW 3 (Class No. 13) | 33.69 | 34.34 | 35.03 | 35.73 | 36.44 | 37.17 |
| HEW 4 (Class No. 14) | 38.61 | 39.26 | 40.05 | 40.85 | 41.66 | 42.50 |
| HEW 5 (Class No. 15) | 41.89 | 42.55 | 43.40 | 44.26 | 45.15 | 46.05 |
| HEW 6 (Class No. 16) | 48.46 | 49.11 | 50.10 | 51.10 | 52.12 | 53.16 |
| HEW 7 (Class No. 17) | 53.39 | 54.04 | 55.12 | 56.22 | 57.35 | 58.50 |
| HEW 8 (Class No. 18) | 59.95 | 60.60 | 61.81 | 63.05 | 64.31 | 65.60 |
| HEW 9 (Class No. 19) | 69.80 | 70.45 | 71.86 | 73.30 | 74.76 | 76.26 |
| HEW 10 (Class No. 20) | 74.72 | 75.38 | 76.89 | 78.42 | 79.99 | 81.59 |
| Casual Research Assistant (175) | 37.50 | 38.14 | 38.90 | 39.68 | 40.47 | 41.28 |

Academic Staff Salaries

All salary increases are effective from the first full pay period commencing on or after the date included in the tables below

| | 30-Apr-16 | Commencement of Agreement (from date of vote) - \$1000 salary uplift | Commencement of Agreement (from date of vote) - 2% | 1 March 2018 (2%) | 1 March 2019 (2%) | 1 March 2020 (2%) | | |
|-------------------------------------------------------|----------------------------------|----------------------------------------------------------------------------------|-------------------------------------------------------------|-------------------------|-------------------------|-------------------------|--|--|
| Level A/ Research Fe | Level A/ Research Fellow Grade 1 | | | | | | | |
| Step 1 | 63938 | 64938 | 66237 | 67562 | 68914 | 70293 | | |
| Step 2 | 67515 | 68515 | 69886 | 71284 | 72710 | 74165 | | |
| Step 3 | 71094 | 72094 | 73536 | 75007 | 76508 | 78039 | | |
| Step 4 | 74672 | 75672 | 77186 | 78730 | 80305 | 81912 | | |
| Step 5 | 77584 | 78584 | 80156 | 81760 | 83396 | 85064 | | |
| Step 6* | 80490 | 81490 | 83120 | 84783 | 86479 | 88209 | | |
| Step 7 | 83396 | 84396 | 86084 | 87806 | 89563 | 91355 | | |
| Step 8 | 86300 | 87300 | 89046 | 90827 | 92644 | 94497 | | |
| *Any Level A required to c during appointment gain | • | • | | | upon appointm | ent holds or | | |
| Level B/ Research Fe | llow Grade 2 | | | | | | | |
| Step 1 | 90779 | 91779 | 93615 | 95488 | 97398 | 99346 | | |
| Step 2 | 94133 | 95133 | 97036 | 98977 | 100957 | 102977 | | |
| Step 3 | 97483 | 98483 | 100453 | 102463 | 104513 | 106604 | | |
| Step 4 | 100846 | 101846 | 103883 | 105961 | 108081 | 110243 | | |
| Step 5 | 104196 | 105196 | 107300 | 109446 | 111635 | 113868 | | |
| Step 6 | 107553 | 108553 | 110725 | 112940 | 115199 | 117503 | | |
| Level C | Level C | | | | | | | |
| Step 1 | 110906 | 111906 | 114145 | 116428 | 118757 | 121133 | | |
| Step 2 | 114264 | 115264 | 117570 | 119922 | 122321 | 124768 | | |
| Step 3 | 117614 | 118614 | 120987 | 123407 | 125876 | 128394 | | |

| | 30-Apr-16 | Commencement of Agreement (from date of vote) - \$1000 salary uplift | Commencement of Agreement (from date of vote) - 2% | 1 March 2018 (2%) | 1 March 2019 (2%) | 1 March 2020 (2%) |
|---------|-----------|----------------------------------------------------------------------------------|-------------------------------------------------------------|-------------------------|-------------------------|-------------------------|
| Level C | | | | | | |
| Step 4 | 120972 | 121972 | 124412 | 126901 | 129440 | 132029 |
| Step 5 | 124323 | 125323 | 127830 | 130387 | 132995 | 135655 |
| Step 6 | 127681 | 128681 | 131255 | 133881 | 136559 | 139291 |
| Level D | | | | | | |
| Step 1 | 133274 | 134274 | 136960 | 139700 | 142494 | 145344 |
| Step 2 | 137745 | 138745 | 141520 | 144351 | 147239 | 150184 |
| Step 3 | 142220 | 143220 | 146085 | 149007 | 151988 | 155028 |
| Step 4 | 146692 | 147692 | 150646 | 153659 | 156733 | 159868 |
| Level E | | | | | | |
| Step 1 | 171299 | 172299 | 175745 | 179260 | 182846 | 186503 |

Casual Academic Rates

All salary increases are effective from the first full pay period commencing on or after the date included in the tables below

| Academic Casual Rates | Class code | 30- Apr- 16 | Commencement of Agreement (from date of vote) - \$1000 salary uplift p.a. | Commencement of Agreement (from date of vote) - 2% | 1 March 2018 (2%) | 1 March 2019 (2%) | 1 March 2020 (2%) |
|----------------------------------------------|---------------|-------------------|---------------------------------------------------------------------------------------|-------------------------------------------------------------|-------------------------|-------------------------|-------------------------|
| Lecturing Rate (timetabled as Classes) | | | | | | | |
| Repeat Class | 151/1 | 120.68 | 121.97 | 124.41 | 126.90 | 129.42 | 132.02 |
| Basic Class | 151/2 | 181.02 | 182.95 | 186.61 | 190.35 | 194.13 | 198.03 |
| Developed Class | 151/3 | 241.36 | 243.93 | 248.81 | 253.80 | 258.84 | 264.04 |
| Specialised Class | 160 | 301.70 | 304.91 | 311.01 | 317.25 | 323.55 | 330.05 |
| Tutoring Rate (timetabled as Seminars) | | | | | | | |
| Seminar | 154 | 129.84 | 131.76 | 134.40 | 137.10 | 139.83 | 142.62 |
| Repeat Seminar | 152 | 86.56 | 87.84 | 89.60 | 91.40 | 93.22 | 95.08 |
| Feedback, Marking and Grading Rate | | | | | | | |
| Standard Feedback, Marking and Grading | 158/1 | 43.28 | 43.92 | 44.80 | 45.70 | 46.61 | 47.54 |
| Significant Judgement | 157 | 60.34 | 60.98 | 62.20 | 63.45 | 64.71 | 66.01 |
| Clinical Educator | | | | | | | |
| Small Preparation | 178/1 | 64.92 | 65.88 | 67.20 | 68.55 | 69.92 | 71.31 |
| Normal Preparation | 178/2 | 86.56 | 87.84 | 89.60 | 91.40 | 93.22 | 95.08 |
| Other Rates | | | | | | | |
| Musical Accompanist | 178/2 | 86.56 | 87.84 | 89.60 | 91.40 | 93.22 | 95.08 |
| Other Activities | 161/1 | 43.28 | 43.92 | 44.80 | 45.70 | 46.61 | 47.54 |
| Casual Research Fellow | | | | | | | |
| Casual Research Fellow | 172/1 | 40.99 | 41.63 | 42.46 | 43.31 | 44.18 | 45.06 |
| Casual Senior Research Fellow | 172/2 | 71.09 | 71.74 | 73.17 | 74.64 | 76.13 | 77.65 |

Casual Academic Rates - Holds Ph.D. or performs Full Subject Coordination

All salary increases are effective from the first full pay period commencing on or after the date included in the tables below $\frac{1}{2} \int_{\mathbb{R}^{n}} \frac{1}{2} \left(\frac{1}{2} \int_{\mathbb{R}^{n}} \frac{1}{2}$

| Academic Casual Rates | Class code | 30- Apr- 16 | Commencement of Agreement (from date of vote) - \$1000 salary uplift p.a. | Commencement of Agreement (from date of vote) - 2% | 1 March 2018 (2%) | 1 March 2019 (2%) | 1 March 2020 (2%) |
|----------------------------------------------|---------------|-------------------|---------------------------------------------------------------------------------------|-------------------------------------------------------------|-------------------------|-------------------------|-------------------------|
| Tutoring Rate (timetabled as Seminars) | | | | | | | |
| Seminar | 155/2 | 154.80 | 156.71 | 159.85 | 163.05 | 166.32 | 169.65 |
| Repeat Seminar | 155/1 | 103.20 | 104.47 | 106.56 | 108.70 | 110.88 | 113.10 |
| Marking Rate | | | | | | | |
| Standard Feedback, Marking and Grading | 158/2 | 51.60 | 52.24 | 53.28 | 54.35 | 55.44 | 56.55 |
| Clinical Educators | | | | | | | |
| Small Preparation | 179/1 | 77.40 | 78.36 | 79.92 | 81.53 | 83.16 | 84.83 |
| Normal Preparation | 179/2 | 103.20 | 104.47 | 106.56 | 108.70 | 110.88 | 113.10 |
| Other Rates | | | | | | | |
| Musical Accompanist | 179/2 | 103.20 | 104.47 | 106.56 | 108.70 | 110.88 | 113.10 |
| Other Activities | 161/2 | 51.60 | 52.24 | 53.28 | 54.35 | 55.44 | 56.55 |



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SCHEDULE B.

Higher Education Workers Descriptors

The professional staff classification descriptors for Higher Education Worker (HEW) levels 1 to 10 are set out below.

| | Higher Education Worker Level 1 | Higher Education Worker Level 2 | Higher Education Worker Level 3 |
|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Training Level or Qualifications | Professional staff members at the base level of this level would not be required to have formal qualifications or work experience upon engagement. Professional staff members engaged at the base of this level will be provided with structured on the job training in addition to up to 38 hours of induction to the higher education industry which shall provide information on the University, conditions of employment, training to be made available and consequent career path opportunities, physical layout of the University/work areas, introduction to fellow workers and supervisors, work and documentation procedures, occupational health and safety, equal employment opportunity practices and extended basic literacy and numeracy skills training where required / necessary to enable career path progression. | Persons employed at level 2 shall typically perform duties at a skill level which assumes and requires knowledge, training or experience relevant to the duties to be performed, or completion of year 12 without work experience or an equivalent combination of experience and training. | Persons employed at Level 3 shall typically perform duties at a skill level which assumes and requires knowledge or training in clerical/administrative, trades or technical functions equivalent to: • completion of a Trades Certificate; or • completion of year 12, with relevant work experience; or • equivalent relevant experience and education/training. Persons advancing through this level may typically perform duties which require further on the job training or knowledge and training equivalent to progress toward completion of an advanced certificate or associate diploma. |
| Occupational Equivalent | Cleaner, Labourer, Trainee for Level 2 duties. | Clerk, Security Patrol Officer. | Trades person. Technical Assistant/Technical Trainee, Clerical/Secretarial. |
| Level of Supervision | Close supervision or, in the case of more experienced staff working alone, routine supervision. | Routine supervision of straightforward tasks; close supervision of more complex tasks (see below). | In technical positions, routine supervision, moving to general direction with experience. In other positions, general direction. This is the first level where supervision of other staff may be required. |
| Task Level | Straightforward manual duties, or elements of Level 2 duties under close supervision and structured on the job training. Some knowledge of materials, e.g. cleaning chemicals and hand tools, may be required. Established procedures exist. | Perform a range of straightforward tasks where procedures are clearly established. May on occasion perform more complex tasks. | Some complexity. Apply body of knowledge equivalent to Trade Certificate, including diagnostic skills and assessment of the best approach to a given task. |



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| | Higher Education Worker Level 1 | Higher Education Worker Level 2 | Higher Education Worker Level 3 |
|------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Organisational knowledge | May provide straightforward information to others on building or service locations. | Following training may provide general information/advice and assistance to members of the public, students and other staff, which is based on a broad knowledge of the general staff member's work area/responsibility, including knowledge of the functions carried out and the location and availability of particular personnel and services. | Perform tasks/assignments which require knowledge of the work area processes and an understanding of how they interact with other related areas and processes. |
| Judgement, Independence and Problem Solving | Resolve problems where alternatives for the job-holder are limited and the required action is clear or can be readily referred to higher levels. | Solve relatively simple problems with reference to established techniques and practices. Will sometimes choose between a range of straightforward alternatives. A staff member at this level will be expected to perform a combination of various routine tasks where the daily work routine will allow the latitude to rearrange some work sequences, provided the prearranged work priorities are achieved. | Exercise judgment on work methods and task sequence within specified timelines and standard practices and procedures. |



| Typical Activities Perform a range of industrial cleaning tasks, move furniture, assist Trades personnel with manual duties. Perform a range of industrial cleaning tasks, move furniture, assist Trades personnel with manual duties. Clerical positions at this level may include duties involving the inward and outward movement of mail, keeping, copying, maintaining and retrieving records, straightforward data entry and Worker Level 3 In trades positions, apply the skills taught in a trade certificate, including performance of a range of construction, maintenance ar repair tasks, using precision hand and power tools and | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Typical Activities Perform a range of industrial cleaning tasks, move furniture, assist Trades personnel with manual duties. Clerical positions at this level may include duties involving the inward and outward movement of mail, keeping, copying, maintaining and retrieving records, straightforward data entry and Clerical positions at this level may include duties involving the inward and outward movement of mail, keeping, copying, maintaining and retrieving records, straightforward data entry and | | Higher Education | Higher Education | Higher Education |
| be involved in a range of patrol duties, including responding to alarms, following emergency procedures and preparing incident reports. will involve familiarity with the work of other trades or requifurther training. In Technical positions: assist a technical officer in operating a laboratory, including ordering supplies, assist in setting up routine experiments; monitor experiments for report to a technical officer assist with the preparation specimens; assist with the feeding and care of animals. Staff would be expected to perform a greater range and complexity of tasks as they progressed through the level and obtained further training In Clerical positions, perform range of clerical support tasks including: standard use of a word processing package (including store and retriew documents, key and lay out correspondence and report merge, move and copy, use | Typical Activities | Worker Level 1 Perform a range of industrial cleaning tasks, move furniture, assist Trades personnel with | Clerical positions at this level may include duties involving the inward and outward movement of mail, keeping, copying, maintaining and retrieving records, straightforward data entry and retrieval. Security Officers may be involved in a range of patrol duties, including responding to alarms, following emergency procedures and preparing | In trades positions, apply the skills taught in a trade certificate, including performance of a range of construction, maintenance and repair tasks, using precision hand and power tools and equipment. In some cases this will involve familiarity with the work of other trades or require further training. In Technical positions: assist a technical officer in operating a laboratory, including ordering supplies; assist in setting up routine experiments; monitor experiments for report to a technical officer; assist with the preparation of specimens; assist with the feeding and care of animals. Staff would be expected to perform a greater range and complexity of tasks as they progressed through the level and obtained further training. In Clerical positions, perform a range of clerical support tasks including: standard use of a word processing package (including store and retrieve documents, key and lay out correspondence and reports, merge, move and copy, use of columns, tables and basic graphics) or an established spreadsheet or database application; provide general clerical support to staff within a faculty, including word processing, setting up meetings, answering straightforward inquiries and directing others to appropriate personnel; process accounts for |



| | Higher Education | Higher Education | Higher Education |
|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Training Level or Qualifications | Persons employed at Level 4 shall typically perform duties at a skill level which assumes and requires knowledge or training equivalent to: • completion of an Associate Diploma level qualifications with relevant work experience or a certificate level qualification with post- certificate relevant work experience; • completion of a Post-Trades Certificate or Advanced Certificate and extensive relevant experience and on the job training; or • an equivalent combination of relevant experience and/or education/training | Persons employed at Level 5 shall typically perform duties at a skill level which assumes and requires knowledge or training equivalent to: • completion of a Degree without subsequent relevant work experience; or • completion of an Associate Diploma and at least two years subsequent relevant work experience; or • completion of a Post-Trades Certificate or Advanced Certificate and extensive relevant experience as a Technician; or • an equivalent combination of relevant experience and/or education/training. | Persons employed at level 6 shall typically perform duties at a skill level which assumes and requires knowledge or training equivalent to: • a Degree with subsequent relevant experience; or • extensive experience and specialist knowledge or broad knowledge in technical or administrative fields; or • an equivalent combination of relevant experience and/or education/training. |
| Occupational Equivalent | Technical officer or technician, clerical/secretarial above Level 3, advanced trades person | Graduate (i.e. degree) or professional, without subsequent work experience on entry (including inexperienced Computer Systems Officer); Administrator with responsibility for advice and determinations; experienced Technical Officer. | Graduate or Professional with subsequent relevant work experience (including a Computer Systems Officer with some experience); Line Manager; experienced Technical Specialist and/or Technical Supervisor. |
| Level of Supervision | In Technical positions routine supervision to general direction depending upon experience and the complexity of the tasks. In other positions, general direction. May supervise or co-ordinate others to achieve objectives, including liaison with staff at higher levels. May undertake standalone work. | In professional positions, routine supervision to general direction, depending on tasks involved and experience. In Technical positions, general direction and may supervise other staff. | In Professional positions, general direction; in other positions, broad direction. May have extensive supervisory and line management responsibility for technical, clerical, administrative and other non-professional staff. |
| Task Level | May undertake limited creative, planning or design functions; apply skills to a varied range of different tasks. | Apply body of broad technical knowledge and experience at a more advanced level than 4, including the development of areas of specialist expertise. In Professional positions, apply theoretical knowledge, at degree level, in a straightforward way. In administrative positions, provide interpretation, advice and decisions on rules and entitlements. | Perform work assignments guided by policy, precedent, professional standards and managerial or technical expertise. Professional staff members would have the latitude to develop or redefine procedure and interpret policy so long as other work areas are not affected. In Technical and Administrative areas, have a depth or breadth of expertise developed through extensive relevant experience and application. |
| Organisational knowledge | Perform tasks/assignments which require proficiency in the work area's rules, regulations, processes and techniques and how they interact with other related functions. | | Perform tasks/assignments which require proficiency in the work area's existing rules, regulations, processes and techniques and how they interact with other related functions, and to adapt those procedures and techniques as required to achieve objectives without impacting on other areas. |

In Professional positions, Discretion to innovate within Judgement, In trades positions, extensive Independence diagnostic skills. In technical solve problems through own function and take and Problem responsibility for outcomes; positions, apply theoretical the standard application of Solving knowledge and techniques to a theoretical principles and design, develop and test range of procedures and tasks. techniques at degree level. complex equipment, systems In clerical/secretarial positions, In technical positions, apply and procedures; undertake provide factual advice, which standard technical training and planning involving resources; requires proficiency in the work experience to solve problems. use and develop proposals for area's rules and regulations, In Administrative positions, resource allocation; exercise procedures requiring expertise may also apply expertise in high level diagnostic skills on in a specialist area or broad a particular set of rules or sophisticated equipment or knowledge of a range of regulations to make decisions, systems: analyse and report on personnel and functions. or be responsible for codata and experiments. ordinating a team to provide an administrative service. **Typical Activities** In Trades positions: In Technical positions: In Technical positions: • manage a teaching or • work on complex engineering • develop new equipment to general specifications; or interconnected electrical research laboratory or a field under general direction, station; circuits; assist in the conduct of • exercise high precision • provide highly specialised major experiments and trades skills using various technical services; research programs and/ materials and/or specialised • set up complex experiments; or in setting up complex or • design and construct complex techniques. unusual equipment for a or unusual equipment to In Technical positions: range of experiments and general specifications; • develop new equipment demonstrations; assist honours and post to criteria developed and • under broad direction, set graduate students with their specified by others; up, monitor and demonstrate laboratory requirements; • under routine direction, standard experiments and • install, repair, provide and assist in the conduct of equipment use; demonstrate computer major experiments and prepare reports of a technical services in laboratories. research programs and/ nature. or in setting up complex or In Administrative positions: unusual equipment for a In Library Technician positions, • provide financial, policy and range of experiments and perform at a higher level than planning advice; demonstrations; Level 4, including; • service a range of • demonstrate the use of • assist with reader education administrative and academic equipment and prepare programs and more complex committees, including reports of technical nature as bibliographic and acquisition preparation of agendas, directed. services: papers, minutes and operate a discrete unit within In Library Technician positions: correspondence; a library which may involve • undertake copy cataloguing; • monitor expenditure against • use a range of bibliographic significant supervision or be budget in a school or small the senior staff member in an databases: faculty. out posted service. • undertake acquisitions; In Professional positions: respond to reference In Administrative positions, • work as part of a research inquiries. responsible for the explanation team; and administration of an In Clerical/Secretarial positions: provide a range of library administrative function, • may undertake a full range of services, including e.g. HECS advice, records, word processing functions, bibliographic assistance, determinations and payments, including mathematical original cataloguing and a centralised enrolment formulae and symbols, reader education in library function, the organisation and manipulation of text and and reference services; administration of exams at a layout in desk top publishing provide Counselling services; small campus. software and use of a range of provide documentation and word processing packages if In Professional positions and assistance to computer users; required; under professional supervision: analyse less complex user and • be responsible for providing system requirements. • work as part of a research a full range of secretarial team in a support role; services in a faculty: provide a range of • plan and set up spreadsheets

library services including

bibliographic assistance,

original cataloguing and

and reference services;

reader education in library

• provide counselling services.

or data base applications;

• provide advice to students on

enrolment procedures and

course progression records.

• administer enrolment and

requirements;

| | Higher Education Worker Level 7 | Higher Education Worker Level 8 | Higher Education Worker Level 9 |
|------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Training Level or Qualifications | Persons employed at level 7 shall typically perform duties at a skill level which assumes and requires knowledge or training equivalent to: • a Degree with at least 4 years subsequent relevant experience; or • extensive experience and management expertise in technical or administrative fields; or • an equivalent combination of relevant experience and/or education/training. | Persons employed at level 8 shall typically perform duties at a skill level which assumes and requires knowledge or training equivalent to: • postgraduate qualifications or progress towards postgraduate qualifications and extensive relevant experience; or • extensive experience and management expertise; or • an equivalent combination of relevant experience and/or education/training. | Persons employed at level 9 shall typically perform duties at a skill level which assumes and requires knowledge or training equivalent to: • postgraduate qualifications and extensive relevant experience; or • extensive experience and management expertise; or • an equivalent combination of relevant experience and/or education/training. |
| Occupational Equivalent | Senior Librarian, Technical Manager, Senior Professional or Scientific Officer, Senior Administrator in a small less complex faculty. | Researcher of national standing; manager; senior school or faculty administrator. | Researcher of national or international standing; manager; senior school or faculty administrator. |
| Level of Supervision | Broad direction. May manage other administrative, technical and/or professional staff. | Broad direction. May manage other administrative, technical and/or professional staff. | Broad direction. Will manage other administrative, technical and/or professional staff. |
| Task Level | Independently relate existing policy to work assignments or rethink the way a specific body of knowledge is applied in order to solve problems. In professional or technical positions, may be a recognised authority in a specialised area. | Work at this level is likely to require the development of new ways of using a specific body of knowledge which applies to work assignments, or may involve the integration of other specific bodies of knowledge. | Demonstrated capacity to conceptualise, develop and review major professional, management or administrative policies at the corporate level. Significant high level creative, planning and management functions. Responsibility for significant resources. |
| Organisational knowledge | Detailed knowledge of academic and administrative policies and the inter- relationships between a range of policies and activities. | The professional staff member would be expected to make policy recommendations to others and to implement programs involving major change which may impact on other areas of the University's operations. | Conceptualise, develop and review major policies, objectives and strategies involving high level liaison with internal and external client areas. Responsible for programs involving major change which may impact on other areas of the University's operations. |
| Judgement, Independence and Problem Solving | Independently relate existing policy to work assignments, rethink the way a specific body of knowledge is applied in order to solve problems, adapt procedures to fit policy prescriptions or use theoretical principles in modifying and adapting techniques. This may involve standalone work or the supervision of others to achieve objectives, as well as the interpretation of policy which has an impact beyond the immediate work area. | Responsible for program development and implementation. Provide strategic support and advice to schools or faculties requiring integration of a range of internal and external policies and demands, and an ability to achieve objectives operating within complex organisation structures. | Responsible for program development and implementation. Provide strategic support and advice to schools or faculties requiring integration of a range of internal and external policies and demands, and an ability to achieve objectives operating within complex organisation structures. |

| | Higher Education | Higher Education | Higher Education |
|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Worker Level 7 | Worker Level 8 | Worker Level 9 |
| Typical Activities | In a library, combine specialist expertise and responsibility for managing a library function. In student services, the training and supervision of other professional staff combined with policy development responsibilities which may include research and publication. In technical manager positions, the management of teaching and research facilities for a department or school. In research positions, acknowledged expertise in a specialised area or a combination of technical management and specialist research. In administrative positions provide less senior administrative support to relatively small and less complex facilities or equivalent. | Assist in the management of a large functional unit with a diverse or complex set of functions and significant resources; manage a function or development and implementation of a policy requiring a high degree of knowledge and sensitivity and manage a small and specialised unit where significant innovation, initiative and/or judgement are required; provide senior administrative support to the more complex schools and faculties, taking into account the size, budget, course structure, external activities and management practices within the faculty or equivalent unit. | Assist in the management of a large functional unit with a diverse or complex set of functions and significant resources; manage a function or development and implementation of a policy requiring a high degree of knowledge and sensitivity and the integration of internal and external requirements; manage a small and specialised unit where significant innovation, initiative and/or judgement are required; provide senior administrative support to the more complex schools and faculties, taking into account the size, budget, course structure, external activities and management practices within the faculty or equivalent unit. |

| | Higher Education Worker Level 10 |
|---------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Training Level or Qualifications | Persons employed at or above this level shall typically perform duties at a skill level which assumes and requires knowledge or training equivalent to: • proven expertise in the management of significant human and material resources; in addition to, in some areas • postgraduate qualifications and extensive relevant experience. |
| Occupational Equivalent | Senior Program, Research or Administrative Manager |
| Level of Supervision | Broad direction. Will manage other administrative, technical and/or professional staff. |
| Task Level | Complex, significant and high level creative planning, program and managerial functions with clear accountability for program performance. Comprehensive knowledge of related programs. Generate and use a high level of theoretical and applied knowledge. |
| Organisational knowledge | Bring a multi perspective understanding to the development, carriage, marketing and implementation of new policies; devise new ways of adapting the organisation's strategies to new, including externally generated, demands. |
| Judgement, Independence and Problem Solving | Be fully responsible for the achievement of significant organisational objectives and programs. |
| Typical Activities | Manage a large functional unit with a diverse or complex set of functions and significant resources; manage a more complex function or unit where significant innovation, initiative and/or judgment are required; provide senior administrative support to the most complex schools and faculties, involving complex course structures, significant staff and financial resources, outside activities and extensive devolution of administrative, policy and financial management responsibilities to this position. |

SCHEDULE C.

Deakin University English Language Institute Conditions of Employment

1 **Arrangement**

| Clause Number | Subject Matter |
|---------------|---------------------------------------|
| 1 | Arrangement |
| 2 | Incidence and Parties Bound |
| 3 | Definitions |
| 4 | Mode of Employment |
| 5 | Salaries |
| 6 | Position of Responsibility Allowances |
| 7 | Casual Rates |
| 8 | Salary Rates |
| 9 | Redundancy |

Incidence And Parties Bound 2

- 2.1 Schedule C of this **Agreement** will apply to the **University** and all staff members employed by the **University** at the University's English Language Institute.
- The terms set out in this Schedule will apply to any staff member as defined in clause 2 as 2.2 will the conditions and terms of employment in Parts 1 to 10 of this Agreement other than clauses 10 (Full-time Employment), 11 (Part-time Employment), 12 (Part-year Employment - Professional Staff), 13 (Annualised Hours Employment - Professional Staff), 15 (Casual Employment - Academic Staff), 16 (Fixed-Term Employment), 17 (Research Continuing Employment (Contingent Funded)), 18 (Appointment of Teaching Scholars), 19 (Senior Staff Performance Contracts), 22 (Probation - Academic Staff), 23 (Academic Staff Supervision), 31 (Job Evaluation Procedures), 33 (Overtime Meal Allowance - Professional Staff), 34 (On-Call Allowance - Professional Staff), 36 (First Aid Allowance), 44 (Overtime - Professional Staff), 45 (Shift Work - Professional Staff), 47 (Travel Between Campuses) and 69 (Redundancy).

3 **Definitions**

- "DUELI" means the Deakin University English Language Institute. 3.1
- 3.2 "ELICOS" means English Language Intensive Course for Overseas Students.
- 3.3 "TESOL" means Teaching English to Speakers of Other Languages.
- 3.4 "Staff member" means a person employed principally to teach ELICOS, TESOL or other nonaward English language courses in DUELI.

Mode of Employment 4

- Staff members may be employed on either a continuing or fixed-term basis (either full-time 4.1 or part-time), or on a casual basis.
- A full-time staff member is a staff member other than a part-time or casual staff member. 4.2
- A part-time staff member is one who is employed to work a fixed number of hours per week, which is less than the weekly **Ordinary Hours of Work** for a full-time staff member. Entitlements under this **Agreement**, including the requirement to teach a particular number of hours, will be calculated on a pro-rata basis by reference to the staff member's **Ordinary** Hours of Work.
- Full-time and part-time staff members may be employed on a continuing basis or for a period of Fixed-Term Employment. Fixed-term contracts other than for a staff member replacing another staff member on a temporary basis will be for a period of at least one year and one day.



- 4.5 A casual staff member is one who is employed by the hour and who works less than the weekly Ordinary Hours of Work for a full-time staff member.
- 4.6 DUELI will during the life of the **Agreement**, ensure a staffing teaching profile that reflects a minimum of 25% ongoing staff, 35% fixed-term staff and a maximum of 40% casual staff. This will include a six monthly review of the number of positions that will be converted to continuing appointments based upon average number of DUELI classes per intake based on an average of 15 students per class. This will be calculated over the previous two years. This review will be undertaken at the middle and end of each year.

The number of continuing and contract positions available can then be determined based on the average number of full-time equivalent teachers required per intake.

Within two months of a review, DUELI, in consultation with the NTEU, will determine the number of positions (if any) to be converted to continuing appointments in accordance with this clause.

Once the continuing number of positions is determined DUELI will invite all current fixedterm staff members to apply for conversion. Selection will be based on merit against the selection criteria for the position/s in accordance with the **University's** normal selection processes.

Once this continuing conversion process is completed, all current DUELI casual staff will be able to apply for any subsequent fixed-term vacancies that have become available as a result of the conversion process included above. Selection will be based on merit against the selection criteria for the position/s in accordance with the **University's** normal selection processes.

A full-time continuing or fixed-term staff member will undertake up to 20 hours per week teaching which may be averaged across the intake period where exceptional circumstances require additional hours to be taught in a particular week. Such additional hours in the particular week will be reasonable and agreed to between the staff member and their Supervisor.

5 **Salaries**

- 5.1 The minimum salary levels payable to full-time staff members covered by this Schedule will be in accordance with clause 8 of this Schedule.
- On appointment, a staff member will be placed on a salary level commensurate with the minimum salary level for their qualifications and experience by reference to clause 5.3 of this Schedule.

Category A commences at Level 8 with a maximum of Level 13

Category B commences at Level 7 with a maximum of Level 13

Category C commences at Level 6 with a maximum of Level 13

Category D commences at Level 5 with a maximum of Level 9

Provided that a Category D staff member who achieves Level 9 may be promoted beyond that level where that staff member can demonstrate that they are able to carry out the full range of duties carried out by a Category A, B or C staff member.

Teacher Categories

Based on an assessment of a teacher's qualifications, a staff member will be assigned to one of the following categories:

Category A

Master of Education (TESOL/LOTE), Master TESOL and/or equivalent, Graduate Degree and Diploma of Education or equivalent and either a diploma in TESOL (e.g. Dip RSA, Grad Dip TESOL); or post graduate diploma in applied linguistics, languages other than English (LOTE), multicultural education.



Category B

Degree and Diploma of Education or equivalent plus recognised TESOL certificate; or

Degree and Diploma including LOTE/TESOL method.

Category C

Any Degree/Diploma (3 year minimum) plus recognised TESOL certificate; or

Any Degree/Diploma (3 year minimum) including LOTE/TESOL method.

Category D

Other qualifications not provided for above and/or expected to acquire minimum TESOL qualifications.

- Staff members will be accredited with teaching experience and be allocated a higher Salary 5.4 in accordance with the following:
 - (a) one increment for each year of full-time TESOL teaching or equivalent;
 - (b) one increment for each two years of full-time teaching in other subjects including other languages to a maximum of three increments.
- 5.5 A staff member will proceed through the incremental scale of their classification level subject to the staff member's satisfactory performance, as assessed by the **University**.

6 **Position of Responsibility Allowances**

- 6.1 Where a Position of Responsibility is required, it will be determined in accordance with the position classification standards determined by the University.
- 6.2 There will be three levels of allowances. The rates are specified in clause 8.
 - (a) Position of Responsibility Allowance Level 1
 - (b) Position of Responsibility Allowance Level 2
 - (c) Position of Responsibility Allowance Level 3
- Position of Responsibility Allowances will be paid in accordance with the following criteria: 6.3
 - (a) Co-ordinating 2-5 classes: one non-teaching day co-ordinating per week, per student intake - Position of Responsibility Allowance Level 1;
 - (b) Co-ordinating 6 7 classes: 2 non-teaching days co-ordinating per week, per student intake - Position of Responsibility Allowance Level 2;
 - (c) Co-ordinating 8 10 classes: 3 non-teaching days co-ordinating per week, per student intake - Position of Responsibility Allowance Level 3.
- 6.4 A staff member may be appointed to a Position of Responsibility for a period of up to five years.
- A Position of Responsibility Allowance will be paid in addition to the substantive salary of the staff member appointed to the Position of Responsibility.
- A staff member required to undertake on-call emergency teaching phone duties will be paid at Position of Responsibility Allowance Level 3 for the hours worked on-call.
- 6.7 The Parties acknowledge that salaries paid by DUELI are for the delivery of programs as directed by DUELI. Should DUELI seek to deliver a new non-ELICOS/non-English language/ academic skills program to be taught by DUELI staff then it will prior to any such delivery consult with staff and the NTEU. DUELI agrees the class size of any program it requires staff to deliver will not exceed the TEQSA standard for ELICOS programs (whether the program is an ELICOS program or not.)



7 Casual Rates

- 7.1 The casual rates are inclusive of all preparation required and include a 25% loading in lieu of recreation leave, recreation leave loading, personal leave and any other leave, excluding long service leave.
- 7.2 A casual staff member will be paid the minimum rates as specified in clause 8.
- 7.3 The non-teaching rate will be paid for all work other than delivery of teaching and the work compensated for in that rate. This includes, but is not limited to, marking of examinations, attendance at any meetings as required, in-house professional development, assisting at student orientation and excursions.
- 7.4 The casual teaching rate includes payment for all marking that is required to be undertaken that arises out of the class for which payment has already been made. The casual teaching rate does not include payment for marking of mid or end of period examinations. Marking of these examinations will be paid at the non-teaching rate up to a maximum of 10 hours payment for all marking in each examination period.

8 Salary Rates

Clause 27 of this **Agreement** provides for salary increases. These increases are effective from the first full pay period commencing on or after the dates set out in the tables following:

| CLASSIFICATION | | | | | | |
|---------------------------------------|-----------------|--------------------------------------------------------|--------------------------------------------------------|-----------------|-----------------|----------------|
| Effective Date of Increase | 30-Apr- 2016 | Commencement of Agreement (from date of vote) | Commencement of Agreement (from date of vote) | 01-Mar- 2018 | 01-Mar- 2019 | 1-Mar- 2020 |
| Salary Increase | | \$1000 salary uplift | 2.0% | 2.0% | 2.0% | 2.0% |
| ELICOS | Per Annum | Per Annum | Per Annum | Per Annum | Per Annum | Per Annum |
| Level 1 (Class No. E1) | 55155 | 56155 | 57279 | 58425 | 59594 | 60786 |
| Level 2 (Class No. E2) | 56114 | 57114 | 58257 | 59423 | 60612 | 61825 |
| Level 3 (Class No. E3) | 57846 | 58846 | 60023 | 61224 | 62449 | 63698 |
| Level 4 (Class No. E4) | 59811 | 60811 | 62028 | 63269 | 64535 | 65826 |
| Level 5 (Class No. E5) | 62873 | 63873 | 65151 | 66455 | 67785 | 69141 |
| Level 6 (Class No. E6) | 64914 | 65914 | 67233 | 68578 | 69950 | 71349 |
| Level 7 (Class No. E7) | 66956 | 67956 | 69316 | 70703 | 72118 | 73561 |
| Level 8 (Class No. E8) | 68998 | 69998 | 71398 | 72826 | 74283 | 75769 |
| Level 9 (Class No. E9) | 71052 | 72052 | 73494 | 74964 | 76464 | 77994 |
| Level 10 (Class No. E10) | 73694 | 74694 | 76188 | 77712 | 79267 | 80853 |
| Level 11 (Class No. E11) | 76153 | 77153 | 78697 | 80271 | 81877 | 83515 |
| Level 12 (Class No. E12) | 78326 | 79326 | 80913 | 82532 | 84183 | 85867 |
| Level 13 (Class No. E13) | 80704 | 81704 | 83339 | 85006 | 86707 | 88442 |
| ELICOS Responsibility Allowance | Per Annum | Per Annum | Per Annum | Per Annum | Per Annum | Per Annum |
| Level 1 | 2219 | | 2264 | 2309 | 2355 | 2403 |
| Level 2 | 4436 | | 4525 | 4616 | 4708 | 4802 |
| Level 3 | 6655 | | 6789 | 6925 | 7063 | 7205 |



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| Effective Date of Increase | 30-Apr- 2016 | Commencement of Agreement (from date of vote) | Commencement of Agreement (from date of vote) | 01-Mar- 2018 | 01-Mar- 2019 | 01-Mar- 2020 |
|----------------------------------------------------|-----------------|--------------------------------------------------------|--------------------------------------------------------|-----------------|-----------------|-----------------|
| Salary Increase | | \$1000 salary uplift p.a. | 2.0% | 2.0% | 2.0% | 2.0% |
| Casual ELICOS | Per Hour | Per Hour | Per Hour | Per Hour | Per Hour | Per Hour |
| Casual Face to Face Teaching (Class No. EC1) | 73.92 | 74.45 | 75.94 | 77.46 | 79.01 | 80.60 |
| Casual Non Teaching Duties (Class No. EC2) | 39.25 | 39.78 | 40.58 | 41.40 | 42.23 | 43.08 |

9 Redundancy

- 9.1 Where the **University** decides that the staff member's position is no longer required as a consequence of changed circumstances, including changes in work methods, reorganisation, financial exigency, introduction of new technology, the staff member, or their nominated representative where the affected staff member chooses a nominated representative in accordance with clause 73 of this **Agreement**, will be informed as soon as practicable and provided with an opportunity to respond and make recommendations to avoid the redundancy and/or mitigate the effects of the redundancy.
- 9.2 After considering the response of the staff member, or their nominated representative where the affected staff member chooses a nominated representative in accordance with clause 73 of this **Agreement**, the **University** will advise the staff member if they are deemed surplus to requirements. If declared surplus to requirements the staff member will receive the notice period prescribed in clause 9.9 of this Schedule in order to explore redeployment options.

Redeployment

9.3 The **University** will take reasonable action to place the staff member in other suitable employment within the **University**, or arrange training for such alternative employment within the **University** International.

Suitable vacant position means a vacant position:

- (a) For which the staff member meets the essential requirements; and
- (b) Which the staff member could perform satisfactorily within what is in all circumstances, a reasonable time; and
- (c) Which is to be filled at the same fraction of full-time or part-time as the staff member was employed in the redundant position (or an alternative fraction if the staff member agrees).
- 9.4 Where the staff member has received notification of being declared surplus to requirements, the staff member will be entitled, with **Full Pay**, to attend necessary employment interviews as approved by the Executive Director, Human Resources.
- 9.5 Where in the opinion of the **University** it is necessary for a transferred staff member to move their household to a new location to take up a new position within the **University** the staff member will be entitled to be reimbursed for reasonable expenses associated with the move as approved in advance by the Executive Director, Human Resources, provided that the staff member may, with reasonable cause, refuse a geographic relocation without loss of any entitlement under this clause.



Termination

- 9.6 Where the **University** offers to redeploy the staff member into another position of comparable remuneration and this offer is rejected by the staff member, the University may terminate the staff member with two weeks' notice or the remainder of the notice stipulated in clause 9.9 of this Schedule, whichever the greater, and without payment of any redundancy pay and payment for accrued long service leave calculated in accordance with the provisions of clause 9.7 of this Schedule.
- 9.7 Where redeployment is not possible:
 - (a) The staff member's employment will be terminated at the expiration of the notice period in clause 9.9 of this Schedule providing this notice period does not extend the staff member's employment beyond any agreed retirement date; and
 - (b) The staff member will receive redundancy pay calculated in accordance with the following table in addition to receiving a payment for accrued, but untaken, recreation and payment for accrued long service leave, provided the staff member has continuous service with the **University** of at least three years.

| Period of Continuous Service | Redundancy Pay |
|-------------------------------|----------------|
| Less than 1 year | NIL |
| 1 year and less than 2 years | 4 weeks' pay |
| 2 years and less than 3 years | 6 weeks' pay |
| 3 years and less than 4 years | 7 weeks' pay |
| 4 years and less than 5 years | 8 weeks' pay |
| , | ' ' |

(c) For each completed year of continuous service over five years, a staff member will be entitled to a further two weeks redundancy pay per year.

Appeals

The staff member may appeal in writing against their position being declared redundant on the grounds that the process used in determining the position being surplus had not been followed correctly. The appeal should be made to the Executive Director, Human Resources, and should be made within 48 hours of advice of redundancy under clause 9.6 or 9.7 of this Schedule above.

Notice Period

The **University** will provide a staff member with a four weeks' notice period before termination. A staff member who is over 45 years of age will be provided with an additional one week's notice before termination for redundancy.

10 Excursion Rates

Staff members will not be required to supervise study tour excursions of more than six hours. Staff who volunteer to supervise study tours will be paid five hours at the casual face to face teaching rate and the remainder of hours worked at the casual non-teaching duties rate.



SCHEDULE D.

Supported Wage

1 Supported Wage Scheme (Disabled Staff)

- 1.1 This Schedule defines the conditions which will apply to staff members who, because of the effects of a disability, are eligible for a supported wage under the terms of this **Agreement**. In the context of this Schedule, the following definitions will apply:
 - (a) Approved Assessor means a person accredited by the management unit established by the Commonwealth under the Supported Wage System to perform assessments of an individual's productive capacity within the Supported Wage System.
 - (b) Assessment Instrument means the tool provided for under the Supported Wage System that records the assessment of the productive capacity of the person to be employed under the Supported Wage System.
 - (c) Disability Support Pension means the Commonwealth pension scheme to provide income security for persons with a disability as provided under the Social Security Act 1991 (or its successor legislation), as amended from time to time, or any successor to that scheme.
 - (d) Relevant Minimum Wage means the minimum wage prescribed in this **Agreement** for the class of work for which a staff member is engaged.
 - (e) Supported Wage System (SWS) means the Commonwealth Government system to promote employment for people who cannot work at full award wages because of a disability, as documented in Supported Wage System: Guidelines and Assessment Process. The Handbook is available from the following website: www.jobaccess.gov.au.
 - (f) SWS Wage Assessment Agreement means the document in the form required by the Department of Employment that records the staff member's productive capacity and agreed wage rate.

Eligibility Criteria

- 1.2 Staff members covered by this clause will be those who are unable to perform the range of duties to the competence level required within the class of work for which the staff member is employed under this **Agreement**, because of the effects of a disability on their productive capacity and who meet the impairment criteria for receipt of a Disability Support Pension.
- 1.3 The clause does not apply to any existing staff member who has a claim against the **University** which is subject to the provisions of workers' compensation legislation relating to the rehabilitation of staff members who are injured in the course of their employment.

Supported Wage Rates

1.4 Staff members to whom this Schedule applies will be paid the applicable percentage of the salary, as set out in Schedule A of this **Agreement** applicable to those staff members, for the class of work which the person is performing according to the following schedule:

| Assessed Capacity (see clause 1.6 below) | % of Prescribed Rate in Schedule A of this Agreement |
|---------------------------------------------|---------------------------------------------------------|
| 10% | 10% |
| 20% | 20% |
| 30% | 30% |
| 40% | 40% |
| 50% | 50% |
| 60% | 60% |
| 70% | 70% |
| 80% | 80% |
| 90% | 90% |



- Provided that the minimum amount payable must be not less than \$81.00 per week. 1.5
- Where a staff member's capacity is 10%, they must receive a high degree of assistance and 1.6 support.

Assessment of Capacity

- For the purpose of establishing the percentage of the rate to be paid to a staff member under Schedule D of this Agreement, the productive capacity of the staff member will be assessed in accordance with the Supported Wage System and documented in an assessment instrument by either:
 - (a) The **University** and the **NTEU**, in consultation with the staff member or, if desired by any of these;
 - (b) The **University** and an accredited Assessor from a panel agreed by the **Parties** to this **Agreement** and the staff member.
- 1.8 All assessments made under this clause must be documented in an SWS wage assessment agreement to be retained by the **University** as a time and wages record.

Lodgement of Assessment Instrument

- All SWS wage assessment agreements under the condition of this Schedule, including the appropriate percentage of the relevant minimum wage to be paid to the staff member, will be lodged by the **University** with the Fair Work Commission (or its successor).
- 1.10 All SWS wage assessment agreements will be agreed and signed by the parties to the assessment. Where the **NTEU** is not a party to the assessment, the assessment will be referred by the Industrial Registrar (or its successor) to the NTEU by certified mail and the agreement will take effect unless an objection is notified to the Fair Work Commission within 10 working days.

Review of Assessment

1.11 The assessment of the applicable percentage should be subject to annual review or more frequent review on the basis of a reasonable request for such a review. The process of review will be in accordance with the procedures for assessing capacity under the Supported Wage System (or its successor).

Other Terms and Conditions of Employment

1.12 Where an assessment has been made, the applicable percentage will apply to the wage rate only. Staff members covered by the provisions of the Schedule will be entitled to the same terms and conditions of employment as all other staff to whom this Agreement applies on a pro-rata basis.

Workplace Adjustment

1.13 If the University wishes to employ a person under the provisions of this Schedule, it will take reasonable steps to make changes in the workplace to enhance the staff member's capacity to do the job. Changes may involve re-design of job duties, working time arrangements and work organisation in consultation with other workers in the area.

Trial Period

- 1.14 In order for an adequate assessment of the staff member's capacity to be made, the University may employ a person under the provisions of this Schedule for a trial period not exceeding 12 weeks, except that in some cases additional work adjustment time (not exceeding four weeks) may be needed.
- 1.15 During the trial period, the assessment of capacity will be undertaken and the proposed wage rate for a continuing employment relationship will be determined.
- 1.16 The minimum amount payable to the staff member during the trial period will be no less than \$81.00 per week. The minimum payable will be increased over the life of this **Agreement** in line with the salary increases paid to all staff under clause 27 of this Agreement.



- 1.17 Work trials should include induction or training as appropriate to the job being trialled.
- 1.18 Where the **University** and staff member wish to establish a continuing employment relationship following the completion of the trial period, a further contract of employment will be entered into based on the outcome of assessment under Schedule D, clause 1.7.

SCHEDULE E.

Traineeships

1 Arrangement

| Clause Number | Subject Matter |
|---------------|-------------------------------|
| 1 | Arrangement |
| 2 | Application |
| 3 | Definitions |
| 4 | Training conditions |
| 5 | Employment conditions |
| 6 | Wages |
| 7 | Dispute settlement procedures |
| 8 | Part time traineeships |
| 9 | Skills Levels |

2 Application

- 2.1 Schedule E of this **Agreement** will apply to persons:
 - (a) who are undertaking a Traineeship (as defined); and
 - (b) who are employed by the University.
- 2.2 Schedule E does not apply to the apprenticeship system or training program which applies to the same occupation and achieves essentially the same training outcome as an existing apprenticeship binding upon the **University**.
- 2.3 Schedule E will cease to apply to the employment of the Trainee at the conclusion of the Traineeship and the relevant provisions of this **Agreement** will apply to the staff member (the former Trainee).

3 Definitions

- 3.1 "Approved Training" means that training which is specified in the Training Plan which is part of the Training Agreement registered with the relevant State or Territory Training Authority. It includes training undertaken both on and off-the-job in a Traineeship and involves formal instruction, both theoretical and practical, and supervised practice. The training reflects the requirements of a National Training Package or a Traineeship Scheme and leads to a qualification under the Australian Qualification Framework.
- 3.2 "Trainee" is an individual who is a signatory to a Training Agreement registered with the relevant State/Territory Training Authority and is involved in paid work and structured training which may be on or off the job. "Trainee" does not include an individual who already has the competencies to which the traineeship is directed.
- 3.3 "Traineeship" means a system of training which has been approved by the relevant State or Territory Training Authority, or which meets the requirements of a National Training Package developed by a National Industry Training Advisory Board and endorsed by the National Training Framework Committee, which leads to an Australian Qualifications Framework qualification specified by that National Training Package, and includes full time traineeships and part time traineeships including school-based traineeships.



- 3.4 "Training Agreement" means an agreement for a Traineeship made between the **University** and a Trainee which is registered with the relevant State or Territory Training Authority.
- 3.5 "Training Package" means the competency standards, assessment guidelines and Australian Qualifications Framework qualification endorsed for an industry or enterprise by the National Training Framework Committee and placed on the National Training Information Service with the approval of Commonwealth, State and Territory Ministers responsible for vocational education and training.
- 3.6 "Training Plan" means a program of training which forms part of a Training Agreement registered with the relevant State or Territory Training Authority.
- 3.7 "Traineeship Scheme" means an approved Traineeship applicable to a group or class of staff members or to an industry or sector of an industry or an enterprise, which has been approved by the relevant State or Territory Training Authority.
- 3.8 References in this **Agreement** to the relevant State or Territory Training Authority mean the bodies in the relevant State or Territory which exercise approval powers in relation to traineeships and register training agreements under the relevant State or Territory vocational education and training legislation.
- 3.9 Relevant State or Territory legislation means the following:

Western Australia

Vocational Educational and Training Act 1996

Northern Territory

Northern Territory Employment and Training Authority Act 1991

Victoria

Vocational Education and Training Act 2006

New South Wales

Apprenticeship and Traineeship Act 2001

Australian Capital Territory

Training and Tertiary Education Act 2003

Queensland

Vocational Education Training and Employment Act 2000

South Australia

Training and Skills Development Act 2008

Tasmania

Vocational Education and Training Act 1994 or any successor legislation.

3.10 Year 10 - for the purposes of this Schedule any person leaving school before completing Year 10 will be deemed to have completed Year 10.

4 Training Conditions

- 4.1 The Trainee will attend an approved training course or training program prescribed in the Training Agreement or as notified to the Trainee by the relevant State or Territory Training Authority in accredited and relevant Traineeship Schemes.
- 4.2 Employment as a Trainee under this Schedule will not commence until the relevant Training Agreement, made in accordance with a Training Scheme, has been signed by the **University** and the Trainee and lodged for registration with the relevant State or Territory Training Authority, provided that if the Training Agreement is not in a standard format, employment as a Trainee will not commence until the Training Agreement has been registered with the relevant State or Territory Training Authority. The **University** will ensure that the Trainee is permitted to attend the training course or program provided for in the Training Agreement and will ensure that the Trainee receives the appropriate on-the-job training.
- 4.3 The **University** will provide a level of supervision in accordance with the Traineeship Agreement during the traineeship period.



4.4 The provisions of the relevant State and Territory legislation dealing with the monitoring by officers of the relevant State or Territory Training Authority and the use of training records or work books as part of this monitoring process will apply to Traineeships under this Schedule.

5 **Employment Conditions**

- 5.1 A full-time Trainee will be employed for a maximum period of one year provided that a Trainee will be subject to a satisfactory probation period of up to one month which may be reduced at the discretion of the **University**. By agreement in writing, and with the consent of the relevant State or Territory Training Authority, the **University** and the Trainee may vary the duration of the Traineeship and the extent of approved training, provided that any agreement to vary is in accordance with the relevant Traineeship Scheme. A part-time Trainee will be engaged in accordance with the provisions of clause 8 Part-time Traineeships under this Schedule.
- 5.2 Where the Trainee completes the qualification in the Training Agreement earlier than the time specified in the Training Agreement then the Traineeship may be concluded by agreement.
- 5.3 Termination of the employment of Trainees is dealt with in either the Training Agreement or in the relevant State of Territory training legislation. The **University**, in initiating such action, will give written notice to the Trainee at the time the action is commenced.
- 5.4 The Trainee will be permitted to be absent from work without loss of continuity of employment and/or wages to attend the Approved Training.
- 5.5 Where the employment of a Trainee by the **University** is continued after the completion of the Traineeship period, such Traineeship period will be counted as **Service** for the purposes of this **Agreement** or any other legislative entitlements.
- 5.6 Reasonable overtime may be worked by the Trainee provided that it does not affect the successful completion of the Approved Training.
- 5.7 No Trainee will work overtime or shiftwork on their own unless consistent with the provisions of this **Agreement**.
- 5.8 No Trainee will work shiftwork unless the shiftwork makes satisfactory provision for Approved Training. Such training may be applied over a cycle in excess of a week, but must average over the relevant period no less than the amount of training required for non-shiftwork Trainees.
- 5.9 The Trainee wage will be the basis for the calculation of overtime and/or shift penalty rates prescribed by this **Agreement**, unless this **Agreement** makes specific provision for a Trainee to be paid at a higher rate, or the **University** and the Trainee agree in writing that the Trainee will be paid at a higher rate, in which case the higher rate will apply.
- 5.10 All other terms and conditions in this **Agreement** that are applicable to the Trainee or would be applicable to the Trainee, but for this Schedule will apply.
- 5.11 A Trainee who fails to either complete the Traineeship or who cannot, for any reason, be placed in full-time employment with the **University** on successful completion of the Traineeship, will not be entitled to any severance payments payable under this **Agreement**, or provisions similar to these provisions.
- 5.12 It is not intended that existing staff members will be displaced from employment by Trainees.

6 Wages

- 6.1 The minimum weekly wages payable to full-time Trainees will be as provided in clauses 6.4 to 6.7 of this Schedule and will be increased in line with the salary increases paid to staff members over the life of the **Agreement**, as set out in clause 27 of this **Agreement**.
- 6.2 The wage rates will only apply to Trainees while they are undertaking an approved Traineeship which includes Approved Training.
- 6.3 The wage rates set out in this clause do not apply to complete trade level training which is covered by the Apprenticeship system.



6.4 Skill Level A

Where the accredited training course and work performed are for the purpose of generating skills which have been defined for work at Skill Level A.

| School Leaver | Highest Year of Schooling Completed | | | |
|----------------------------|-------------------------------------|-----------|---------|--|
| | Year 10 | Year 11 | Year 12 | |
| | \$ | \$ | \$ | |
| | 237 (50%) | 295 (33%) | _ | |
| | 277 (33%) | 331 (25%) | 400 | |
| Plus 1 year out of school | 331 | 400 | 458 | |
| Plus 2 years out of school | 400 | 465 | 540 | |
| Plus 3 years out of school | 465 | 652 | 618 | |
| Plus 4 years out of school | 540 | 618 | - | |
| Plus 5 years or more | 618 | - | - | |

6.5 **Skill Level B**

Where the accredited training course and work performed are for the purpose of generating skills which have been defined for work at Skill Level B.

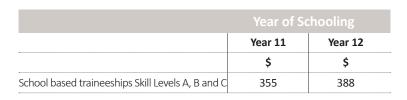
| School Leaver | Highest Year of Schooling Completed | | | |
|----------------------------|-------------------------------------|-----------|---------|--|
| | Year 10 | Year 11 | Year 12 | |
| | \$ | \$ | \$ | |
| | 278 (50%) | 345 (33%) | - | |
| | 324 (33%) | 388 (25%) | 452 | |
| Plus 1 year out of school | 388 | 452 | 519 | |
| Plus 2 years out of school | 452 | 519 | 610 | |
| Plus 3 years out of school | 519 | 610 | 694 | |
| Plus 4 years out of school | 610 | 694 | _ | |
| Plus 5 years or more | 694 | - | - | |

6.6 Skill Level C

Where the accredited training course and work performed are for the purpose of generating skills which have been defined for work at Skill Level C.

| School Leaver | Highest Year of Schooling Completed | | | |
|----------------------------|-------------------------------------|-----------|---------|--|
| | Year 10 | Year 11 | Year 12 | |
| | \$ | \$ | \$ | |
| | 278 (50%) | 345 (33%) | _ | |
| | 324 (33%) | 388 (25%) | 442 | |
| Plus 1 year out of school | 388 | 442 | 496 | |
| Plus 2 years out of school | 496 | 496 | 555 | |
| Plus 3 years out of school | 555 | 555 | 620 | |
| Plus 4 years out of school | 620 | 620 | _ | |
| Plus 5 years or more | 694 | _ | - | |

6.7 School based traineeships





- 6.8 The rates of pay in this Schedule absorb, to the extent permitted, any increases that result from the minimum wage decisions of the Fair Work Commission (or its successor).
- 6.9 Clause 9 of this Schedule sets out the skill level of a Traineeship.
- 6.10 For the purposes of this Schedule, out of school will refer only to periods out of school beyond Year 10, and will be deemed to:
 - (a) include any period of schooling beyond Year 10 which was not part of nor contributed to a completed year of schooling;
 - (b) include any period during which a Trainee repeats in whole or part a year of schooling beyond Year 10;
 - (c) not include any period during a calendar year in which a year of schooling is completed;and
 - (d) have effect on an anniversary date being January 1 in each year.

7 Dispute Settling Procedures

For matters not dealt with in the relevant State or Territory training legislation, the dispute settling procedures in this **Agreement** will apply to Trainees.

8 Part-Time Traineeships

- 8.1 This clause will apply to Trainees who undertake a Traineeship on a part-time basis by working less than full-time hours and by undertaking the Approved Training at the same or lesser training time than a full-time Trainee.
- 8.2 The tables below set out the hourly rates of pay where the training is either fully off-thejob or where 20% of time is spent in Approved Training. These rates are derived from a 38 hour week. These hourly rates will be increased in line with the salary increases paid to staff members over the life of this **Agreement**, as set out in clause 27 of this **Agreement**.

Table 1: Trainees who have left school (\$ per hour)

| Skill Level A | Highest Year of Schooling Completed | | | |
|-----------------------------|-------------------------------------|---------|---------|--|
| | Year 10 | Year 11 | Year 12 | |
| | \$ | \$ | \$ | |
| School Leaver | 11.63 | 12.72 | 15.37 | |
| 1 year after leaving school | 12.72 | 15.37 | 17.84 | |
| 2 years plus | 15.37 | 17.84 | 20.77 | |
| 3 years plus | 17.84 | 20.77 | 23.73 | |
| 4 years plus | 20.77 | 23.73 | - | |
| 5 years plus | 23.73 | - | - | |

| Skill Level B | Highest Year of Schooling Completed | | | |
|-----------------------------|-------------------------------------|-----------------|-------|--|
| | Year 10 | Year 10 Year 11 | | |
| | \$ | \$ | \$ | |
| School Leaver | 11.63 | 12.72 | 14.83 | |
| 1 year after leaving school | 12.72 | 14.83 | 17.03 | |
| 2 years plus | 14.83 | 17.03 | 20.02 | |
| 3 years plus | 17.03 | 20.02 | 22.78 | |
| 4 years plus | 20.02 | 22.78 | - | |
| 5 years plus | 22.78 | - | - | |



| Skill Level C | Highest Year | of Schooling (| Completed |
|-----------------------------|--------------|----------------|-----------|
| | Year 10 | Year 12 | |
| | \$ | \$ | \$ |
| School Leaver | 11.63 | 12.72 | 14.50 |
| 1 year after leaving school | 12.72 | 14.50 | 16.28 |
| 2 years plus | 14.50 | 16.28 | 18.25 |
| 3 years plus | 16.28 | 18.25 | 20.33 |
| 4 years plus | 18.25 | 20.33 | _ |
| 5 years plus | 20.33 | _ | _ |

Table 2: School based traineeships (\$ per hour)

| | Year of Schooling | |
|-------------------------|-------------------|-------|
| | Year 11 Year 12 | |
| | \$ | \$ |
| Skill Levels A, B and C | 11.63 | 12.72 |
| 25% Loading | 14.54 | 15.90 |

- 8.3 The hours for which payment will be made are determined as follows:
 - (a) Where the Approved Training for a Traineeship (including a school based traineeship) is provided off-the-job by a registered training organisation, for example at school or at TAFE, these rates will apply only to the total hours worked by the part-time Trainee onthe-job.
 - (b) Where the Approved Training is undertaken on-the-job or in a combination of on-the-job and off-the-job, and the average proportion of time to be spent in Approved Training is 20% (ie. the same as for the equivalent full-time Traineeship).
 - (c) If the training is solely on-the-job, then the total hours on-the-job will be multiplied by the applicable hourly rate, and then 20% will be deducted.
 - (d) If the training is partly on-the-job and partly off-the-job, then the total of all hours spent in work and training will be multiplied by the applicable hourly rate, and then 20% will be deducted.

Note: 20% is the average proportion of time spent in Approved Training which has been taken into account in setting the wage rates for most full-time Traineeships. Where the full-time weekly ordinary hours of work are not 38, the appropriate hourly rate may be obtained by multiplying the rate in the table by 38 and then dividing by the full-time weekly ordinary hours.

General Formula

- 8.4 For Traineeships not covered by the provisions set out above, the following formula for the calculation of wage rates will apply:
- 8.5 The wage rate will be pro-rata the full-time rates based on variation in the amount of training and/or the amount of work over the period of the Traineeship which may also be varied on the basis of the following formula:

Full-time wage rate x Trainee hours - average weekly training time

Note: Average weekly training time is based upon the length of the Traineeship specified in the Traineeship Agreement or Training Agreement as follows: 7.6×12 , Length of the Traineeship in months.

Employment conditions for all part-time Trainees

8.6 A part-time Trainee will receive, on a pro-rata basis, all employment conditions applicable to a full-time Trainee. All the provisions of this Schedule will apply to part-time Trainees, except as specified in clause 8.7 of this Schedule.



- 8.7 However, a Trainee undertaking a school based Traineeship may, with the agreement of the Trainee, be paid an additional loading of 25% on all **Ordinary Hours of Work** in lieu of recreation leave, personal leave and **University Holidays.** Notwithstanding this, where a Trainee is called upon to work on a **University Holiday,** the provisions of the relevant award will apply.
- 8.8 A part-time Trainee may, by agreement, transfer from a part-time to a full-time Traineeship position should one become available.
- 8.9 The minimum employment periods specified in this **Agreement** will also be applicable to part-time trainees.

9 Skill Levels

Current Traineeships and skill levels applicable at the **University** include:

Skill Level A

Administration (all areas), Information Technology, Finance, Engineering, Education, Health Sector, Horticulture, Communications, Disability, Construction Worker Grade 2, Library Assistant, Media Journalism.

Skill Level B

Electrical, Fitness Instruction, Print Design and Production, Warehousing and Distribution.

Skill Level C

Grounds Maintenance.

SCHEDULE F.

Professional Staff Conversion from Casual to Non-Casual Employment, Part-Year Employment and Annualised Hours Employment

Professional Staff Conversion from Casual to Non-Casual Employment

- A casual professional staff member may apply in writing to convert their employment to non-casual employment, subject to meeting the conditions set out in this Schedule. The University will inform casual professional staff of, and provide access to, these provisions on appointment and from time to time (at least annually).
- 2. The employment of a casual professional staff member will not be adversely affected in order to avoid the intended operation of, or any obligations under this Schedule.
- 3. To be eligible to apply for conversion, a casual professional staff member must be employed on a regular and systematic basis in the same or a similar and identically classified position in the same budget centre, either:
 - (a) over the immediately preceding period of 12 months with the average weekly hours worked to be at least 50% of the weekly **Ordinary Hours of Work** of a full-time staff member; or
 - (b) over the immediately preceding period of at least 24 months.
- 4. For the purposes of this clause, occasional and short-term work performed by the casual professional staff member in another classification, job or budget centre will not affect the staff member's eligibility for conversion or be included in determining eligibility to apply for conversion.
- 5. The **University** may refuse an application on reasonable grounds which include, but are not limited to:
 - (a) the casual professional staff member is a student, or has recently been a student, other than where their status as a student is irrelevant to their engagement and the work required;
 - (b) the casual professional staff member is a genuine retiree;



- (c) the casual professional staff member is performing work which will either cease to be required or will be performed by a non-casual staff member, within 26 weeks from the date of the application for conversion made by the staff member;
- (d) the casual professional staff member has a primary occupation with the **University** or elsewhere, either as a staff member or as a self-employed person;
- (e) the casual professional staff member does not meet the essential requirements of the position; or
- (f) the work is ad hoc, intermittent, unpredictable or involves hours that are irregular.
- If the **University** rejects the application for conversion, it must provide written reasons for doing so. If the application is rejected, a further application for conversion cannot be made by the casual professional staff member for a period of 12 months from the date of the rejection unless the rejection is solely based on the fact that the work is no longer required, and these circumstances change due to the operational requirements of the University.
- 7. If the application is accepted, the casual professional staff member will be offered a noncasual position. Conversion may be to either continuing employment or to a position for a period of Fixed-Term Employment consistent with clause 8 below. The offer of conversion will indicate the hours and pattern of work which must be consistent with the professional staff member's casual employment, subject to the operational requirements of the
- 8. Conversion may be, but is not required, to part-year or annualised hours employment. Conversion of a casual professional staff member to part-year or annualised hours employment may occur where the work by custom and practice has been performed by the staff member on this basis.
- 9. A dispute arising from the application of this clause will be dealt with in accordance with the dispute settling procedures in clause 59 of this **Agreement**.

Part-Year Employment

- Clauses 11 15 apply to staff appointed to part-year employment. 10.
- During the periods of the year that the part-year professional staff member is not required to perform work, the staff member's employment will continue. However, with the exception of periods of approved paid leave, the staff member will be deemed to be on leave without pay for periods when the staff member is not required to perform work. These periods will not break the staff member's continuity of service.
- In respect of the periods when the part-year professional staff member is working, they will be paid on the same basis as comparable, full-time or part-time continuing staff members as the case may be.
- Leave, including recreation leave, long service leave and personal leave will accrue during hours worked by the part-year professional staff member. Leave, other than recreation leave and long service leave, will be available to the staff member only during the periods when the staff member is working. The timing of the taking of recreation leave and long service leave will be agreed between the **University** and the staff member, subject to the provisions of the Fair Work Act 2009.
- A part-year professional staff member will be entitled to the benefits set out in clause 57 for all **University Holidays** that fall on the day on which the staff member is required to work. If the **University Holiday** falls on a day when the staff member is on leave without pay, then they are not entitled to the benefits set out in clause 57.
- 15. In the event that the employment of a part-year professional staff member ceases, for whatever reason, and the staff member has received a payment (howsoever described) in respect of work or hours which are not then worked by the staff member, that payment must be repaid by the staff member to the **University** as at the date when the employment ceases. If the payment is not repaid, the University may off-set any such amounts against any entitlements owing to the staff member.



Annualised Hours Employment

- Clauses 17 23 apply to staff appointed to annualised hours employment.
- 17. Subject to the terms of the employment, the time and manner in which the staff member's annual Ordinary Hours of Work are scheduled over the year will be at the discretion of the University and can be worked over a period of less than 52 weeks.
- 18. For the purposes of payment, the total number of annual Ordinary Hours of Work to be worked by the professional staff member will be averaged to a fortnightly Salary.
- A staff member employed on annualised will be entitled to receive pro-rata leave entitlements determined by the number of annualised Ordinary Hours of Work required to be worked. The timing of when the staff member will take recreation leave and long service leave will be agreed between the **University** and the staff member, subject to the provisions of the Fair Work Act 2009.
- A staff member employed on annualised hours will be entitled to the benefits set out in clause 57 for all University Holidays that fall on a day on which they are scheduled to work. If the **University Holiday** falls on a day when the staff member is not scheduled to work, then they are not entitled to the benefits set out in clause 57.
- 21. A staff member employed on annualised hours will be eligible for the same overtime benefits as a full-time professional staff member. In respect of such overtime hours, those overtime hours are in addition to the annualised ordinary hours for which the annualised hours professional staff member is employed. There is no accrual of leave entitlements (howsoever described) in respect of the overtime hours worked by the staff member.
- Where in any year, with the agreement of the **University**, a staff member employed on annualised hours works in excess of the number of Ordinary Hours of Work in the year for which the staff member is employed, the payment for the additional ordinary hours worked will be made in the first available pay period following receipt of a valid claim from the staff member. Any additional ordinary hours worked will be taken into account in the calculation of leave entitlements.
- In the event that the employment of a staff member employed on annualised hours ceases, 23. for whatever reason, a reconciliation of the ordinary hours worked and the payments (howsoever described) paid to the staff member will be performed and:
 - (a) if the staff member has received a payment (howsoever described) in respect of work or hours which are not then worked by the staff member, that payment is repayable by the staff member to the **University** as at the date when the employment ceased. If the payment is not repaid, the **University** may off-set any such amounts against any entitlements owing to the staff member; and
 - (b) if the staff member has performed work with the agreement of the **University** for which the staff member has not been paid by the University, the University will pay to the staff member the outstanding amount as at the date the employment ceases.

SCHEDULE G.

Casual Academic Rates

1. The minimum salaries paid to casual academic staff members are set out in Schedule A of this **Agreement** and will be calculated using the following formulae:

Lecturing and higher marking rate

The Base Hourly Rate of Pay applicable to lecturing or for purposes of the higher marking rate is determined by reference to the second step of the full-time Level B scale and calculated as follows:







Rate applicable to performance of other duties involving full-time subject coordination or possession of a relevant doctoral qualification

The Base Hourly Rate of Pay applicable where the duties include full unit coordination or the academic staff member possesses a relevant doctoral qualification is determined by reference to the sixth step of the full-time Level A scale and calculated as follows:

Rate applicable to all other duties

The Base Hourly Rate of Pay applicable to all other duties including tutoring rates not covered by clause 3 in this Schedule is determined by reference to the second step of the full-time Level A scale and calculated as follows:

Classes

5. A casual academic staff member required to deliver a Class (or equivalent delivery other than through face-to-face teaching mode) of a specified duration and relatedly providing direct associated duties in the nature of preparation and student consultation will be paid for at a rate for each hour of Class delivered, according to the following table. This rate also includes payment for all marking undertaken during the Class, Seminar or equivalent.

| Type of Class and associated working time assumed | Minimum salary per hour of Class delivered |
|-------------------------------------------------------------------------------|--------------------------------------------|
| Basic Class (1 hour of delivery and 2 hours of associated working time) | Refer to Schedule A |
| Developed Class (1 hour of delivery and 3 hours of associated working time) | Refer to Schedule A |
| Specialised Class (1 hour of delivery and 4 hours of associated working time) | Refer to Schedule A |
| Repeat Class (1 hour of delivery and 1 hour of associated working time) | Refer to Schedule A |

- 6. The hourly rate in a repeat Class applies to a second or subsequent delivery of substantially the same Class in the same subject matter within a period of 7 days and includes any marking undertaken during the repeat Class or equivalent and student consultation associated with it.
- For the purposes of this Agreement, the term Class means any education delivery described 7. as a Class in a course or unit outline, or in an official timetable issued by the University.

Seminars

A casual academic staff member required to deliver or present a Seminar (or equivalent delivery other than through face-to-face teaching mode) of a specified duration and relatedly provide directly associated duties in the nature of preparation and student consultation, will be paid at a rate for each hour of Seminar delivered or presented, according to the following table. This rate also includes payment for all marking undertaken during the Seminar or equivalent.

| Type of teaching and associated working time assumed | Minimum salary per hour of Seminar delivered | Minimum salary per hour of Seminar delivered where clause 3 of this Schedule applies |
|----------------------------------------------------------------------------|----------------------------------------------------|--------------------------------------------------------------------------------------------------|
| Seminar (1 hour of delivery and 2 hours of associated working time) | Refer to Schedule A | Refer to Schedule A |
| Repeat Seminar (1 hour of delivery and 2 hours of associated working time) | Refer to Schedule A | Refer to Schedule A |



- 9. The hourly rate in a repeat Seminar applies to a second or subsequent delivery of substantially the same Seminar in the same subject matter within a period of seven days and any marking undertaken in the Seminar or equivalent and student consultation associated with it.
- 10. For the purposes of this **Agreement**, the term Seminar means any education delivery described as a Seminar in a course or unit outline, or in an official timetable issued by the **University**.

Musical accompanying with special educational services

11. For musical accompanying with special educational services, the casual academic staff member will be paid for each hour of accompanying as well as for one hour of preparation time for each hour of accompanying delivered:

| Musical accompanying with special educational service and associated working time assumed | Minimum salary per hour of musical accompanying | Minimum salary per hour of musical accompanying where clause 3 of this Schedule applies |
|-------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| Musical accompanying (1 hour of delivery and 1 hour of preparation time) | Refer to Schedule A | Refer to Schedule A |

12. For the purposes of this **Agreement**, the term musical accompanying with special educational service means the provision of musical accompaniment to one or more students or staff members in the course of teaching by another academic staff member in circumstances where the accompanist deploys educational expertise in repertoire development or expression for student concert or examination purposes, but does not include concert accompanying, vocal coaching or musical directing.

Undergraduate clinical nurse education

13. A casual academic staff member required to provide undergraduate clinical nurse education will be paid for each hour of clinical education delivered, together with directly associated duties in the nature of preparation and student consultation according to the following table. This rate also includes payment for all marking undertaken during the delivery of clinical nurse education or equivalent.

| Type of undergraduate clinical nurse education and associated working time assumed | Minimum salary per hour of clinical nurse education delivered | Minimum salary per hour of clinical nurse education where clause 3 of this Schedule applies |
|----------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| Little preparation required (1 hour of delivery and 0.5 hours of associated working time) | Refer to Schedule A | Refer to Schedule A |
| Normal preparation time (1 hour of delivery and 1 hour of associated working time) | Refer to Schedule A | Refer to Schedule A |

14. For the purpose of this **Agreement**, the term undergraduate clinical nurse education means the conduct of undergraduate nurse education in a clinical setting.

Marking

15. Each faculty will maintain a set of guidelines that reflect reasonable expectations for academic feedback, marking and grading. These guidelines will take into account the complexity of the feedback, marking and grading and the experience of the assessors. The assessment process may involve the following three stages: evaluation of the assessment, provision of feedback and the recording of results. This will not limit the requirement to pay staff members according to the work performed. These guidelines will be provided to new academic casual staff members upon commencement of their employment.



All feedback, marking and grading undertaken outside timetabled Classes and Seminars or in the delivery of clinical education will be paid for separately in accordance with the following rates:

| Type of marking | Minimum salary per hour of marking | Minimum salary per hour of marking where clause 3 of this Schedule applies |
|--------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------------|
| Standard marking | Refer to Schedule A | Refer to Schedule A |
| Marking as a supervising examiner or marking requiring a significant exercise of academic judgement appropriate to an Academic at Level B status | Refer to Schedule A | Refer to Schedule A |

Other Required Academic Activity

- A casual academic staff member required to perform other required academic activity as defined in clause 18 of this Schedule will be paid at an hourly rate specified in Schedule A. The higher rate in Schedule A will apply if they hold a relevant doctoral qualification or are required to perform full unit coordination duties, for each hour of such activity delivered as required and demonstrated to have been performed.
- For the purposes of this Schedule, other required academic activity will include work that a person, acting as or on behalf of the **University** requires the academic staff member to perform and that is performed in accordance with any such requirement, being work of the following nature:
 - (a) the conduct of practical classes, demonstrations, workshops or student field excursions;
 - (b) the conduct of clinical sessions other than clinical nurse education;
 - (c) the conduct of performance and visual art studio sessions;
 - (d) musical coaching, repetiteurship and musical accompanying other than with the special educational service;
 - (e) development of teaching and unit materials such as preparation of unit guides and reading lists and basic activities associated with unit coordination;
 - (f) consultation with students;
 - (g) supervision;
 - (h) attendance at meetings as required;
 - (i) attendance at Classes in the unit or course being taught; and
 - (j) attendance at training classes or other sessions relevant to the unit or course being taught.

The above list is not intended to be exhaustive, but is provided by way of examples and guidance.

Academic casual staff compliance, learning and development package

- A casual academic staff member who is engaged to deliver teaching for at least one trimester within an Academic Year will be entitled to be paid for Learning and Development Activities in accordance with this clause.
- Payment for Learning and Development Activities is for up to 4 hours per Academic Year 20. and is paid at the rate for other required academic activity specified in Schedule A.



- 21. In a staff member's first year of employment at the **University**, the Learning and Development Activities will include one hour of compliance activities, as provided for by the **University**, and up to three hours paid induction for familiarisation with **University** policies and procedures, including in respect of teaching and learning activities (such as in respect of assessment, student misconduct, plagiarism, re-assessment and similar policies and procedures).
- 22. In subsequent years, the Learning and Development Activities will include one hour of compliance activities and three hours of development activities that contribute to a staff member's learning and teaching skills and have been authorised by the **University**.
- 23. In order to claim payment for Learning and Development Activities, a staff member must demonstrate that the Learning and Development Activity has been undertaken.

SCHEDULE H.

University Staff Living on Campus

1 Introduction and Terms of Appointment

This **Agreement** will apply to a professional staff member who is required to live on campus except where a particular term or condition is specified in this Schedule, in which case the term or condition in this Schedule will prevail.

2 Salary and Accommodation

- 2.1 The remuneration of an employee employed in accordance with this Schedule includes amounts in relation to the provision of accommodation and utilities, in accordance with clause 2.2 of this Schedule.
- 2.2 Staff required to live on campus will be provided with accommodation and utilities during their appointment, for the purpose of facilitating and participating in residential activities after hours and on weekends and for responding to after hours incidents from time to time and dependent on availability. The accommodation and utilities will be provided by the University, at a nominal value of \$21,879 (as at the commencement of this Agreement). For the purpose of this clause, "utilities" means water, power, gas and telephone (except for private calls) connections and usage.

3 Hours of Work

- 3.1 Due to the nature of positions that require staff to live on campus, some flexibility of hours will be required, as the position may involve after hours responsibilities, meetings and activities.
- 3.2 On-call hours and flexibility of hours for after hours and weekend responsibilities have been taken into account in setting the remuneration of those staff required to live on campus. No further payment, penalty rates or allowances will apply.

4 Residential Handbook, Residential Code and Licence Agreement

4.1 The staff member will be subject to rules and instructions in accordance with the Deakin University Residential Handbook, Deakin University Residential Code and Deakin University Licence Agreement, acting as a role model for students within the residential community.

SCHEDULE I.

Shift Work - Professional Staff

- 1. This Schedule only applies to:
 - (a) professional staff classified as shift workers as at the commencement date of this **Agreement**; or
 - (b) professional staff employed as carbon fibre manufacturing staff, collectively referred to as "shift professional staff member" in this Schedule I.



- This clause does not apply to a professional staff member whose Salary exceeds that 2. prescribed for the top increment of HEW 7.
- 3. For the purpose of this clause:
 - (a) "Day Shift" means any shift starting at or after 6.00am and finishing at or before 7.00pm;
 - (b) "Afternoon Shift" means any shift finishing after 7.00pm and at or before midnight;
 - (c) "Night Shift" means any shift finishing after midnight and at or before 7.00am;
 - (d) "Ordinary Shift" means any shift on which a shift professional staff member is rostered for work within the ordinary hours of work of the staff member and according to the relevant roster cycle;
 - (e) "Overtime Shift" means any shift worked by a shift professional staff member in excess of five shifts per week;
 - (f) "Relevant Roster Cycle" means a sequence of shifts in a roster to be worked by a shift professional staff member in the ordinary working hours of the staff member and arranged so as to form a recurring cycle of five days on shift and two days off shift per week;
 - (g) "Rostered Shift" and "Shift" means a continuous period of work during which a shift professional staff member is rostered for work;
 - (h) "Week" means a period of seven consecutive days, calculated from the commencement of the relevant roster cycle.
- 4. Except as provided in clause 5, a shift professional staff member working an afternoon or night shift will, for any Ordinary Hours of Work worked on Monday to Friday inclusive, be paid the Ordinary Rate of Pay plus 15%.
- A shift professional staff member required to work an ordinary shift on a Saturday, Sunday or University Holiday will be paid the staff member's Ordinary Rate of Pay plus:
 - (a) On a Saturday 50%;
 - (b) On a Sunday 100%;
 - (c) On a University Holiday 150%.
- 6. A shift professional staff member whose rostered day off duty falls on a University Holiday will be given one day's leave in lieu of the holiday.
- A shift professional staff member who without 72 hours' notice is:
 - (a) transferred from day work to shift work;
 - (b) transferred from one shift roster to another shift roster;
 - (c) transferred to an unrostered shift; or
 - (d) transferred to another shift within the roster,

will be paid an additional allowance of 50% of the Ordinary Rate of Pay of the staff member for any day, afternoon or night shift to which the staff member is transferred and which occurs before the expiry of 72 hours' notice of the transfer. The allowance provided under this clause will be paid in lieu of the allowance provided under clause 4.

- Shift rosters will be arranged so that shifts are rotated on a weekly basis unless: 8.
 - (a) agreed between a shift professional staff member and the University; or
 - (b) otherwise specified in a shift professional staff member's instrument of appointment.
- A shift professional staff member whose **Ordinary Hours of Work** are performed over seven days a week including Sundays and University Holidays will, in addition to the staff member's normal leave entitlement, be granted additional recreation leave as follows:
 - (a) where the rostered time of the staff member's Ordinary Hours of Work includes at least 10 Sundays - an additional five working days; or



(b) where the rostered time of the staff member's Ordinary Hours of Work includes less than 10 Sundays - additional leave at the rate of half a day in respect of each Sunday so rostered.

The additional recreation leave set out in clause 9 will exclude non-working days and **University Holidays.**

Carbon Fibre - 12 hour shifts

- Taking into account the unique operation in carbon fibre manufacturing, following consultation with the carbon fibre manufacturing staff, 12 hour shift operation may be implemented by the **University**, subject to:
 - (a) proper health monitoring procedures being introduced;
 - (b) suitable roster arrangements being made;
 - (c) proper supervision being provided;
 - (d) adequate breaks being provided;
 - (e) an adequate trial or review process being implemented in conjunction with the carbon fibre manufacturing employees; and
 - (f) provision of a loading of 15% for each shift.
- 12 hour shifts may be implemented in accordance with the following requirements: 11.
 - (a) no more than four consecutive shifts in a row;
 - (b) no more than three consecutive day shifts;
 - (c) there will be no extension of work beyond 12 hours (i.e. no overtime following a 12 hour shift);
 - (d) day shifts will not commence before 7.00am;
 - (e) there will be a reasonable distribution of days off between block shifts;
 - (f) shifts will not be compacted to produce long breaks;
 - (g) the roster cycle will be planned over a period of four weeks;
 - (h) except at the regular changeover of shifts a staff member shall not be required to work more than one shift in each 24 hours; and
 - (i) a staff member shall not be required to work for more than 5 hours without a break for a meal.

SCHEDULE J.

Academic Work Allocation Model Activities

Research and Scholarship:

The range of activities encompassed in the research allocation may include but are not limited to those listed below. These activities are largely self-directed and do not individually require the allocation of a specific number of hours.

Research

- (a) Conducting research and preparation of results for Excellence for Research in Australia (ERA) - recognised publications;
- (b) Application of research expertise and outcomes in the translation of knowledge for community, state and national benefit including the writing and publishing of research reports;
- (c) Creative practice, including performance;
- (d) Presenting research papers at national and international conferences;
- (e) Writing and submitting internal and external funding bids and/or grant applications;
- (f) Undertaking and managing research projects and programs;
- (g) Participating in research administration;
- (h) HDR supervision and coordination;
- (i) Mentoring of other academic staff;



- (j) Application of scholarly and research expertise to innovation and invention, with appropriate involvement in the commercialisation of outcomes;
- (k) Professional development activities relevant to research;

Scholarship

(I) Scholarship activities aimed at consolidating and extending systematised knowledge of a staff member's discipline area.

Teaching:

- 2. Teaching activities vary across schools and academic groups and may include but are not limited to:
 - (a) Preparation of teaching materials for face to face, online and all other modes of delivery;
 - (b) Delivery of classes and seminars face to face, online and in all other modes;
 - (c) Delivery of laboratory classes, practicums, studio, performance and clinical sessions;
 - (d) Community-based teaching;
 - (e) Supervision of honours and postgraduate projects
 - (f) Supervision of undergraduate coursework projects;
 - (g) All aspects of student assessment, including preparing, marking, moderating and providing feedback;
 - (h) Student consultation related to learning by whatever mode;
 - (i) Unit chair duties
 - (j) Mentoring of other academic staff;
 - (k) Professional development activities relevant to teaching;
 - (I) Travel between campuses or to other locations for the purposes of teaching related duties (where performed regularly or frequently);
 - (m) Travel time associated with delivery at international off-shore locations (up to a maximum of seven hours per day);
 - (n) Any other duties which manifestly constitute teaching.

Service:

- 3. Service activities will relate to the core business of the **University**, and may include activities identified in the strategic or operational plans. Internal and external activities are subject to approval by the supervisor and may potentially include but are not limited to:
 - (a) Formal management and leadership duties within the School/Department, Faculty or **University** such as Associate Head of School/Department, membership of, or chairing, School/Department, Faculty or **University** committees,
 - (b) Activities related to the design, approval, review or accreditation of units, courses or programs;
 - (c) Developing, organising or co-ordinating professional fieldwork, practicums, clinical placements, or work integrated learning;
 - (d) Attendance at **University** open days and School and Faculty meetings and days;
 - (e) Attendance at **University** graduations;
 - (f) Student recruitment and selection including school visits and HDR student recruitment;
 - (g) Commercial projects and consultancies (other than approved outside work);
 - (h) Professional practice and contributions, agreed in advance with the supervisor, which should take account of **University** esteem factors. These include membership of the board or executive of professional associations, conference chairs and editing of journals;
 - (i) Public profile raising such as media activities;
 - (j) Community and industry engagement, agreed in advance with the supervisor;
 - (k) Professional development activities relevant to service;
 - (I) Other approved projects and activities undertaken in consultation with the staff member's supervisor;
 - (m) Administration duties not covered elsewhere.



SIGNATURES

in the presence of

Signed for and on behalf of:

DEAKIN UNIVERSITY

A HOURY

Professor Jane Den Hollander AO

Vice-Chancellor and President

Geelong Waterfront Campus
1 Gheringhap Street
Geelong Vic 3220

in the presence of

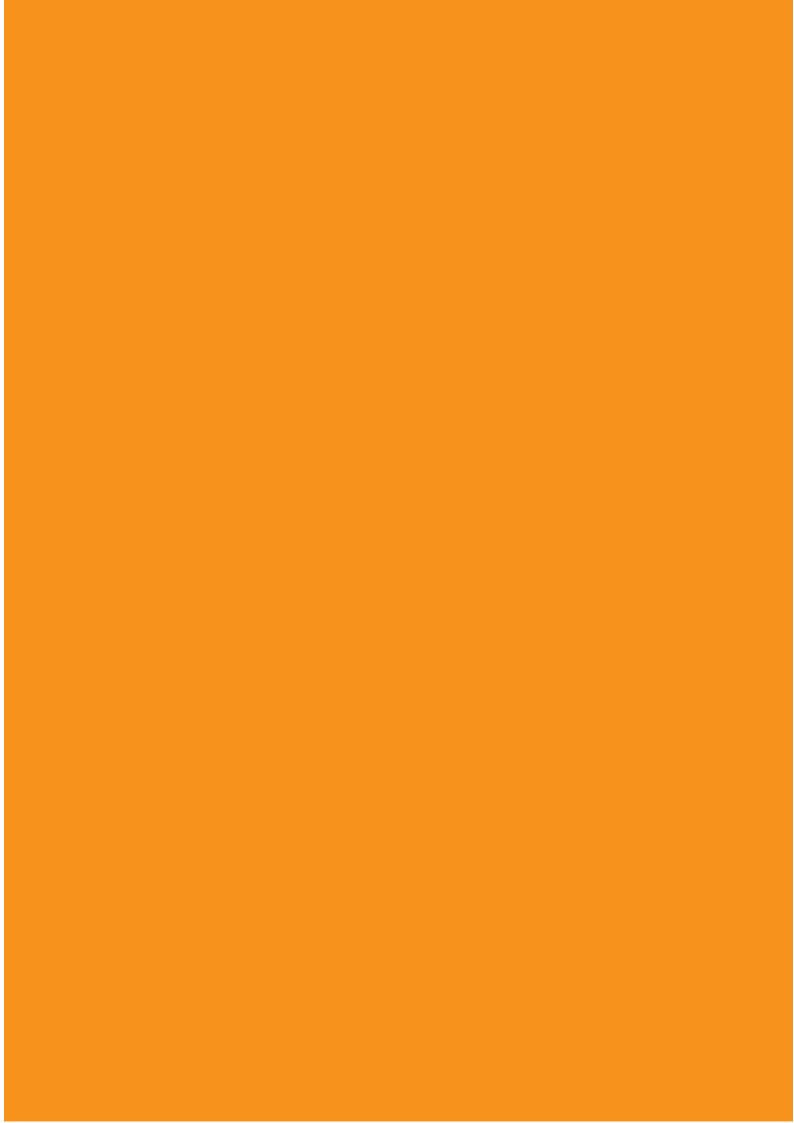
Signed for and on behalf of:

NATIONAL TERTIARY EDUCATION INDUSTRY UNION (NTEU)

Admin Market Duck 2017

Grahame McCulloch
General Secretary, NTEU

1st Floor, 120 Clarendon Street, Southbank Vic 3006





26 June 2017

Commissioner Gregory Fair Work Commission 11 Exhibition Street Melbourne VIC 3000

Dear Commissioner

AG2017/2137 - Deakin University Enterprise Agreement 2017 Undertaking given by Deakin University

I, Fiona Davies, being duly authorised on behalf of Deakin University (**University**), hereby provide the following undertaking on behalf of the University in accordance with s.190 of the *Fair Work Act 2009* (Cth).

In relation to the Deakin University Enterprise Agreement 2017, the University undertakes that:

Clause 2 - Duration of the Agreement

• the Deakin University Enterprise Agreement 2017 will have a nominal expiry date of 4 years from the date of approval of the agreement by the Fair Work Commission, rather than 4 years from the date of its commencement.

Schedule D, clause 1.5

the minimum amount paid to a staff member under clause 1.5 of the Agreement (which is stated to be \$81.00) will be not less than \$82.00 per week.

Regards

Fiona Davies

General Manager, HR Operations