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| Deakin UniversityRegulation 4.1(2) – Academic and Research IntegrityAmendment No. 1 | Deakin University logo |

Regulation 4.1(2) – *Academic and Research Integrity* is hereby amended as follows:

**Section 1**

Delete the words ‘*The overarching obligation of all students is to act in the best interests of the University.*’.

**Section 2**

At the end of definition ‘*Breach of Research Integrity*’ insert the words ‘*; and may constitute a research code breach or serious research code breach as defined by the Australian Code for the Responsible Conduct of Research 2018.*’

Insert the following new definition ‘***Code*** *means the Australian Code for the Responsible Conduct of Research 2018*.’

Delete definition ‘*Student Research Integrity Committee*’ and replace with the following ‘***Student Research Integrity Committee*** *means a Committee established by the Deputy Vice-Chancellor Research for purposes which include hearing and determining allegations of a Breach of Research Integrity.*’.

In definition ‘*University Appeals Committee*’ insert the word ‘student’ after the words ‘*which include hearing and determining*’.

**Section 18 to 24**

Delete section 18 to 24, and replace with the following:

‘*18. A Breach of Research Integrity includes but is not limited to:*

* + 1. *a research code breach;*
		2. *a serious research code breach;*
		3. *not meeting required research standards as defined by the Code or good research practice;*
		4. *fabrication, falsification or misrepresentation of data and research results;*
		5. *plagiarism;*
		6. *failure to properly manage research data;*
		7. *failure to adequately acknowledge or attribute the contributions of others;*
		8. *failure to disclose and manage a conflict of interest in research; and*
		9. *any other deviation from good academic and research practices including but not limited to the submission of research for assessment.’*

***Reporting***

1. *Any person who has reasonable grounds to believe that a Breach of Research Integrity has been committed by a Student may report the matter to the Deputy Vice-Chancellor Research (or nominee), who is responsible for the oversight, investigation and evaluation of a potential research code breach.*
2. *A report made under section 19 is an allegation of a Breach of Research Integrity under this Regulation and a research code breach under the Code.*

***Preliminary Assessment***

1. *The Deputy Vice-Chancellor Research (or nominee) shall appoint an assessment officer to conduct an independent investigation.*
2. *The Deputy Vice-Chancellor Research (or nominee) shall consider the seriousness of a potential code breach and the independent investigation conducted by the assessment officer, and shall determine as the outcome:*
	* 1. *where there are no reasonable grounds to support an allegation of research code breach, that the matter be dismissed; or*
		2. *where the allegation relates to a potential research code breach, that the matter be appropriately actioned at the local level with oversight by the Deputy Vice-Chancellor Research (or nominee); or*
		3. *where there are reasonable grounds for an allegation of a serious research code breach that the matter be formally heard and determined in accordance with this Regulation and the relevant procedure; or*
		4. *where the allegation refers (either in part or in whole) to matters not pertaining to a research code breach but involves other alleged breaches of University Regulation or policy, that the matter be pursued in accordance with those other University Regulations, policies or procedures.*

*(Preliminary Assessment)*

1. *Both the Student and the person who reported the matter will be informed of the outcome of the preliminary assessment by the Deputy Vice-Chancellor Research (or nominee).*

***Formal Hearing of an Allegation of Breach of Research Integrity***

1. *Where the Deputy Vice-Chancellor Research considers that there are reasonable grounds for an allegation serious research code breach by a Student, the Deputy Vice-Chancellor Research (or nominee) will establish a Student Research Integrity Committee to hear and determine the matter in accordance with the relevant procedure.*’

**Section 25**

In subsection 25(b), delete the words ‘*allegations of a Breach of Research Integrity*’ and replace with the words ‘*allegation of serious research code breach*’.

In subsection 25(c), add the words ‘*after hearing the matter*’ to the beginning of the subsection. Delete the words ‘o*n the balance of probabilities*.

**THE COMMON SEAL** of **DEAKIN UNIVERSITY** )

was hereto affixed on the 27day of )

 August 2019 by direction of the )

Vice-President in the presence of: )

Kean Selway

Vice-President and Chief Operating Officer

Seal No: 12589