

RECORDS:
All records are to be entered into 'incident/breach' register, maintained by the Senior Adviser, Research Governance and Integrity. Available for the DVCR and PVCARDI

Allegation of research code breach (STAFF OR STUDENT)

DVCR or Nominee receives allegation

DVCR or Nominee/PVCRDI

Does the allegation relate to a research code breach or serious research code breach (EA definition)

Allegation likely involves a **research code breach**

Allegation likely involves a **serious research code breach**

If referred to as an allegation of 'serious research code breach', matter is pursued in accordance to procedure

Alleged research breach relates to other breaches of the Code; including data management, authorship, publication

Alleged research breach relates to animal, human or biosafety approval

Investigation into breach

Refer to Staff or student serious research code breach flowchart

Refer to **Head of Academic Unit or Faculty** for investigation

Biosafety approval – refer to Biosafety Officer or LBC for investigation
Human ethics approval – refer to Senior Human Ethics Officer for investigation
Animal ethics approval – Refer to AEC to be investigated via 'non-compliance procedure'

No breach. Allegation dismissed.
Breach. Corrective actions
Alleged 'serious research code breach'

If the allegation is referred to as 'serious research code breach', it is pursued in accordance to the procedure

Report to **PVCRDI** and **RIG Officer** resolution of allegation to recorded in breach register