**Deakin Law School Research Expectations Model 2016-2018**

Annual averages, over a three year rolling period:[[1]](#footnote-1)

|  |  |  |  |
| --- | --- | --- | --- |
|  | 20% | 40% | 60% |
| A | 1.5 | 3 | 4.5 |
| B | 2.25 | 4.5 | 6.75 |
| C | 3 | 6 | 9 |
| D | 3.75 | 7.5 | 11.25 |
| E | 4.5 | 9 | 13.5 |

|  |  |  |  |
| --- | --- | --- | --- |
|  | 20% research allocation | 40% research allocation | 60% research allocation |
| Level A research points | 1.5 | 3 | 4.5 |
| Level B research points | 2.25 | 4.5 | 6.75 |
| Level C research points | 3 | 6 | 9 |
| Level D research points | 3.75 | 7.5 | 11.25 |
| Level E research points | 4.5 | 9 | 13.5 |

1. Research points are accumulated by (i) publishing in journals ranked A\*\*, A\*, A or B on the *Law Journal List* (which includes all journals on the Washington and Lee list, ABDC list, and ERA list) *,* (ii) publishing scholarly books or book chapters, and (iii) publishing a law report or law reform submission and (iv) research grants and income.
2. The *Law Journal List* will be updated by the Director of Research on an annual basis taking account of changes to rankings in the Washington and Lee and ABDC lists. This will be done in consultation with discipline specific researchers and no negative changes will be applied retrospectively
3. A *Law Book Publisher List* will be compiled and updated at least yearly, reflecting available statistics on publisher standing and impact in law. No negative changes will be applied retrospectively.
4. An A\*\* list will be compiled by the Research Committee[[2]](#footnote-2), based upon staff input, and updated on an annual basis. This list will identify a small number of journals that are uniformly highly rated by Australian and international legal scholars.
5. Research points from publications accrue as follows:  
   A1 Law Research Book = 15 points (Research books by publishers on the *Law Book Publishers* list will attract 5 additional points). Points for books are prorated among multiple authors, for example three co-authors will each get 5 points.   
   B1 = 2 points (Chapters in research books by publishers on the *Law Book Publisher List* will attract 3 points).  
   C1 A\*\* = 16 points; C1 A\* =10 points; C1 A = 6 points; C1 B = 2 points; C1 C = 0 points. The Research Committee has the discretion to award a point for an article in a peer respected, well cited C journals.

Law Research Reports = 1 point per 10 000 words. The Research Committee has discretion to award additional points taking into account impact and citation factors.

Law Reform Submissions = 1 point for a submission of 2 000 words or more. The Research Committee has discretion to award an additional point taking into account impact and citation factors.

6. Consistent with Faculty strategy and our value of balance across academic activities, we aspire to achieve a mean of 40% research allocation per academic across the School.

7. In this (2016-2018) LREM, research points from publications are *not* prorated per researcher, for example three co-authors of an article in an A\* journal will each get 10 points.

8. Category 1, 2, 3 and 4 research income in a calendar year can be used to contribute to research points for staff as follows: Cat 1 & 4: 1 point = $6,666; Cat 2 & 3: 1 point = $8,000.

9. Each HDR completion in a calendar year can be used to contribute to research points by 3 points per completion (on a geometric supervisor pro rata, such that in the case of two

supervisors: principal supervisor 0.8, associate supervisor 0.67, or for 3 supervisors, 0.75, 0.67 and 0.67.

10. The Director of Research, in consultation with the Research Committee has discretion to allocate points to publications and research output that fall outside the above framework. The DLS recognises the impact of non-HERDC outputs on its national and international standing, for example of high quality non-research books, including further editions, of edited research books and of organising conferences and symposia. Such contributions would be supported within this framework and will be considered in conjunction with any service allocations determined by the Head of School. Such outputs would be discussed, where possible in advance, on a case-by-case basis in accordance with the School strategy and relative to an individual’s performance profile. Additional points may be allocated to publications and or concrete examples of research innovation or outputs impacting the communities served by DLS in accordance with the University and Faculty mission and strategy.

Snapshot of a three-year block

|  |  |  |  |
| --- | --- | --- | --- |
|  | 20% research allocation | 40% research allocation | 60% research allocation\* |
| Level A research points | 4.5 | 9 | 13.5 |
| Level B research points | 6.75 | 13.5 | 20.25 |
| Level C research points | 9 | 18 | 27 |
| Level D research points | 11.25 | 22.5 | 33.75 |
| Level E research points | 13.5 | 27 | 40.5 |

***Indicative*** outputs in a three year snapshot (based on C1 A s; other output combinations are feasible within the framework)

|  |  |  |  |
| --- | --- | --- | --- |
|  | 20% | 40% research allocation | 60% research allocation\* |
| Level A research points | 1 A | 1.5A | 2.5A |
| Level B research points | 1.5 A | 2.5 A | 3.5A |
| Level C research points | 1A\* | 3A | 2A\*1.5A |
| Level D research points | 1 A\* 1B | 2A\* 1B | 3A\*1A |
| Level E research points | 1A\* 1A | 2A\*1.5A | 4A\*1B |

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| --- |
| **The model will be phased in over time using the following staged implementation:**   * **the 2016 WAM will be based on the (existing) 2012-2015 LREM (\*\*researchers may choose to fully apply the 2016 LREM);** * **the 2017 WAM will be based on 2 years of the existing (2015) LREM (2014/2015) and one year of this new 2016 LREM (\*\*researchers may choose to fully apply the 2016 LREM);** * **the 2018 WAM will be based on 1 year existing (2015) LREM (2015) and two years of the 2016 LREM (\*\*researchers may choose to fully apply the 2016 LREM);** * **2019 will be based on full implementation of the 2016 LREM** |

1. All performance should be evaluated relative to opportunity (ie, taking into account any extraordinary circumstances that have systematically reduced opportunities to undertake research, such as periods of illness, maternity leave, carer responsibilities, fractional appointment etc). [↑](#footnote-ref-1)
2. The Research Committee is chaired by the Director of Research and members are appointed by the Head of School in consultation with the SMT. [↑](#footnote-ref-2)