



Changes to the Funding Rules for *Industrial Transformation Research Hubs* for funding commencing in 2014 (IH14)

Note: this list contains the major changes to rules and is not to be taken as exhaustive. Please consult the IH14 rules or contact your research office or the ARC for further clarification.

Changes to Funding Rules	IH13	IH14
Format of Funding Rules		
Format of Funding Rules		The Linkage Program overarching Funding Rules including the <i>Industrial Transformation Research Hubs</i> scheme-specific Funding Rules are provided in a harmonised and consolidated document to simplify and streamline applications.
Research Activities Not Supported		
Medical and Dental Research	Where a proposal satisfied all other eligibility requirements set out in the Funding Rules, the ARC may have supported Projects with Medical and Dental Research content where the primary aim was a commercial outcome in addition to R&D and the Project did not include clinical trials. (5.4.2.c)	The Funding Rules do not support Medical and Dental Research. (A5.1.3)
Budget Items		
Travel Costs	Travel costs essential to the Project were permitted up to a maximum of \$50,000 over the life of the Project. (5.2.1.g)	Travel costs essential to the Project are permitted up to a maximum average of \$20,000 per year of the Project. (A7.1.1.g) All requests must be fully justified in the Proposal form.
Workshops, focus groups and conference costs		Workshops, focus groups and conference costs that are necessary for the conduct of the proposed research may now be supported. (A7.1.1.h) All requests must be fully justified in the Proposal form.

Participant Roles and General Eligibility		
Chief Investigator Eligibility	<p>The eligibility criteria for a Chief Investigator (CI) relating to employment was as follows:</p> <p>A researcher nominated on a Proposal as a CI must meet at least one of the following criteria at the commencement of the Project and for the duration of the Project:</p> <ol style="list-style-type: none"> be an employee for at least half-time (50 per cent of Full Time Equivalent) at one Eligible Organisation; or be a holder of an Emeritus, Adjunct or equivalent appointment at an Eligible Organisation listed in Appendix A and not be employed more than 50 per cent of Full Time Equivalent at another organisation that: is outside the higher education sector; and engages in research funded predominantly from state/territory or Commonwealth Government funding sources. <p>(7.3.1)</p>	<p>The eligibility criteria for a Chief Investigator (CI) relating to employment has been simplified as follows:</p> <p>A researcher nominated on a Proposal as a CI must meet at least one of the following criteria at the scheme-specific Commencement Date and for the duration of the Project:</p> <ol style="list-style-type: none"> be an employee for at least half-time (50 per cent of Full Time Equivalent) at one Eligible Organisation; or be a holder of an Emeritus, Adjunct or equivalent appointment at an Eligible Organisation listed at section A17 and not have a substantive (50 per cent of Full Time Equivalent or more) paid position elsewhere. <p>(A10.2.1)</p>
Chief Investigator Eligibility	A researcher undertaking undergraduate studies or higher degree by research was not eligible to be a Hub Director or CI on the Project. (7.1.4)	A researcher undertaking a higher degree by research is not eligible to be a CI. (A10.2.5) A researcher who is undertaking undergraduate studies is eligible to be a CI.
Authorship and intellectual content		
Authorship and intellectual content of the Proposal		Clauses regarding the authorship and intellectual content of the Proposal have been added to ensure clarity on this issue. (A10.1.3 and A16.6.3)
Conflict of Interest		
Declaration of Conflicts of Interest	Each party involved in a Proposal had to declare at the date of submission and describe in the application any Conflict of Interest that existed or was likely to arise in relation to any aspect of the Proposal. (9.5.1)	Each participant or organisation named in a Proposal must declare to the Administering Organisation at the date of submission any Conflict of Interest that exists or is likely to arise in relation to any aspect of the Proposal. (A12.5.1)
Restrictions for researchers who have Conflicts of Interest with Partner Organisations	A researcher could not participate as a Hub Director or CI on a Proposal if they held a position as a Director, Board Member or have a financial interest (salary, contract or equity) in a proposed Partner Organisation for a Project. (7.1.7)	<p>The Funding Rules now do not include a restriction preventing the participation of Hub Directors or CIs if they have a Conflict of Interest with a proposed Partner Organisation for a Project.</p> <p>Please note that Conflicts of Interest must be declared to and managed by the Administering Organisation. (A12.5)</p>

Assessment and Selection Process		
Short-listing and interview process		The Funding Rules now include a clause providing that applications may be subject to short-listing and an interview as part of the Research Hubs assessment process. (B11.1.1)
Reporting Requirements		
Key Performance Indicators (KPIs)		The Funding Rules now include clauses relating to KPIs for Research Hubs. KPI requirements were previously detailed only in the funding agreement for this scheme, so the inclusion of these clauses in the Funding Rules will provide greater clarity for applicants. (B12.1)
Performance Reviews		The Funding Rules now include clauses providing that Research Hubs may be subject to ad hoc performance reviews. (B12.3)